



# Quantum Phase Transition from String Theory

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# Quantum Phase Transition

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- As coupling parameters  $\{g\}$  of a Hamiltonian is changed, ground state may undergo level crossing at  $g = g^*$
  - The ground-state energy  $E(\{g\})$  may become nonanalytic across  $g=g^*$ .
  - If this happens, we say that the quantum system has undergone “quantum phase transition”.
  - Correlation lengths, time scales and mass gaps of the ground-state may also change across  $g=g^*$ .
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# Questions for String Theory

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- String theory backgrounds have a variety of effective couplings and parameters [fluxes, charges, moduli,...]. Can we find interesting “quantum phase transitions” in string theory?
  - Typically, “quantum phase transitions” involve strong correlations and strong couplings. Can we understand such transitions from string theory?
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# A QPT from String Theory

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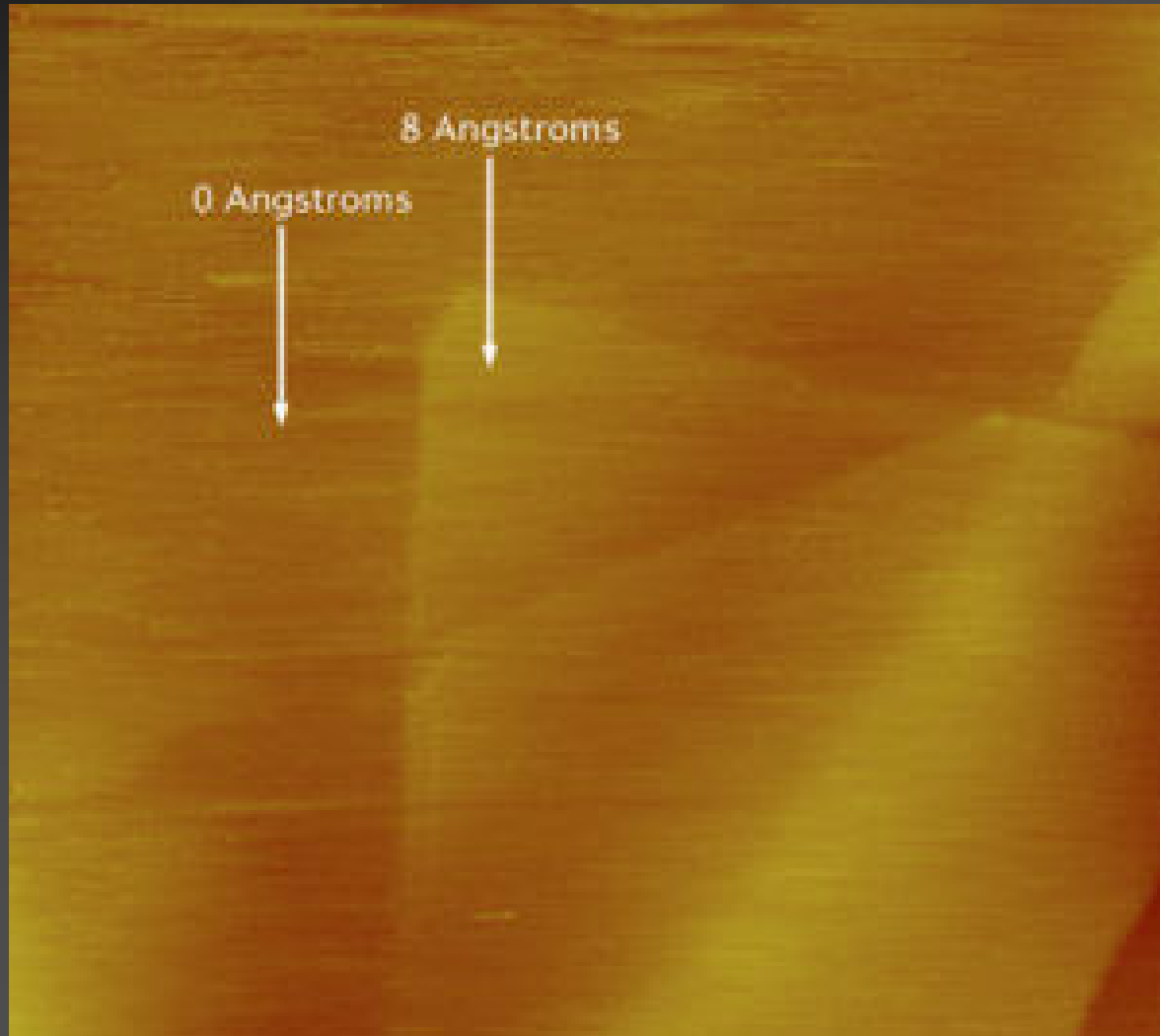
- I propose to study quantum phase transition in systems of “graphene multilayers” in terms of D7 + D3 branes.
  - Why interesting? Variety of interesting phenomena are involved [*dynamical mass generation, multi-flavor symmetry breaking, parity symmetry breaking, induced  $(2+1)d$  Chern-Simons term, supercritical instability...*] with a new twist that different sectors of the system live on different spacetime dimensions.
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# Graphenes and D-branes

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- graphene = an example of gapless semiconductor
  - system of (2+1)-dim. 4-component Dirac fermion
  - QED of graphene multilayers with dielectric substrate – concrete setup for **quantum phase transition (QPT)**
  - string theory realization of graphene QPT via D-branes
  - study at weak coupling and strong coupling limits
  - phase diagram (both  $T=0$  and  $T > 0$ )
  - laboratory exploration of AdS/CFT potentially feasible
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# What is Graphene?



□ monolayer of pure carbons

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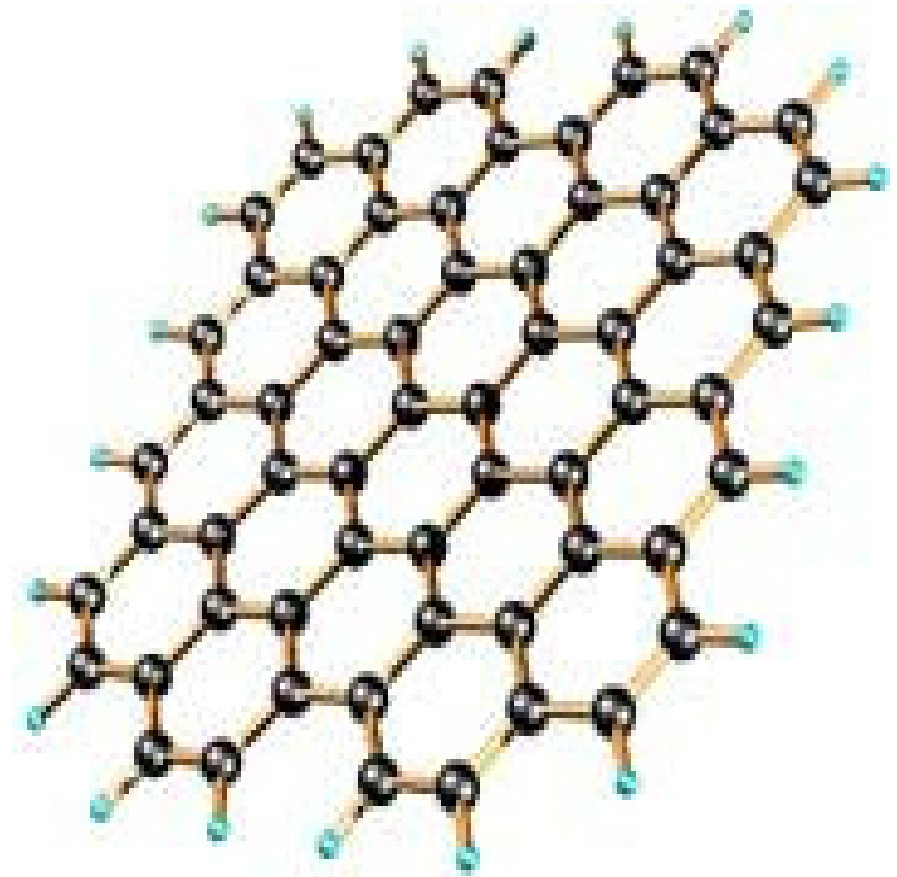
□ hexagonal lattice bonding

□ band structure [[Wallace, 1948](#)] –  
valence, conduction bands meet at  
two points (gapless semiconductor)

□ at half filling, the valence band  
is filled (metal)

□ energy independent dispersion  
[[Haldane, Semenoff, Guinea ...](#)]

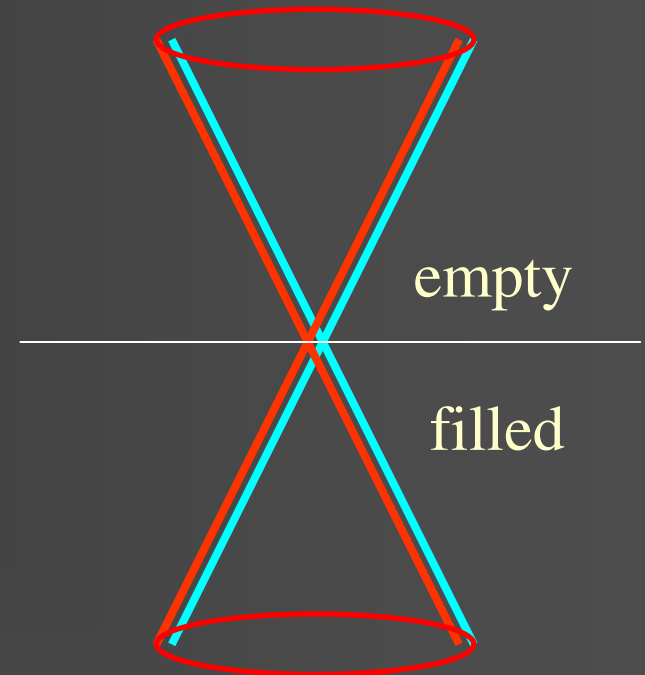
□ (hetero)multi-layers can realize  
multitudes of vanishing band gaps.



# Why Graphene Interesting to us?

Graphenes provide ideal realization of

- (2+1)d relativistic 2-flavor fermions
- speed of light  $\sim 1/300$
- at half-filling, realize the Dirac vacuum
- $N$  multilayer as flavor symmetry  $U(2N)$
- (3+1)d electromagnetic interactions with tunable fine structure constant by change of substrate material





# Graphene Configuration

$$g^2 \sim \frac{e^2}{\epsilon}$$

substrate dielectric constant  
= gauge coupling  $g$

Graphene multilayers  $N_g$

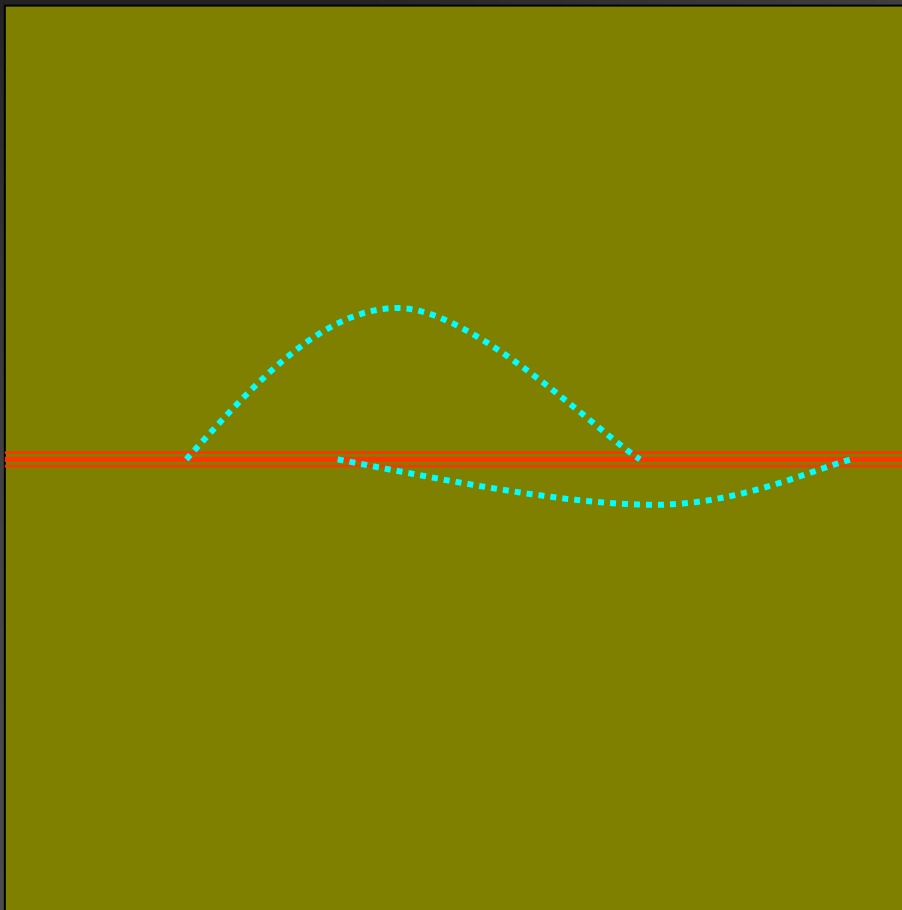
temperature  $T$

# D-brane Setup

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- 4d gauge interaction:  $N_3$  D3 branes (0123)
  - 3d graphene layers:  $N_7 = 2N_g$  D7 branes (01245678)
  - overall transverse direction (9)
  - D3–D7: NS = massive, RR = massless fermions
  - $N_3 \rightarrow$  infinity,  $g N_3 =$  fixed  $\gg 1$ ,  $N_7 =$  fixed
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# D-brane Configuration



(123)-plane

D3-brane

gauge interactions

D7-brane

# Weak Coupling Analysis

- $2N_g$  fermions in 3d coupled to 4d N=4 SYM of tunable  $g$
- 4d CFT coupled to 3d “impurity” multiflavor fermions

$$I = \int d^4x \left[ \frac{1}{4g^2} F_{mn}^2 + \frac{1}{2\xi} (\partial^m A_m)^2 + \delta(x_3) \mathcal{L}_f \right]$$

$$L_I = [\bar{\psi} i \not{\partial} \psi + A_m \bar{\psi} \gamma^m \psi]$$

- We study multi-flavor and parity symmetry breakings, dynamical mass generation and QPT phase transition.

- In 3d description, we have novel conformal field theory
- 3d effective action of conformal QFT

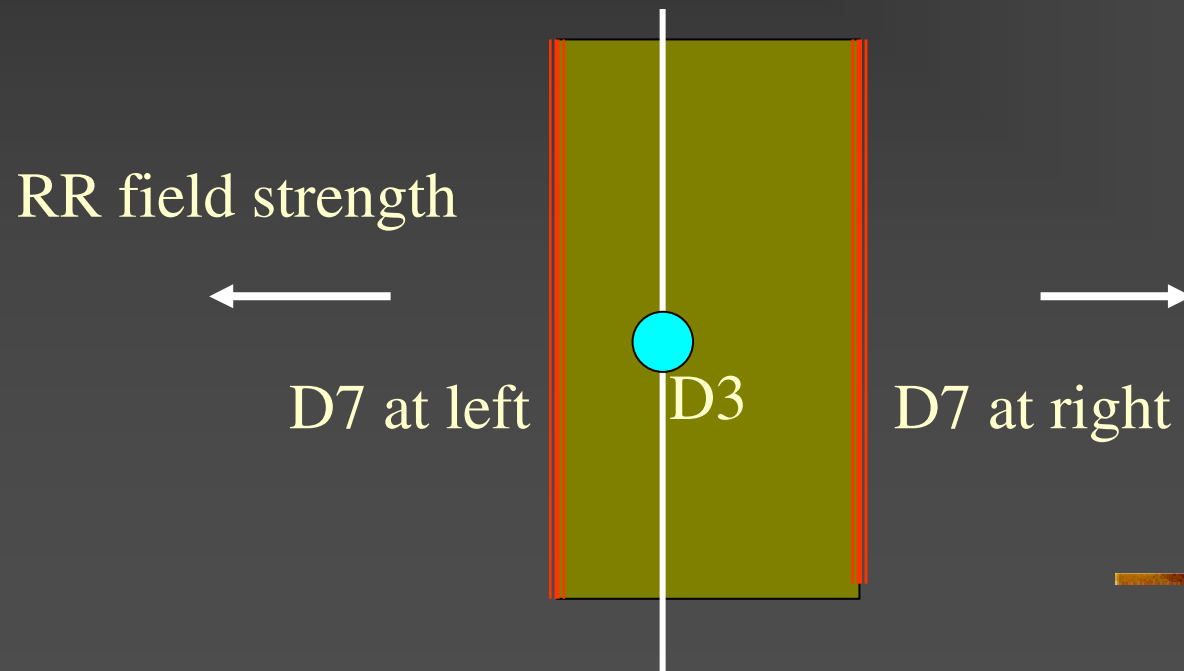
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$$I_3 = \int d^3x \left[ - \frac{1}{g^2} F_{mn} \frac{1}{\sqrt{-\partial^2}} F_{mn} + \frac{1}{1-\xi} (\partial_m A^m) \frac{1}{\sqrt{-\partial^2}} (\partial_m A^m) \right. \\ \left. + \bar{\psi} (i\partial_m + A_m) \gamma^m \psi \right]$$

- There is NO built-in dimensionful coupling parameter.
  - Any dynamically generated mass gap in QFT have to be proportional to UV cutoff.
  - This is an important difference from 3d gauge theory.
  - This will bear important implication to gauge-gravity analysis.
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# Flavor-Parity Symmetry Breaking

- flavor symmetry  $U(2N_g)$
- $(2+1)d$  parity:  $(x, y) \rightarrow (x, -y) +$  flavor pairwise exchange
- [Vafa+Witten]:  $U(2N_g) \rightarrow U(N_g) \times U(N_g)$ , parity unbroken
- D-brane configuration: RR-field strength energetics  $\rightarrow$  the same



# Schwinger - Dyson

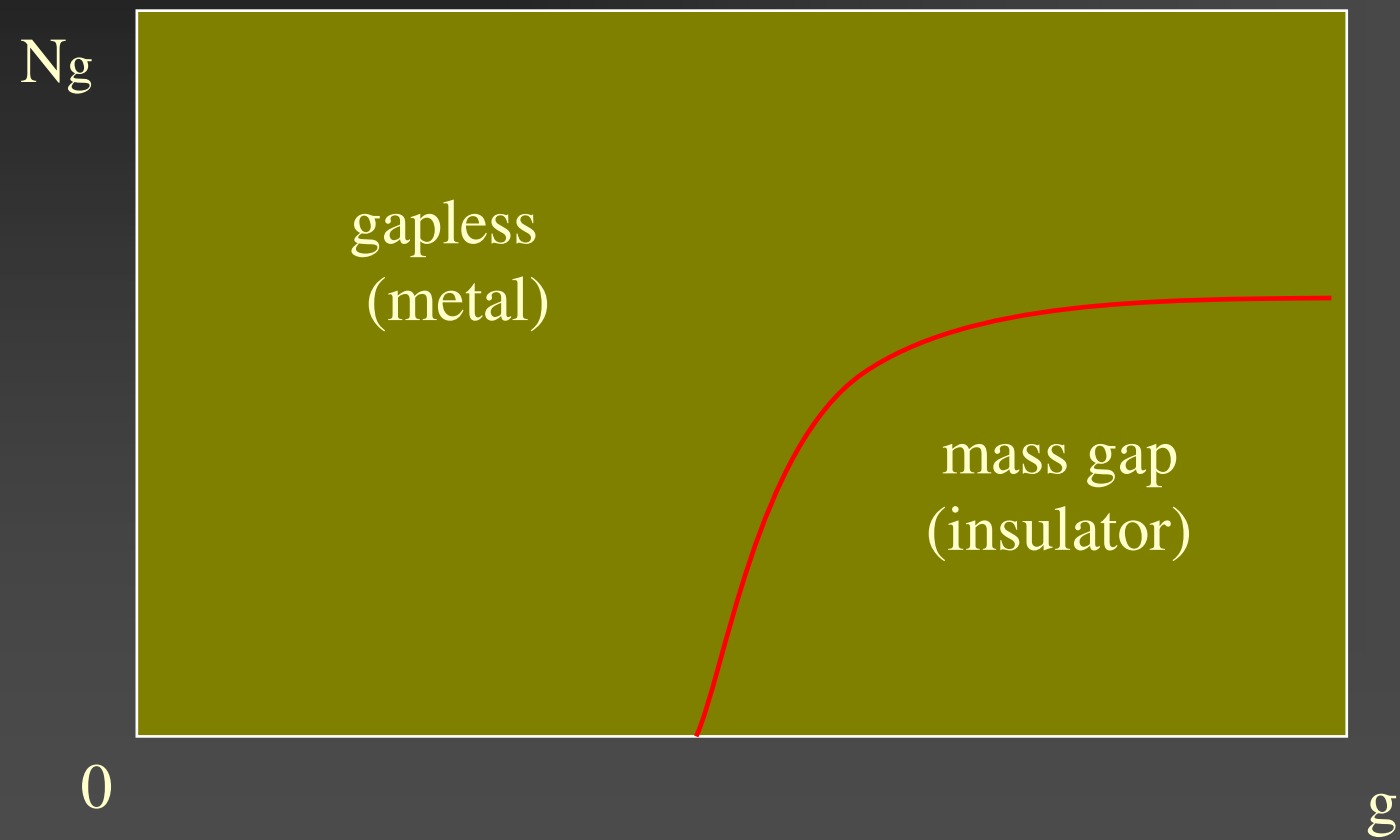
- U(1) Schwinger-Dyson for dynamical mass
- solve in rainbow + nonlocal gauge [Georgi]  $S(p) = 1/[p + \Sigma(p^2)]$
- solving differential equation for mass gap

$$\Sigma(p^2) \sim \theta(\kappa^2) \sqrt{\frac{M^3}{p}} \sin\left(\frac{\kappa}{2} \log \frac{p}{M}\right)$$

where  $M \equiv \Sigma(M^2)$ ,  $\kappa^2 \equiv \frac{8g^2/3\pi^2}{1 + g^2 N_g/8} - 1$

- supercritical instability above critical gauge coupling
- mass-gap generation at IR as back-reaction to instability
- metal-insulator QPT across critical line in  $(g, N_g)$  space

# QPT Phase Diagram (schematic)





# Bethe-Salpeter

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- I also studied 2-particle channel Bethe-Salpeter kernel
  - Aforementioned novel “conformal invariance” plays a very important role --- both SD and BS kernel exhibits conformal invariance
  - The result is in agreement with Schwinger-Dyson and onset of supercritical instability phenomena.
  - Goldstone boson on Grassmannian  $U(2N_g)/U(N_g) \times U(N_g)$
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# Strong Coupling Analysis

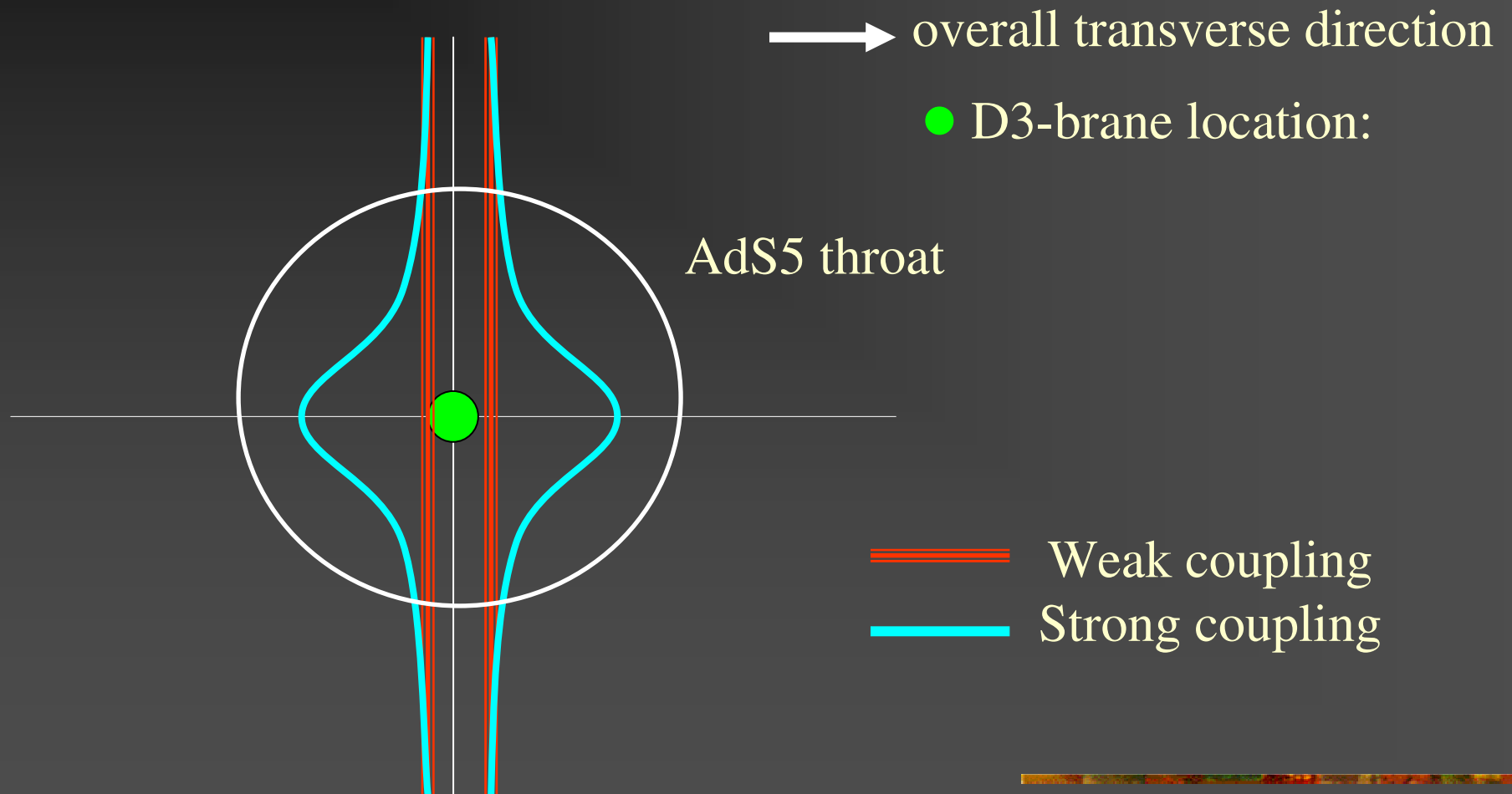
- replace D3-brane by supergravity background
- D7 branes in (partially) quenched approximation
- ground-state configuration of D7-brane profile

- study  $X_9$  profile for 3d coupling:

$$\sum_{a,b=1}^{2N_g} \bar{\psi}_a X_9^{ab} \psi_b$$

- flavor symmetry breaking and mass generation

# Effect of Strong Coupling



# Flavor-Parity Symmetry Breaking

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- RR 1-form field energy in D3 supergravity background
  - found symmetry breaking patter  
$$U(2N_g) \rightarrow U(N_g) \times U(N_g), \quad P(3d) \text{ unbroken}$$
  - partially quenched extension also studied and found  
$$U(2N_g | 2M_g) \rightarrow U(N_g | M_g) \times U(N_g | M_g)$$
  
[cf. Okuda+Takayanagi]
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# Strong Coupling to Schwinger-Dyson

- study D7-brane coordinate  $X_9(r)$   
( $r$  = radius of 5d transverse to D3 and  $X_9$ )
- nonlinear DBI equation of motion solved
- large  $r$  asymptotics in AdS5 throat for **all**  $N_g$

$$X_9(r) \sim \sqrt{\frac{L^3}{r}} \sin\left(\frac{\kappa_S}{2} \log \frac{r}{L}\right) \quad \kappa_S \equiv \sqrt{3}$$

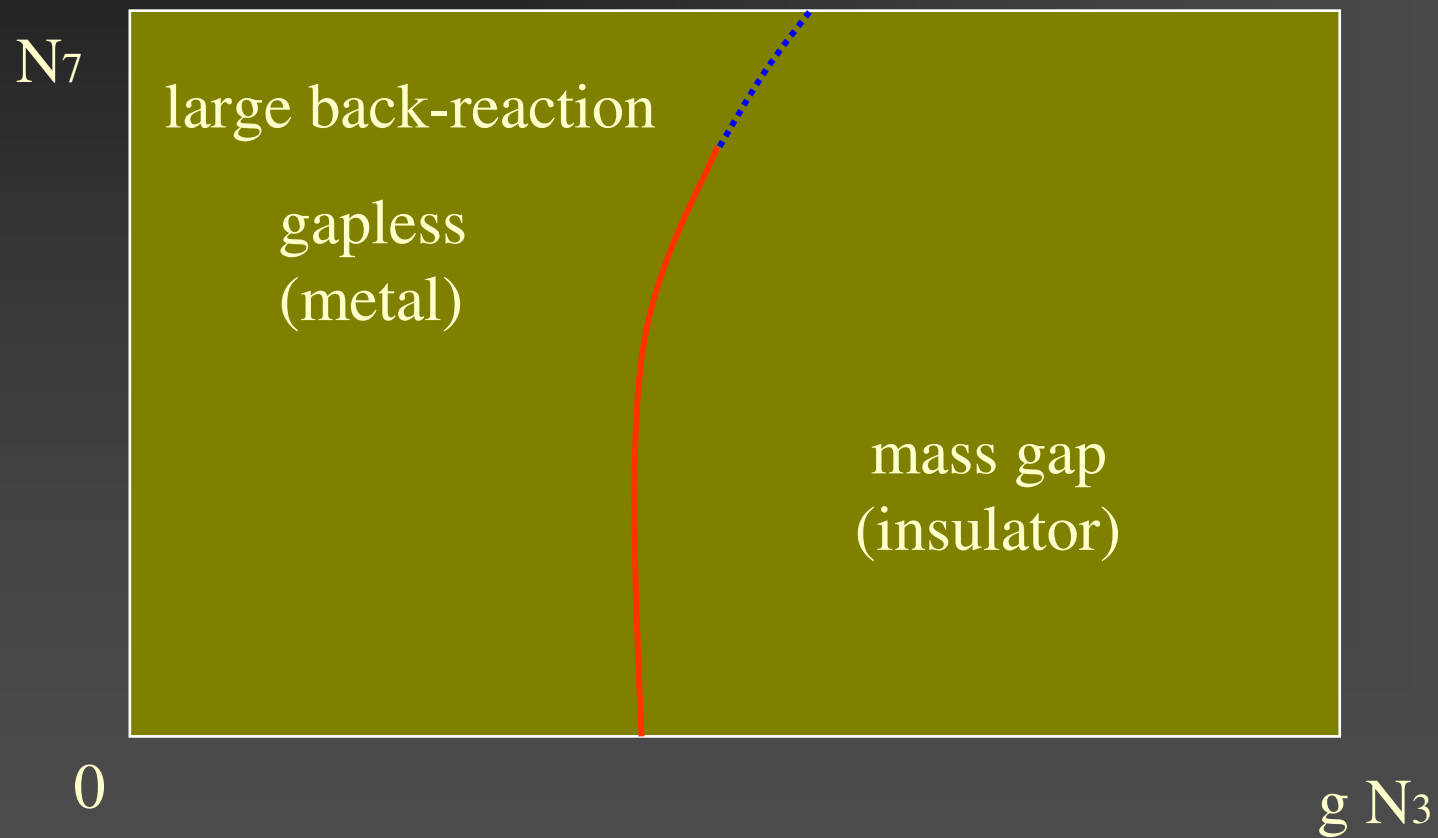
- at small  $r$ ,  $X_9$  remain stable
- full D3-brane geometry cuts off supercritical instability  
 $X_9(r) \sim \frac{1}{r^3}$  --- intuitively, a point source on D7

# Results of Strong Coupling Analysis

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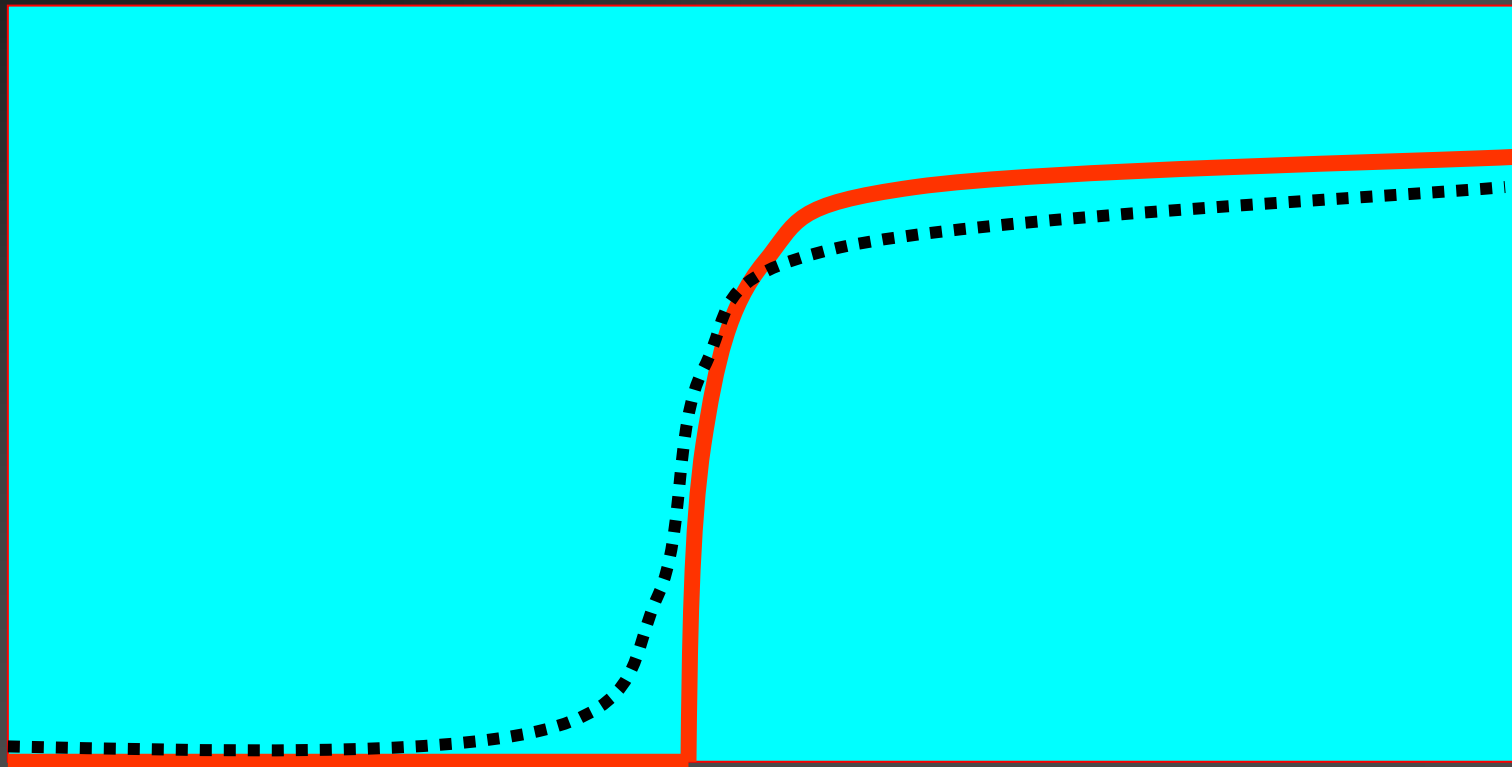
- We found
    - D-brane setup as a new approach to conformal QFT
    - flavor/parity symmetry breaking pattern unmodified
    - dynamical mass generation from AdS5 throat region
$$M \ll r \ll R_{\text{AdS}}$$
    - geometric interpretation of supercritical instability
    - universal stable IR mass gap
    - extends results to partially quenched fermions – can be compared with lattice simulations
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# String Prediction of Phase Diagram



# Non-analyticity

M



Cf. comparison with QCD Banks+Zaks

$gN_3$



# Other results on

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- finite temperature extension and new phase boundaries
  - 2-, 4-dimensional counterpart studied --- considerable difference and no conformal QPT found
  - compactify z-direction of D3 with APBC [[Witten](#); [Sakai+Sugimoto](#)] and study graphene / D3-D7 away from conformality (akin to standard 3d gauge theory)
  - electrical transport properties with(out) **B** are obtained
  - deformation of graphene lattice  $\rightarrow$  odd number of fermion flavors and induced Chern-Simons term
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# Conclusion

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- from string theory, we found novel 4d-3d coupled system exhibiting conformal quantum phase transition
  - relevant for graphenes on substrates, gauge interaction
  - laboratory experiment test exciting – can change gauge coupling (substrates of different dielectric parameter)
  - potential new avenue for string theory application-test
  - there are other potential quantum phase transitions in string theory contexts. One example I am currently studying is decay of  $\frac{1}{4}$ -BPS states [cf. Sen, Moore]
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# The Theorists of Everything

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[circa 1991, at Santa Barbara lunch table]

- **Laughlin** “I found an incredibly beautiful wave function of strongly correlated many electrons in a semiconductor !”.
  - **Hartle** “Sometime ago (with Hawking) I found the wave function of the Universe. Isn't yours **a tiny part of mine??**”
  - **Laughlin** “....”
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**--- WYSWYG ---**

**What You See is What You Get!**

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