

# Mass Renormalization and Vacuum Shift in String Theory

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Based on

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## Motivation

Conventional techniques in string theory have limited use in the study of mass renormalization or vacuum shift.

We shall begin by outlining the origin of these problems.

## LSZ formula for S-matrix elements in QFT

$$\lim_{k_i^2 \rightarrow -m_{i,p}^2} \mathbf{G}_{\mathbf{a}_1 \dots \mathbf{a}_n}^{(n)}(\mathbf{k}_1, \dots, \mathbf{k}_n) \prod_{i=1}^n \{Z_i^{-1/2} \times (k_i^2 + m_{i,p}^2)\}$$

$\mathbf{G}^{(n)}$ : n-point Green's function

$\mathbf{a}_1, \dots, \mathbf{a}_n$ : quantum numbers,  $\mathbf{k}_1, \dots, \mathbf{k}_n$ : momenta

$m_{i,p}$ : physical mass of the i-th external state

– given by the locations of the poles of two point function in the  $-k^2$  plane.

$Z_i$ : wave-function renormalization factors, given by the residues at the poles.

**In contrast, string amplitudes compute ‘truncated Greens function on classical mass-shell’**

$$k_i^2 \rightarrow -m_i^2 \quad \mathbf{G}_{\mathbf{a}_1 \dots \mathbf{a}_n}^{(n)}(k_1, \dots, k_n) \prod_{i=1}^n (k_i^2 + m_i^2).$$

**$m_i$ : tree level mass of the  $i$ -th external state.**

**$k_i^2 \rightarrow -m_i^2$  condition is needed to make the vertex operators conformally invariant.**

## String amplitudes:

$$\lim_{k_i^2 \rightarrow -m_i^2} G_{a_1 \dots a_n}^{(n)}(\mathbf{k}_1, \dots, \mathbf{k}_n) \prod_{i=1}^n (k_i^2 + m_i^2),$$

## The S-matrix elements:

$$\lim_{k_i^2 \rightarrow -m_{i,p}^2} G_{a_1 \dots a_n}^{(n)}(\mathbf{k}_1, \dots, \mathbf{k}_n) \prod_{i=1}^n \{Z_i^{-1/2} \times (k_i^2 + m_{i,p}^2)\}$$

The effect of  $Z_i$  can be taken care of.

Witten

The effect of mass renormalization is more subtle.

⇒ String amplitudes compute S-matrix elements directly if  $m_{i,p}^2 = m_i^2$  but not otherwise.

– Includes BPS states, massless gauge particles and all amplitudes at tree level.

## A common excuse

“We can find the renormalized masses by examining the poles in the S-matrix of massless and/or BPS states which do not suffer mass renormalization.”

**Does not always work.**

**Example: In  $SO(32)$  heterotic string theory there is a massive state in the spinor representation of  $SO(32)$**

**– does not produce a pole in the S-matrix of massless states which belong to adjoint or singlet of  $SO(32)$ .**

## Problem with vacuum shift

**Example:** In many compactifications of SO(32) heterotic string theory on Calabi-Yau 3-folds, one loop correction generates a Fayet-Ilioupoulos term.

**Effect:** Generate a potential of a charged scalar  $\phi$  of the form

$$c(\phi^* \phi - K g^2)^2$$

**c, K: positive constants, g: string coupling**

Dine, Seiberg, Witten; Atick, Dixon, A.S.; Dine, Ichinose, Seiberg  
Atick, A.S.; Witten; D'Hoker, Phong; Berkovits, Witten

**Conventional approach does not tell us how to carry out systematic perturbation expansion around the correct vacuum at  $|\phi| = g\sqrt{K}$**

**– not described by a world-sheet CFT**

**How do we proceed?**

Many indirect approaches to these problems have been discussed in the past.

**Vacuum shift:**

Fischler, Susskind; . . .

**Mass renormalization:**

Weinberg; Selberg; A.S.; Ooguri & Sakai; Das; Rey; . . .

**We shall follow a direct approach using off-shell Green's function.**



**We can think of two routes:**

## **1. String field theory**

**– many attempts but not much progress beyond tree level / bosonic string theory.**

Witten; Zwiebach; Berkovits; Berkovits, Okawa, Zwiebach; Erler, Konopka, Sachs; . . .

## **2. Pragmatic approach: Generalize Polyakov prescription without worrying about its string field theory origin.**

Vafa; Cohen, Moore, Nelson, Polchinski; Alvarez Gaumé, Gomez, Moore, Vafa; Polchinski; [Nelson](#)

**We shall follow the pragmatic approach.**

**The main problem with this approach is that once we go off-shell, the amplitudes are not invariant under conformal transformation on the world-sheet.**

**They begin to depend on spurious data like the choice of**

**local coordinates at the punctures**

**where the off-shell vertex operators are inserted.**

**However this is not very different from the situation in a gauge theory where off-shell Green's functions of charged fields are gauge dependent.**

**Nevertheless the renormalized masses and S-matrix elements computed from these are gauge invariant.**

**Can the story be similar in string theory?**

## Strategy:

1. Compute the off-shell amplitudes by choosing some local coordinate system at the punctures.

2. Show that the renormalized masses and S-matrix elements do not depend on the choice of local coordinates.

**Since these issues are common between bosonic string theory and superstring theories we shall discuss the results in the context of closed bosonic string theory.**

**Extension to super/heterotic strings involves replacing**

**local coordinates  $\rightarrow$  local superconformal coordinates**

Alvarez-Gaume, Gomez, Nelson, Sierra, Vafa; Belopolsky; Witten

**(Ramond sector has some additional technical complications which have not been sorted out but do not seem unsurmountable).**

**It turns out that we can achieve our goal only if we impose some additional restrictions on the choice of local coordinate system at the punctures.**

**– gluing compatibility.**

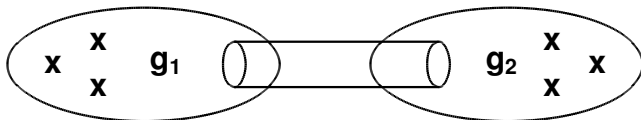
Consider a genus  $g_1$ ,  $m$ -punctured Riemann surface and a genus  $g_2$ ,  $n$ -punctured Riemann surface.

Take one puncture from each of them, and let  $w_1, w_2$  be the local coordinates around the punctures at  $w_1 = 0$  and  $w_2 = 0$ .

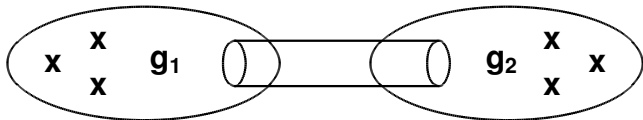
Glue them via the identification (plumbing fixture)

$$w_1 w_2 = e^{-s+i\theta}, \quad 0 \leq s < \infty, \quad 0 \leq \theta < 2\pi$$

– gives a family of new Riemann surfaces of genus  $g_1 + g_2$  with  $(m+n-2)$  punctures.



**Gluing compatibility: Choice of local coordinates at the punctures of the genus  $g_1 + g_2$  Riemann surface must agree with the one induced from the local coordinates at the punctures on the original Riemann surfaces.**



**(Follows automatically if the choice of local coordinate system is inherited from bosonic string field theory in the Siegel gauge.)**



**Gluing compatibility allows us to divide the contributions to off-shell Green's functions into 1-particle reducible (1PR) and 1-particle irreducible (1PI) contributions.**

**Two Riemann surfaces joined by plumbing fixture**



**Two amplitudes joined by a propagator**

**Riemann surfaces which cannot be obtained by plumbing fixture of other Riemann surfaces contribute to 1PI amplitudes.**

**1PI amplitudes do not include degenerate Riemann surfaces and hence are free from poles.**

Once this division has been made we can apply the usual field theory manipulations to analyze amplitudes.

Example: Two point function

$$\text{---} \text{1PI} \text{---} + \text{---} \text{1PI} \text{---} \text{---} \text{1PI} \text{---} + \dots$$

– can be used to partially resum the perturbation series and calculate mass renormalization.

Similar analysis can be used to compute S-matrix elements using LSZ procedure.

## Results:

1. The renormalized masses of physical states and S-matrix elements are independent of the choice of local coordinate system.
2. Wave-function renormalization factors and the renormalized masses of unphysical states depend on the choice of local coordinates at the punctures.
3. Poles of the S-matrix of massless / BPS states occur at the renormalized masses of physical states computed from our prescription.

**Everything is satisfactory!**

## Application 2: Shifting the vacuum

Suppose we have a scalar field  $\phi$  with tree level potential

$$A \phi^4 + \dots$$

Suppose that loop correction generates a -ve mass<sup>2</sup> term

$$-C g^2 \phi^2 + \dots$$

Physically we expect minima at

$$\phi^2 = \frac{1}{2} \frac{C}{A} g^2 + \dots$$

Question: How do we compute physical quantities in this vacuum?

We assume vanishing of all other massless tadpoles at this vacuum.

$\lambda \equiv$  vacuum expectation value of  $\phi$  (unknown)

$\Gamma^{(n)}$ : String amplitudes in the original vacuum (known)

$$\Gamma^{(n)} = \mathbf{G}^{(n)} \prod_{i=1}^n (\mathbf{k}_i^2 + \mathbf{m}_i^2)$$

$\mathbf{m}_i$ : tree level masses

$\Gamma_{\lambda}^{(n)}$ : String amplitudes in the shifted vacuum (unknown)

Field theory intuition tells us that

Lee; Bardakci, Halpern

$$\begin{aligned} & \Gamma_{\lambda}^{(n)}(\mathbf{k}_1, \mathbf{a}_1; \cdots \mathbf{k}_n, \mathbf{a}_n) \\ = & \sum_{\mathbf{m}=0}^{\infty} \frac{\lambda^{\mathbf{m}}}{\mathbf{m}!} \Gamma^{(n+\mathbf{m})}(\mathbf{k}_1, \mathbf{a}_1; \cdots \mathbf{k}_n, \mathbf{a}_n; \mathbf{0}, \phi; \cdots; \mathbf{0}, \phi) \end{aligned}$$

$\longleftarrow \mathbf{m} \longrightarrow$

$$\Gamma_{\lambda}^{(n)}(\mathbf{k}_1, \mathbf{a}_1; \cdots \mathbf{k}_n, \mathbf{a}_n) = \sum_{m=0}^{\infty} \frac{\lambda^m}{m!} \Gamma^{(n+m)}(\mathbf{k}_1, \mathbf{a}_1; \cdots \mathbf{k}_n, \mathbf{a}_n; \mathbf{0}, \phi; \cdots; \mathbf{0}, \phi) \quad \leftarrow m \rightarrow$$

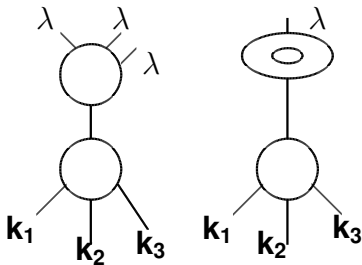
Determine  $\lambda$  by demanding vanishing of

$$\begin{aligned} \Gamma_{\lambda}^{(1)}(\mathbf{0}, \phi) &= \sum_{m=0}^{\infty} \frac{\lambda^m}{m!} \Gamma^{(1+m)}(\mathbf{0}, \phi; \cdots; \mathbf{0}, \phi) \quad \leftarrow m+1 \rightarrow \\ &= 4\mathbf{A}\lambda^3 - 2\mathbf{C}\mathbf{g}^2\lambda + \cdots \end{aligned}$$

– requires cancellation between different loop orders leading to

$$\lambda = \sum_{n=0}^{\infty} \mathbf{A}_n \mathbf{g}^{2n+1}$$

**Problem: Individual contributions to  $\Gamma_\lambda^{(n)}$  contain zero momentum internal massless propagators causing divergence.**



**Need infrared regulator at the intermediate stage of calculation.**

Witten

**After combining all the contributions at a given order in  $g$  we need to remove the regulator.**

## Results:

1. Physical quantities, as well as  $\lambda$ , have finite limit as the regulator is removed.

– not surprising since we are adjusting  $\lambda$  to cancel  $\phi$ -tadpole at every order.

2. The shift  $\lambda$  depends on the choice of local coordinates.

3. However the physical quantities like S-matrix elements are independent of the choice of local coordinates.

**Everything is satisfactory**



## **Conclusion**

**Even if a full fledged string field theory is not available, a pragmatic definition of off-shell amplitudes in string theory serves useful purpose.**

**1. Mass renormalization.**

**2. Perturbative vacuum shift.**

**There could be other applications in the future.**