

SUPERSTRINGS IN A  
PLANE-WAVE  
BACKGROUND

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CALTECH

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## INTRODUCTION

The duality between  $SU(N)$   $\mathcal{N}=4$  SYM and IIB string theory in  $AdS_5 \times S^5$  with  $N$  units of RR flux is very beautiful and surely correct.

However, inability to do string calculations in this background has been a source of frustration.

Recently, Blau, Figueroa-O'Farrill, Hull, and Papadopoulos discovered a plane-wave limit of the string background that is also

maximally supersymmetric  
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hep-th/0110242 + hep-th/0201081

Metsaev showed in  
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are described in the GS  
light-cone gauge formalism  
by free massive bosons + fermions.

Berenstein, Maldacena,  
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identified the corresponding  
limit of the gauge theory and  
carried out some checks  
of the duality.

Since BMN's paper this has become a very active subject. Many important results will be described in other talks.

I have been studying this subject with Curtis Callan and four Caltech students (Lee, McLoughlin, Swanson, Wu). This talk will discuss some of the issues that we have been thinking about.

## THE GEOMETRY

$$ds_{\text{AdS}_5}^2 = R^2 (-\cosh^2 \rho dt^2 + d\rho^2 + \sinh^2 \rho d\Omega_3^2)$$

$$ds_{S^5}^2 = R^2 (\cos^2 \theta d\phi^2 + d\theta^2 + \sin^2 \theta d\tilde{\Omega}_3^2)$$

Let  $r = R \sinh \rho$ ,  $y = R \sin \theta$   
 $x^+ = t/\mu$ ,  $x^- = \mu R^2 (\phi - t)$

$\mu$  is an arbitrary mass scale.  
 $x^-$  has period  $2\pi\mu R^2$ . Therefore  
the conjugate (angular) momentum  
is

$$P_- = J/\mu R^2$$

where  $J$  is an integer.

In terms of the new  
coordinates, the  $\text{AdS}_5 \times S^5$   
metric becomes

$$ds^2 = 2 \left(1 - \frac{y^2}{R^2}\right) dx^+ dx^- - \mu^2 (r^2 + y^2) (dx^+)^2 + \frac{1}{\mu^2 R^2} \left(1 - \frac{y^2}{R^2}\right) (dx^-)^2 + ds_{\perp}^2$$

where

$$ds_{\perp}^2 = r^2 d\Omega_3^2 + \frac{R^2}{R^2 + r^2} dr^2 + y^2 d\tilde{\Omega}_3^2 + \frac{R^2}{R^2 - y^2} dy^2$$

$R \rightarrow \infty$  gives the plane-wave limit

$$ds_{pp}^2 = 2 dx^+ dx^- - \mu^2 (x^I)^2 (dx^+)^2 + dx^I dx^I$$

$$\left( y^2 = \sum_{I=1}^4 (x^I)^2 \text{ and } r^2 = \sum_{I=5}^8 (x^I)^2 \right)$$

We are investigating the leading  $\frac{1}{R^2}$  correction to this metric, which we treat as a perturbation.

## THE DUALITY

### ① AdS/CFT

$$SU(N) \leftrightarrow \int_{S^5} F_5 = N$$

$$g_{YM}^2 N \leftrightarrow R^4 / (\alpha')^2$$

$$g_{YM}^2 \leftrightarrow g_s$$

### ② PP-wave

$$U(1) \text{ R charge } J \leftrightarrow \mu R^2 P_-$$

$R \rightarrow \infty \Rightarrow J, N \rightarrow \infty$  with fixed

$$\lambda' = \frac{g_{YM}^2 N}{J^2} \leftrightarrow (\alpha' \mu P_-)^{-2}$$

This is gauge theory expansion parameter for correlation functions of (near BPS) BMN operators. It replaces the usual  $\lambda = g_{YM}^2 N$ .

$$g_2 = \frac{J^2}{N} \leftrightarrow g_s (\alpha' \mu P_-)^2$$

is the effective string theory expansion parameter (2D).

**NB:**  $\lambda'$  and  $g_2$  can be small at the same time, so the two perturbative regimes overlap.

The novel thing we are exploring is the addition of one more expansion parameter

$$\eta = \frac{1}{J} \leftrightarrow (\mu R^2 P_-)^{-1}$$

We hope to compare  $O(\eta)$  corrections to the gauge theory and the string theory. We do not yet have results to report.



## WORLD SHEET ACTION

To keep story simple, I will only describe the bosonic part

$$\mathcal{L}_B = \frac{1}{2} h^{\alpha\beta} G_{\mu\nu} \partial_\alpha X^\mu \partial_\beta X^\nu$$

$h^{\alpha\beta} \equiv g^{\alpha\beta} \sqrt{-g}$  satisfies  $(h^{\tau\sigma})^2 - h^{\tau\tau} h^{\sigma\sigma} = 1$

We will use this to eliminate  $h^{\sigma\sigma}$ .

$$P_\mu = \frac{\delta \mathcal{L}}{\delta \dot{X}^\mu} = h^{\tau\alpha} G_{\mu\nu} \partial_\alpha X^\nu$$

$$\Rightarrow \dot{X}^\mu = \frac{1}{h^{\tau\tau}} G^{\mu\nu} P_\nu - \frac{h^{\tau\sigma}}{h^{\tau\tau}} X'^\mu$$

Substituting into  $\mathcal{H} = P_\mu \dot{X}^\mu - \mathcal{L}$  gives

$$\mathcal{H}_B = \frac{1}{2h^{\tau\tau}} (P_\mu G^{\mu\nu} P_\nu + X'^\mu G_{\mu\nu} X'^\nu) - \frac{h^{\tau\sigma}}{h^{\tau\tau}} X'^\mu P_\mu$$

This gives two Lagrange multiplier eqs:

$$X'^\mu P_\mu = \dot{X}^+ P_+ + \dot{X}^- P_- + \dot{X}^I P_I = 0 \quad (1)$$

$$P_\mu G^{\mu\nu} P_\nu + X'^\mu G_{\mu\nu} X'^\nu = 0 \quad (2)$$

## LIGHT CONE GAUGE

The local reparametrization symmetry of the world sheet action allows one to set

$$x^+ = \tau \quad \text{and} \quad P_- = 1$$

The latter means uniform  $\sigma$  density, so that  $P_-$  is the length of the  $\sigma$  interval. Substituting in the previous eqs gives

$$\dot{x}^- = - \dot{x}^I P_I \quad (1')$$

$$P_I G^{IJ} P_J + \dot{x}^I G_{IJ} \dot{x}^J + G_{--} (\dot{x}^I P_I)^2 + G^{++} P_+^2 + 2 G^{+-} P_+ + G^{--} = 0 \quad (2')$$

(I have assumed  $G_{+I} = G_{-I} = 0$ .)

Now identify  $-P_+$  (obtained by solving (2')) with  $\mathcal{H}_{ec}(x^I, P_I)$ , the generator of  $x^+ = \tau$  translations.

Eq. (2') is a linear eqn for  $P_+$  if  $G^{++} = 0$ . This is the case for the pp-wave metric, where one obtains

$$\mathcal{H}_{bc} = \frac{1}{2} [ (P_{\pm})^2 + (\dot{x}^{\pm})^2 + \mu^2 (x^{\pm})^2 ]$$

which is just 8 free massive bosons.

The fermionic coordinates  $\theta(\sigma, \tau)$  can be added. The analysis is more complicated, but one just ends up with free fermi fields of mass  $\mu$ .

The  $\frac{1}{R^2}$  corrections to the pp wave metric give lots of additional terms all of which are quartic in the various fields.

$$\text{Eq. (1')} \Rightarrow \oint \dot{x}^{\pm} P_{\pm} d\sigma = 0.$$

## QUANTIZATION OF $H_{ec}$

Fourier analysis gives harmonic oscillators

$$[a_m^I, a_n^{J\dagger}] = \delta^{IJ} \delta_{mn} \quad \begin{array}{l} I, J = 1, \dots, 8 \\ -\infty \leq m, n \leq \infty \end{array}$$

with frequencies

$$\omega_n = \sqrt{1 + \lambda^2 n^2}$$

$$H_{ec} = \mu \sum \omega_n (a_n^{I\dagger} a_n^I + \text{fermions})$$

Also,  $\oint \dot{x}^\pm P_\pm d\sigma + \text{fermi term} = 0$

$$\Rightarrow \sum n (a_n^{I\dagger} a_n^I + \text{fermions}) = 0$$

is the level-matching condition.

When  $\mu = 0$ ,  $n < 0$  and  $n > 0$  correspond to left-movers and right-movers.

Still need to add string interactions to see  $g_2$  dependence of the theory.

Equal  $x^+$  commutation relations:

$$[\Phi(1), \Phi(2)] = \frac{1}{\alpha'} \delta(\alpha_1 + \alpha_2) \Delta^\theta [x_1(\sigma) - x_2(\sigma)] \\ \cdot \Delta^\theta [\theta_1(\sigma) - \theta_2(\sigma)]$$

or, equivalently, for the F. Transform fields

$$[\tilde{\Phi}(1), \tilde{\Phi}(2)] = \frac{1}{\alpha'} \delta(\alpha_1 + \alpha_2) \Delta^\theta [p_1(\sigma) + p_2(\sigma)] \\ \cdot \Delta^\theta [\lambda_1(\sigma) + \lambda_2(\sigma)]$$

- Transcribe 1st-quantized operators to second-quantized ones:

$$H_2 = i \int dx^- D^\theta x(\sigma) D^\theta \theta(\sigma) \mathcal{L} \Phi H_{e.c.} \Phi, \text{ etc.}$$

- Add interactions:

$$H = H_2 + H_3 + \dots, \quad Q = Q_2 + Q_3 + \dots \text{ etc.}$$

Require that  $p^\pm(\sigma)$  and  $\lambda^\alpha(\sigma)$  are conserved locally on the world-sheet and that the superalgebra is preserved.

## LIGHT CONE GAUGE STRING FIELD THEORY

This was worked out for bosonic strings in 1973-4 by Mandelstam, Kaku + Kikkawa, Cremmer + Gervais. In 1982-3 it was generalized to superstrings by Green, Brink, & JHS. The papers are reprinted in Vol. II of "Superstrings, the First 15 Years".

The generalization to include mass parameter  $\mu$  was initiated by Spradlin + Volovich (hep-th/0204146).  
0206073

Type II B superstring field:

$$\Phi(\alpha, x^I(\sigma), \theta^a(\sigma)) \quad (\alpha \equiv 2p_-)$$

### THREE STRING VERTEX

In the multi-Fock-space description it has the structure

$$|V_3\rangle = G E_a E_b |0\rangle$$

where

$$E_a = \exp\left(\frac{1}{2} \sum_{r,s=1}^3 \sum_{m,n=-\infty}^{\infty} \bar{N}_{mn}^{rs} a_m^{(r)\dagger} a_n^{(s)\dagger}\right) |0\rangle$$

$E_b$  is a similar fermionic expression and  $G$  is polynomial in the various oscillators.

$E_a$  and  $E_b$  correspond to the  $\Delta$  functionals and  $G$  to the interaction point operator.

The  $\bar{N}_{mn}^{rs}$  arise as products and inverses of various infinite matrices. Some cleverness is needed to evaluate them.

The flat space ( $\mu=0$ ) formulas are

$$\bar{N}_{mn}^{\Gamma S} = - \frac{mn\alpha_1\alpha_2\alpha_3}{n\alpha_r + m\alpha_s} \bar{N}_m^r \bar{N}_n^s$$

$m, n = 1, 2, \dots$

$$\bar{N}_m^r = \frac{1}{\alpha_r m!} \frac{\Gamma(m\gamma_r)}{\Gamma(1-m+m\gamma_r)} e^{m\tau_0/\alpha_r}$$

$$\tau_0 = \sum \alpha_r \log |\alpha_r|, \quad \gamma_r = - \frac{\alpha_{r+1}}{\alpha_r}$$

The  $\mu \neq 0$  formulas are not known. However, Klebanov, Spradlin, + Volovich (hep-th/0206221) have evaluated the leading large  $\mu$  behavior, which is needed for comparison with the leading (small  $\lambda'$ ) correlation functions in the gauge theory.



## CONCLUDING REMARKS

- The success with pp-wave background raises the hope that the results could be extended to the full  $AdS_5 \times S^5$  case. This is one motivation for studying the leading  $1/R^2$  corrections to the plane wave geometry.
- Various authors (starting with *Thorn*) have suggested that for finite  $J$ , the continuum string should be replaced by  $J$  discrete "bits". (The most recent proposal is by *H. Verlinde* [hep-th/0206059](#)). I would like

to know whether this is just a kind of regulator or whether it is more fundamental.

- The BMN duality identifies limits of sequences of operators in the gauge theory with string states. It would be nice if, instead, one could identify a holographically dual theory on the conformal boundary. Berenstein + Nastase (hep-th/0205048) have shown that the conformal boundary of the plane wave geometry is a null curve.

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