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# Global F-Theory Compactifications with Higher Rank Abelian Symmetries

Mirjam Cvetič



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arXiv:1303.6970 [hep-th]: M. C. Denis Klevers, Hernan Piragua

arXiv:1306.0236 [hep-th]: M. C. A. Grassi, D.Klevers, H. Piragua

arXiv:130n.nnnn [hep-th] (UPR-1251-T): M.C., D.Klevers, H. Piragua

Also: Xiv:1210.6034 [hep-th]: M. C., Thomas W. Grimm, D. Klevers



F-theory Compactifications with additional U(1)'s

**MOTIVATION**

# Why F-theory Compactification?

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Domain of string theory landscape with promising particle physics

- Focus D=4 N=1 SUSY GUT's [SU(5), SO(10)]  
w/chiral matter, Yukawa couplings 10 10 5,...

➡ GUT-model building in F-theory

- **Moduli stabilization** (fluxes) [Gukov, Vafa, Witten],...

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Local: [Donagi, Wijnholt; Beasley, Heckman, Vafa;  
... Review: Heckman, ...]

Global: [Marsano, Saulina, Schäfer-Nameki;  
Blumenhagen, Grimm, Jurke, Weigand; ...  
M.C., Halverson Garcia-Etxebarria; ...]

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Conceptual: geometric description at finite string coupling

[Vafa; Witten; ...]

- F-theory via finite coupling Type IIB string theory:  
Consistent set-up of back-reacted seven-branes  
Non-perturbative coupling regions on non-Calabi-Yau geometry
- F-theory via Geometry:  
Globally defined elliptically fibered Calabi-Yau manifold

# Why Abelian Symmetries in F-theory?

Particle physics: important ingredient of Beyond Standard Model Physics

- Light  $U(1)$  gauge bosons:  $Z'$ -physics, NMSSM,  $U(1)_{PQ}$ , ...
- Massive (Stückelberg)  $U(1)$  gauge bosons: low energy global symmetry  
     selection rules (proton decay; R-parity violation; neutrino masses...)

Multiple  $U(1)$ 's desirable



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Multiple U(1)'s desirable

Conceptual: new types of elliptic fibrations

- Related to Abelian **Mordell-Weil group** of elliptic fibrations  
➡ torsion part studied Torsion part: [Morrison, Vafa;  
Aspinwall, Morrison;...]  
w/free part less understood (global issues) For toric K3: [Grassi, Perduca]
- Few systematic studies in contrast to non-Abelian groups  
Non-Abelian: [Kodaira; Tate;  
Morrison, Vafa; Bershadsky et al.;...]

# Outline & Summary of the talk

- I. Construction of elliptically fibered Calabi-Yau manifolds w/  
rank 2 Mordell-Weil (MW) group
- II. Determination of matter representations and multiplicity in D=6 and D=4
- III. First construction of  $G_4$ -fluxes on Calabi-Yau four-folds with rk=2 MW-group
- IV. Construction of explicit  $U(1) \times U(1)$  and  $SU(5) \times U(1) \times U(1)$  w/spectra & chirality

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Two-fold advances: Geometry & M-theory/F-theory duality

- I. Geometry: in D=6 determines all matter representations and multiplicity  
in D=4  $G_4$  fluxes & some of matter surfaces identified  $\rightarrow$  some chiralities
- II. M-theory/F-theory duality in D=3: Constraints on  $G_4$  w/Chern-Simons terms determine
  - all chiral indices (tested against geom. calc.)
  - confirm cancellation of all anomalies

The Type IIB perspective

# F-THEORY HIGHLIGHTS

The Type IIB perspective

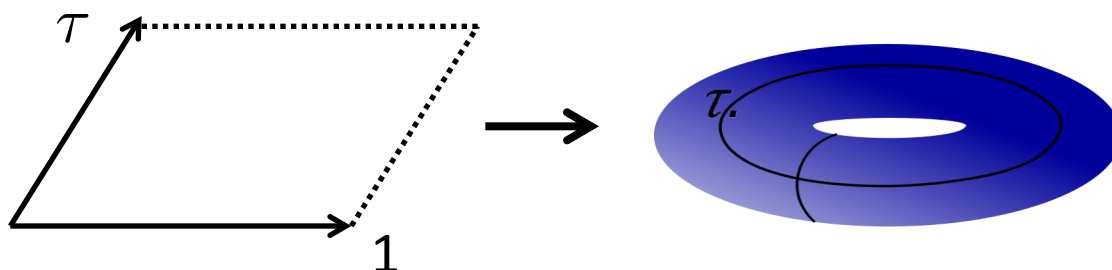
# F-THEORY HIGHLIGHTS

[At Strings'12: D-instantons in F-theory; Heterotic M-theory perspective]

[M.C., Donagi, Halverson, Marsano]

# F-theory via Type IIB: basic ingredients

- F-theory is a geometric,  $SL(2, \mathbf{Z})$  invariant formulation of Type IIB string theory: invariant geometric object is two-torus  $T^2(\tau)$  [Vafa]



- Modular parameter  $\tau$  of  $T^2(\tau)$ :  $\tau \equiv C_0 + ig_s^{-1}$  Type IIB axion-dilaton ( $SL(2, \mathbf{Z}) = S$ -duality)

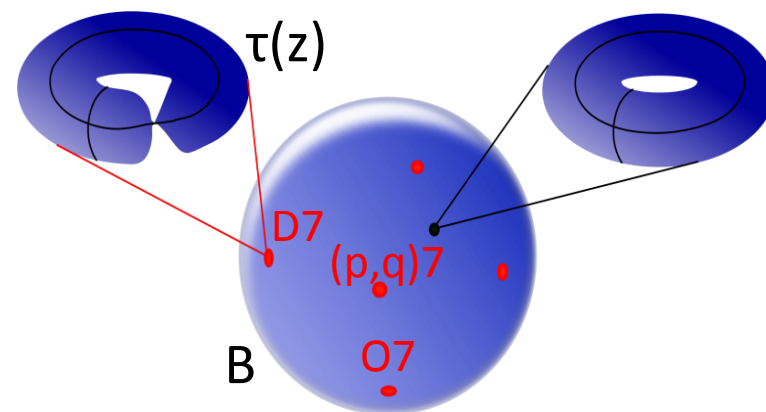
- $T^2(\tau)$ -fibration over a base space B:

Weierstrass parameterization:

$$y^2 = x^3 + fxz^4 + gz^6$$

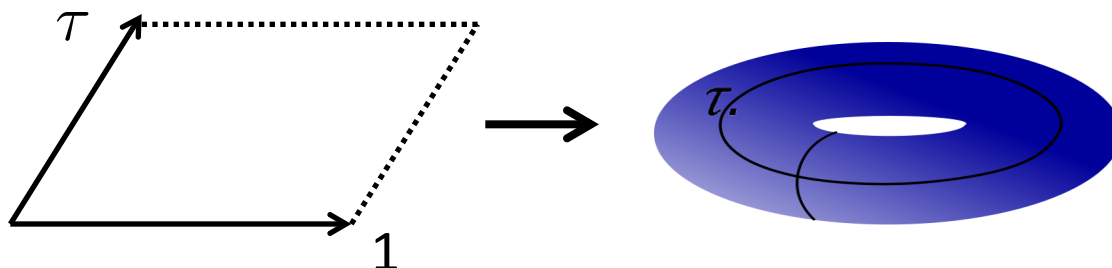
$f, g$ - function fields on B

$[z:x:y]$  homog. coords on  $\mathbf{P}^2(1,2,3)$



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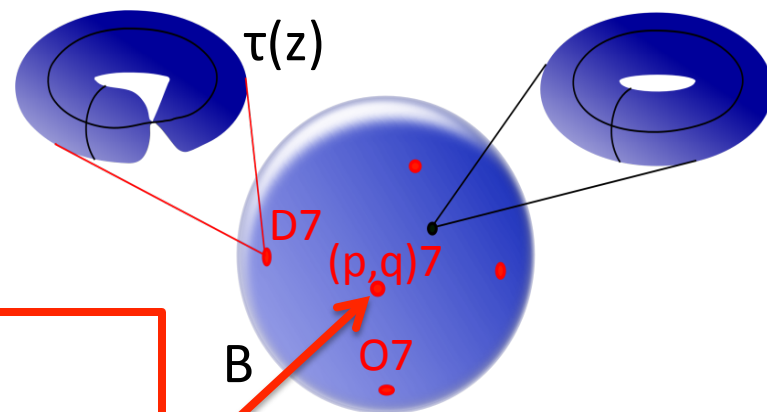


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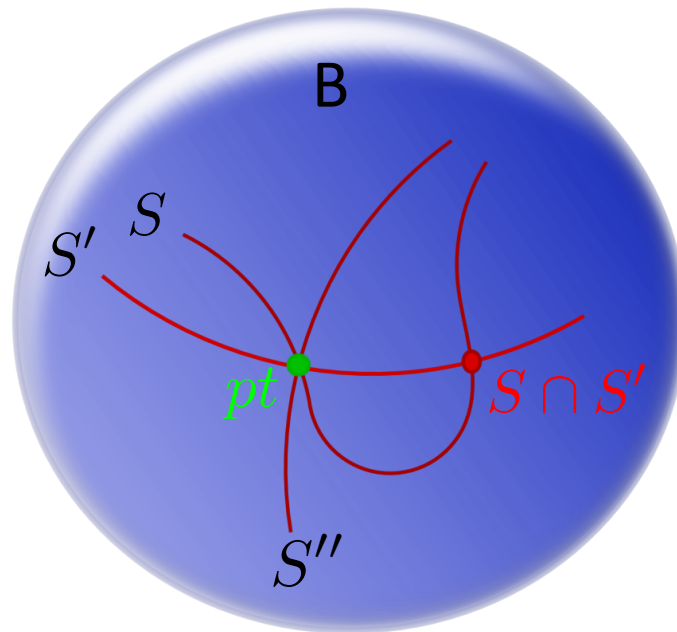
7-branes

non-perturbative regime:

$g_s \rightarrow \infty \longleftrightarrow$  singular  $T^2(\tau)$

# F-theory via Type IIB: basic ingredients

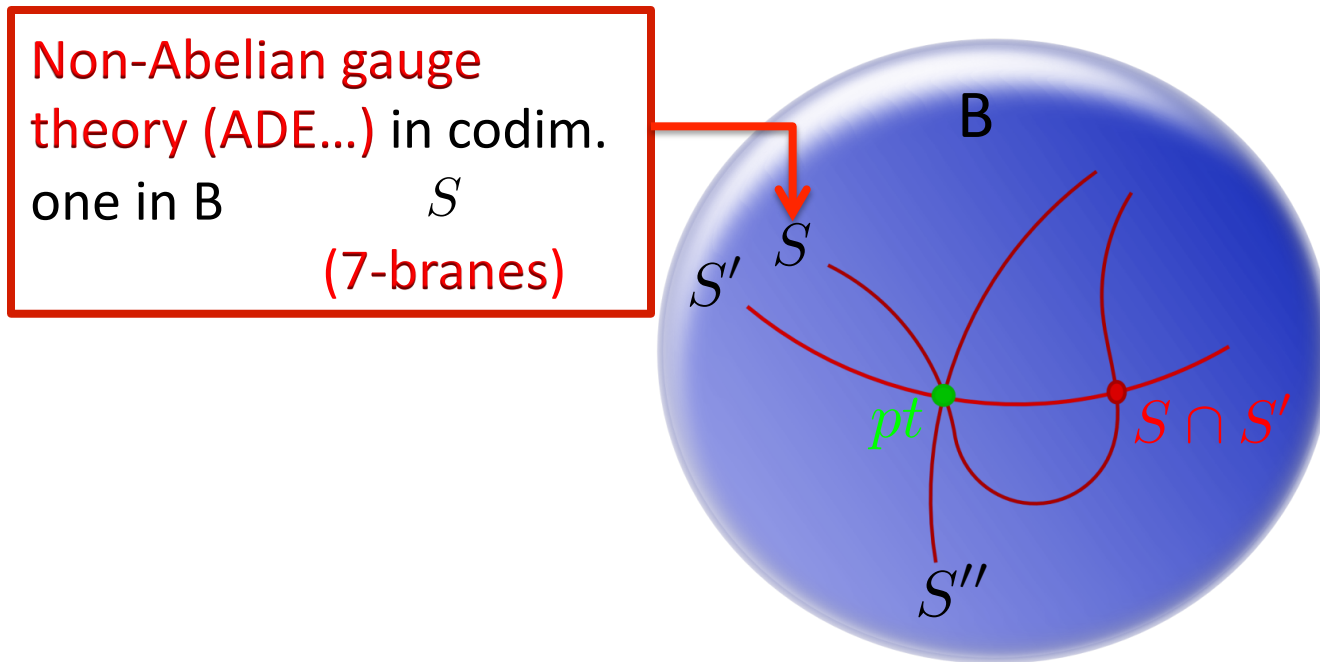
- Total space of  $T^2(\tau)$ -fibration: singular elliptic Calabi-Yau manifold  $X$   
D=4, N=1 vacua: fourfold  $X_4$       [D=6, N=1 vacua: threefold  $X_3$ ]
- X-singularities encode complicated set-up of intersecting 7-branes:





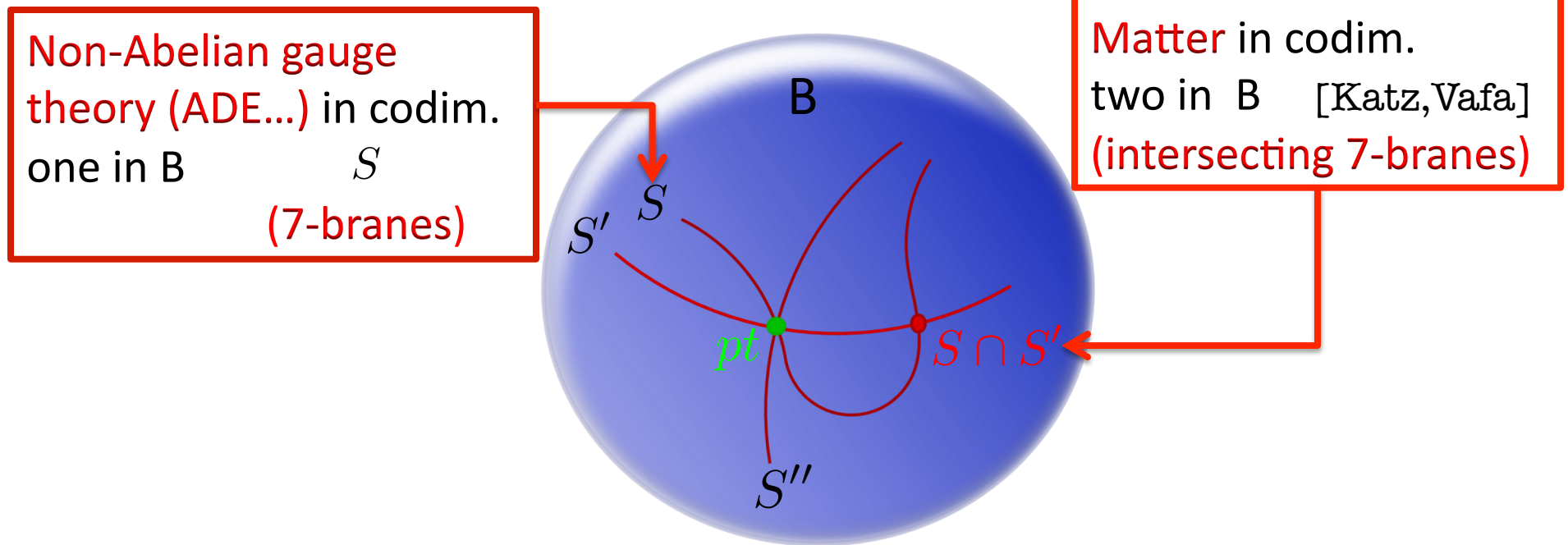
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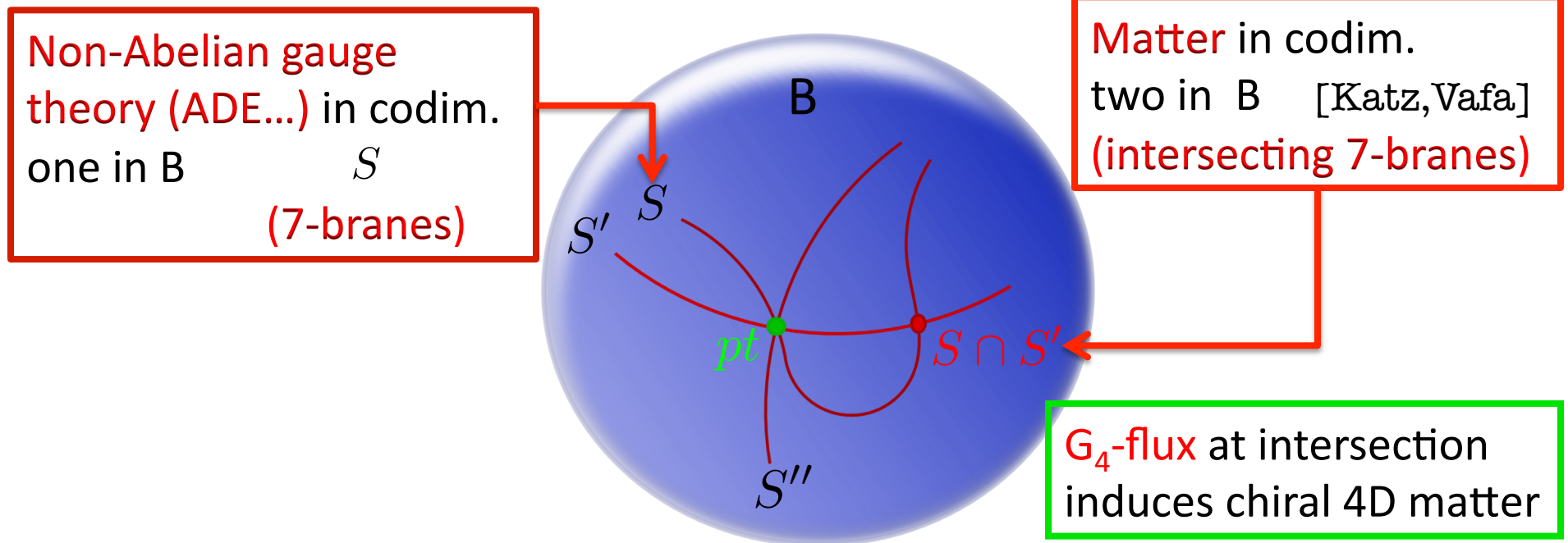
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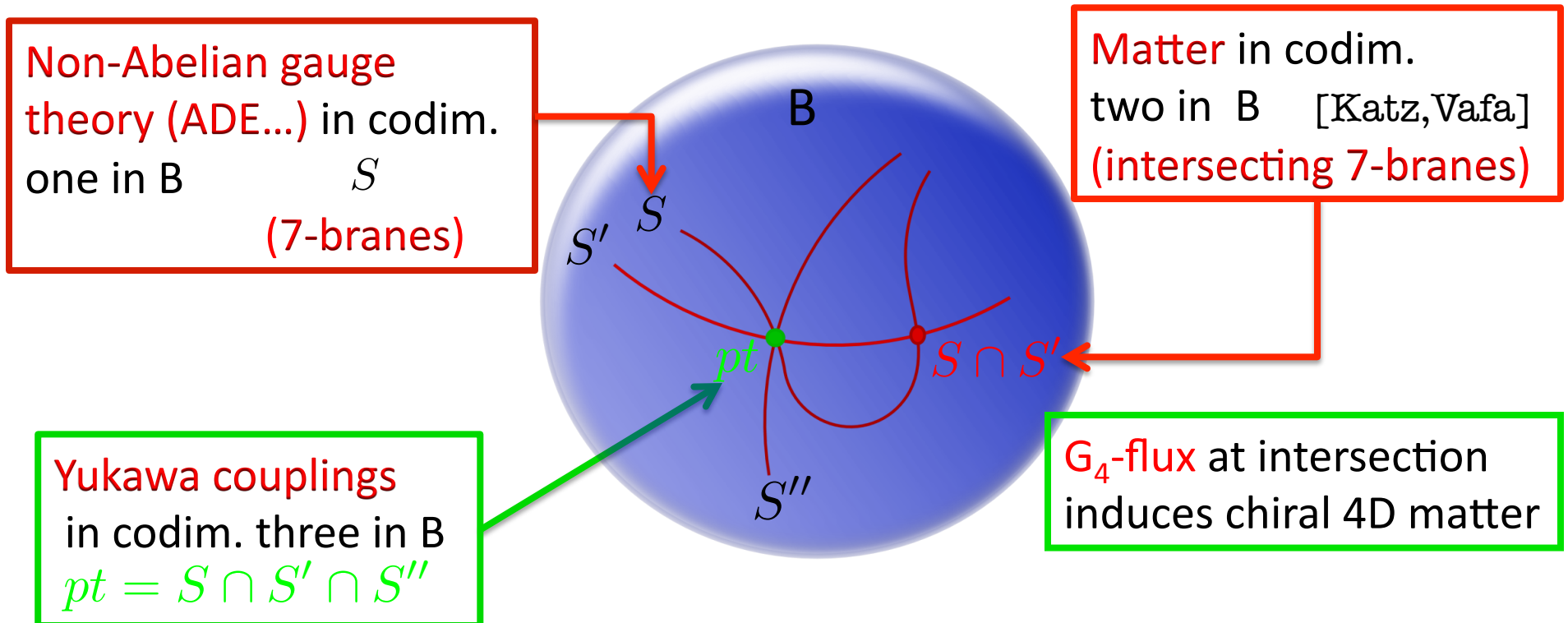
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- $X$ -singularities encode complicated set-up of intersecting 7-branes:



Non-Abelian gauge theory (ADE...) in codim. one in  $B$   $S$  (7-branes)

Matter in codim. two in  $B$  [Katz, Vafa] (intersecting 7-branes)

Yukawa couplings in codim. three in  $B$   $pt = S \cap S' \cap S''$

$G_4$ -flux at intersection induces chiral 4D matter

Constructing elliptic fibrations with rank two Mordell-Weil groups

**U(1)XU(1) SYMMETRY IN F-THEORY**

# MW-group of rational sections & U(1)'s

4D Abelian gauge fields arise from classical Kaluza-Klein-reduction of  $C_3$

$$C_3 = A^B \omega_B \supset A^i \omega_i + A^m \omega_m$$

(1,1)-forms on X      Cartans of non-Abelian group      U(1)-gauge fields

The diagram illustrates the decomposition of the 3-form  $C_3$  into its constituent parts. The equation  $C_3 = A^B \omega_B \supset A^i \omega_i + A^m \omega_m$  is shown. Three red arrows point from the text labels below to the corresponding terms in the equation: one from '(1,1)-forms on X' to  $A^B \omega_B$ , one from 'Cartans of non-Abelian group' to  $A^i \omega_i$ , and one from 'U(1)-gauge fields' to  $A^m \omega_m$ .

# MW-group of rational sections & U(1)'s

4D Abelian gauge fields arise from **classical Kaluza-Klein-reduction of  $C_3$**

$$C_3 = A^B \omega_B \supset A^i \omega_i + A^m \omega_m$$

(1,1)-forms on X
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Construction of (1,1)-form  $\omega_m$  via rational sections

1. **Rational point** Q on elliptic curve E with zero point P

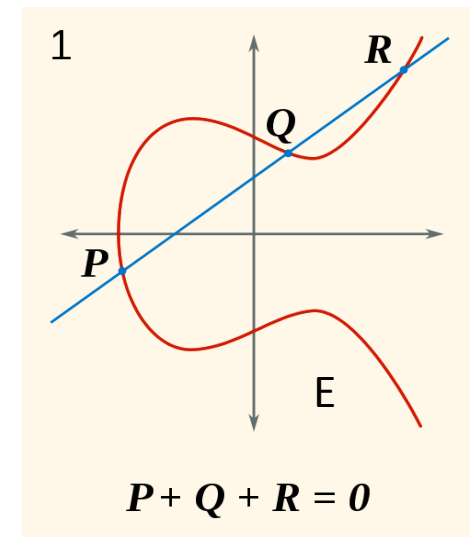
- is solution  $[z_Q : x_Q : y_Q]$  in field K of Weierstrass form

$$y^2 = x^3 + fxz^4 + gz^6$$

- Rational points **form group** (addition) on E



Mordell-Weil group of rational points



[wikipedia.org]

2. Q induces **rational section**  $\hat{s}_Q : B \rightarrow X$  of the fibration

(1,1)-form  $\omega_m$  Poincaré dual to divisor class  $S_Q$  (related to  $\hat{s}_Q$  via Shioda map)

# Construction of elliptic curve with $\text{rk}(\text{MW})=2$

[M.C., Klevers, Piragua]

Elliptic curve  $E$  with two rational points  $Q, R$

related work: [Borchman, Mayrhofer, Weigand]

$\text{rk}[\text{MW}]=1$ : [Morrison, Park; Mayrhofer, Palti, Weigand]



# Construction of elliptic curve with $\text{rk}(MW)=2$

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Elliptic curve  $E$  with two rational points  $Q, R$

Consider line bundle  $M=O(P+Q+R)$  of degree 3 on  $E$  (non-generic cubic in  $\mathbf{P}^2$ )

➔ natural representation as hypersurface  $p=0$  in del Pezzo  $d\mathbf{P}_2$

$$p = u(s_1 u^2 e_1^2 e_2^2 + s_2 u v e_1 e_2^2 + s_3 v^2 e_2^2 + s_5 u w e_1^2 e_2 + s_6 v w e_1 e_2 + s_8 w^2 e_1^2) + s_7 v^2 w e_2 + s_9 v w^2 e_1$$

$[u:v:w:e_1:e_2]$  –homogeneous coordinates of  $d\mathbf{P}_2$

(blow-up of  $\mathbf{P}^2$  w/  $[u':v':w']$  at 2 points:  $u'=ue_1e_2, v'=ve_2, w'=we_1$ )

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	$u$	$v$	$w$	$e_1$	$e_2$
$P$	$:-s_9$	$:s_8$	$:1$	$:1$	$:0$
$Q$	$:-s_7$	$:1$	$:s_3$	$:0$	$:1$
$R$	$:0$	$:1$	$:1$	$:-s_7$	$:s_9$

Points represented by intersections of different divisors in  $d\mathbf{P}_2$  with  $p$

# Classification of $dP_2$ elliptic fibrations

[M.C., Klevers, Piragua; M.C., Grassi, Klevers, Piragua]

## I. Ambient space:

- $dP_2$  fibration determined by two divisors  $\mathcal{S}_7$  and  $\mathcal{S}_9$  (loci of  $s_7=0, s_9=0$ )

$$\begin{array}{ccc} dP_2 & \longrightarrow & dP_2^B(\mathcal{S}_7, \mathcal{S}_9) \\ & & \downarrow \\ & & B \end{array}$$

## II. Calabi-Yau hypersurface $X$ :

- cuts out  $E$  in  $dP_2$
- coefficients  $s_i$  in CY-equation get lifted to sections of the base  $B$  (only  $s_7, s_9$  independent)
- coordinates  $[u:v:w:e_1:e_2]$  lifted to sections

$$\begin{array}{ccc} \hat{E} \subset dP_2 & \longrightarrow & X \\ & & \downarrow \\ & & B \end{array}$$

sections  $\hat{S}_P, \hat{S}_Q, \hat{S}_R$

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Birational map to Weierstrass fibration explicitly worked out

# Classification of $dP_2$ elliptic fibrations

Construction of general elliptic fibrations:

section	bundle	section	bundle
$u'$	$\mathcal{O}(H - E_1 - E_2 + \mathcal{S}_9 + [K_B])$	$s_1$	$\mathcal{O}(3[K_B^{-1}] - \mathcal{S}_7 - \mathcal{S}_9)$
$v'$	$\mathcal{O}(H - E_2 + \mathcal{S}_9 - \mathcal{S}_7)$	$s_2$	$\mathcal{O}(2[K_B^{-1}] - \mathcal{S}_9)$
$w'$	$\mathcal{O}(H - E_1)$	$s_3$	$\mathcal{O}([K_B^{-1}] + \mathcal{S}_7 - \mathcal{S}_9)$
$e_1$	$\mathcal{O}(E_1)$	$s_5$	$\mathcal{O}([2K_B^{-1}] - \mathcal{S}_7)$
$e_2$	$\mathcal{O}(E_2)$	$s_6$	$\mathcal{O}([K_B^{-1}])$
		$s_7$	$\mathcal{O}(\mathcal{S}_7)$
		$s_8$	$\mathcal{O}([K_B^{-1}] + \mathcal{S}_9 - \mathcal{S}_7)$
		$s_9$	$\mathcal{O}(\mathcal{S}_9)$

– CY-condition:  $\mathcal{S}_7$  and  $\mathcal{S}_9$  fixed

Engineer non-Abelian groups: make  $s_i$  non-generic

Can apply to toric cases w/ two  $U(1)$ 's

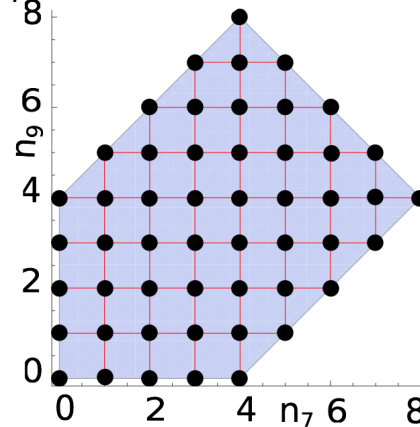
[Borchmann, Mayrhofer, Palti, Weigand; Braun, Grimm, Keitel]

# Classification of $dP_2$ elliptic fibrations

All topologically distinct  $D=6$  &  $D=4$  vacua for fixed base B. Example:

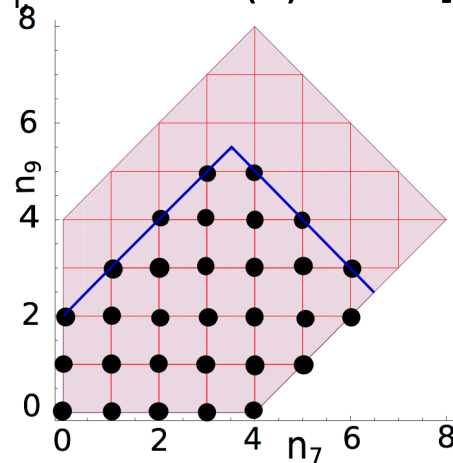
1.  $B=\mathbf{P}^3$ ,  $X$  generic [all  $s_i$  exist, generic]:  $U(1) \times U(1)$

$$\begin{aligned} \mathcal{S}_7 &= n_7 H_{\mathbb{P}^3} \\ \mathcal{S}_9 &= n_9 H_{\mathbb{P}^3} \end{aligned}$$



2.  $B=\mathbf{P}^3$ ,  $X$  non-generic [ $s_i$  realize  $SU(5)$  at  $t=0$ ]:  $SU(5) \times U(1) \times U(1)$

$$\begin{aligned} s_1 &= t^3 s'_1 \\ s_2 &= t^2 s'_2 \\ s_3 &= t^2 s'_3 \\ s_5 &= t s'_5 \end{aligned}$$



[M.C., Klevers, Piragua]

Can construct and **study all these CYs explicitly**  
(no restriction to toric hypersurfaces seems necessary)

Codimension two singularities of  $dP_2$ -elliptic fibrations

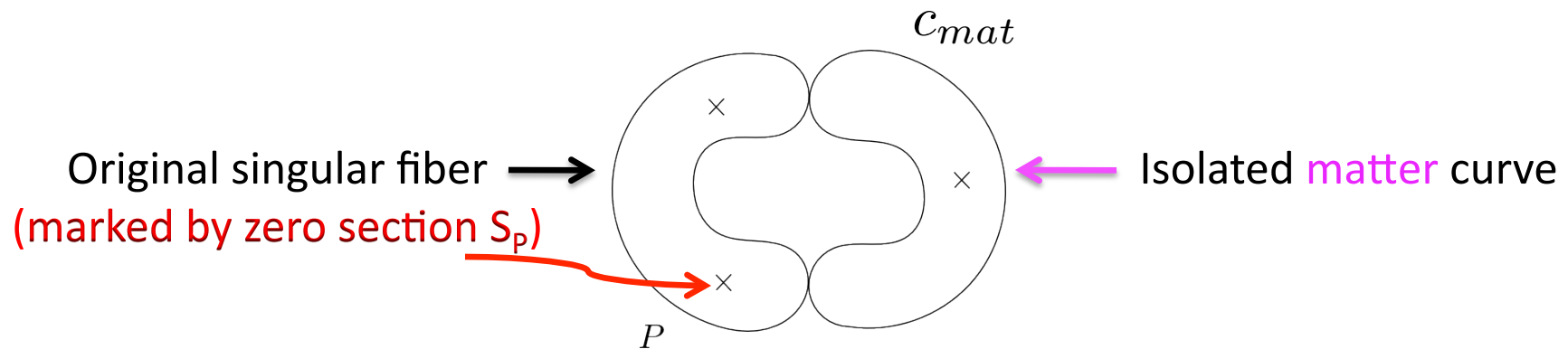
**MATTER  $U(1) \times U(1)$  F-THEORY VACUA**

# Matter representations

[M.C., Klevers, Piragua]

related work: [Borchman, Mayrhofer, Weigand]

- Matter in F-theory arises from a **co-dimension two singularities** in  $B$
- Singular fiber **resolved into reducible curves**  $E = c_1 + c_{\text{mat}}$  w/  $c_1 \cdot c_{\text{mat}} = 2$   
( $c_{\text{mat}}$  - M2-branes wrapping isolated  $P^1$  in reducible fiber)



Advances in higher co-dimension singularities: [Esole, Yau].., [Lawrie, Schäfer-Nameki]

Recent advances via deformations of singularities: [Halverson, Grassi, Shaneson]

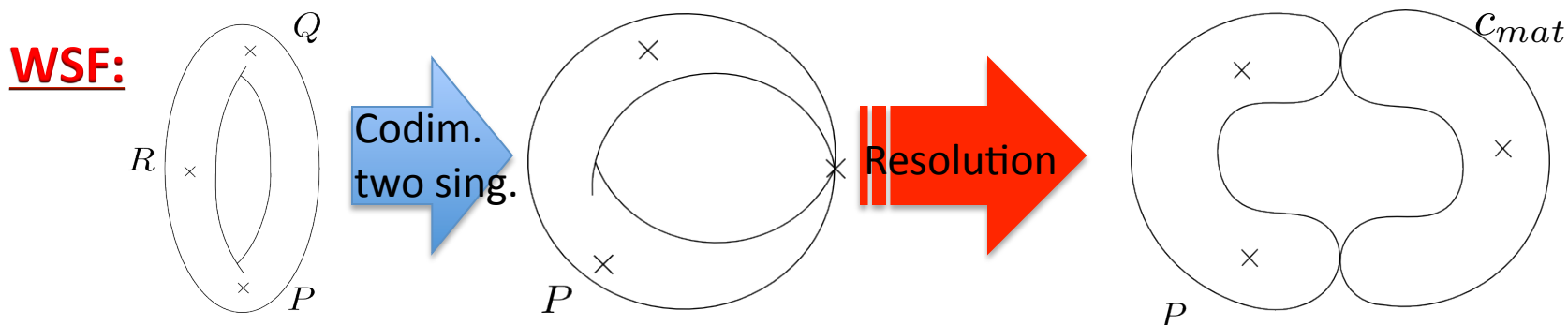


# Charged matter of Type I

Charge formula:

$$q_1 = (S_Q - S_P) \cdot c_{mat} \quad q_2 = (S_R - S_P) \cdot c_{mat}$$

Strategy: look for collisions of rational sections with singularities in Weierstrass fibration (WSF)

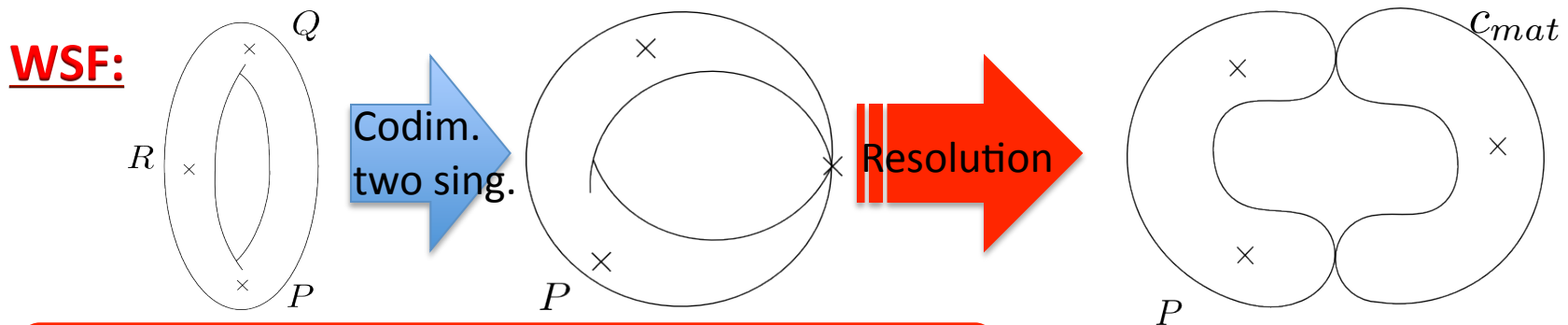


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## List of charged matter representations

Representation

Collision pattern

i.  $(q_1, q_2) = (1, 0)$

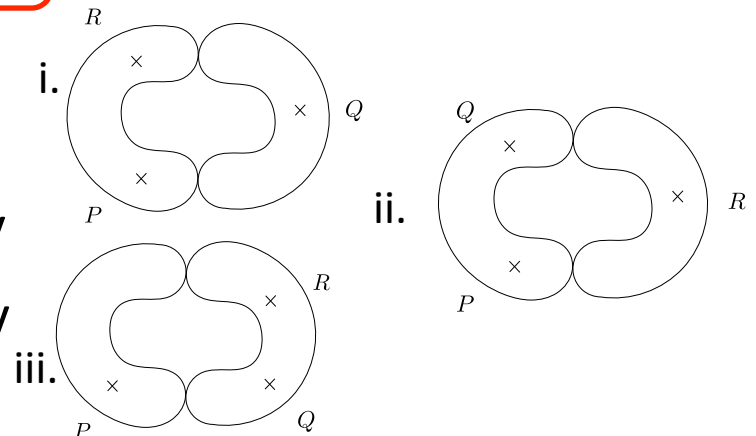
$Q \rightarrow$  WS-singularity

ii.  $(q_1, q_2) = (0, 1)$

$R \rightarrow$  WS-singularity

iii.  $(q_1, q_2) = (1, 1)$

$Q, R \rightarrow$  WS-singularity



# Charged matter of Type II

Strategy: look for loci in B where the sections are ill-defined

$$\begin{aligned} P : E_2 \cap p &= [-s_9 : s_8 : 1 : 1 : 0], & Q : E_1 \cap p &= [-s_7 : 1 : s_3 : 0 : 1], \\ R : D_u \cap p &= [0 : 1 : 1 : -s_7 : s_9]. \end{aligned}$$

sections no longer points in E, wrap entire  $\mathbf{P}^1$  in smooth X

# Charged matter of Type II

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$$R : D_u \cap p = [0 : 1 : 1 : -s_7 : s_9].$$

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## List of charged matter representations

Representation

Ill-defined section

iv.  $(q_1, q_2) = (-1, 1)$

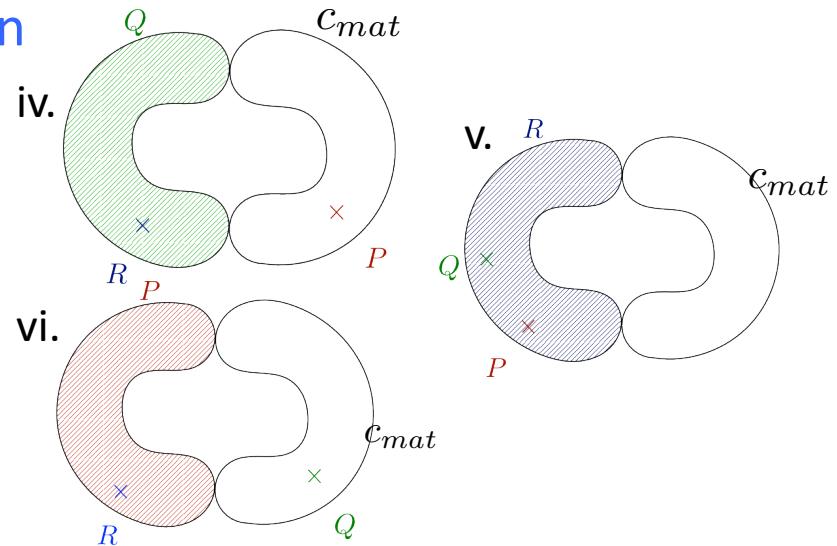
Q at  $s_3 = s_7 = 0$

v.  $(q_1, q_2) = (0, -2)$

R at  $s_7 = s_9 = 0$

vi.  $(q_1, q_2) = (-1, -2)$

zero section P  
at  $s_8 = s_9 = 0$



# Summary of Matter Representations

	$U(1) \times U(1)$
Type I	$(1, 0) (0, 1) (1, -1)$
Type II	$(-1, 1) (0, 2) (-1, -2)$

# Summary of Matter Representations

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X non-generic  $\rightarrow$  realize  $SU(5) \times U(1) \times U(1)$

Apply analogous techniques to determine matter representation

# Summary of Matter Representations

	$U(1) \times U(1)$
Type I	$(1, 0) (0, 1) (1, -1)$
Type II	$(-1, 1) (0, 2) (-1, -2)$

X non-generic  $\rightarrow$  realize  $SU(5) \times U(1) \times U(1)$

Apply analogous techniques to determine matter representations

Specific example:

$$\begin{aligned} s_1 &= t^3 s'_1 \\ s_2 &= t^2 s'_2 \\ s_3 &= t^2 s'_3 \\ s_5 &= t s'_5 \end{aligned}$$

w/  $SU(5)$  at  $t=0$

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Type II	$(-1, 1) (0, 2) (-1, -2)$	$(\mathbf{5}, -\frac{2}{5}, 1) (\mathbf{5}, \frac{3}{5}, 1) (\overline{\mathbf{10}}, -\frac{1}{5}, 0)$



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Apply analogous techniques to determine matter representation

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Matter multiplicities

# MATTER SPECTRUM IN 6D

# 6D matter multiplicities

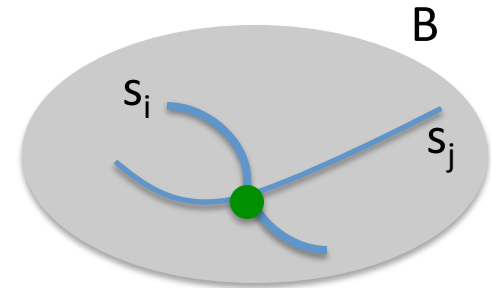
[M.C.,Klevers,Piragua]

Matter multiplicities = number of points in codimension 2 matter loci in B

1. Matter of Type II: simple complete intersection

$$s_i = s_j = 0$$

Number of points =  $\deg(s_i) * \deg(s_j)$



# 6D matter multiplicities

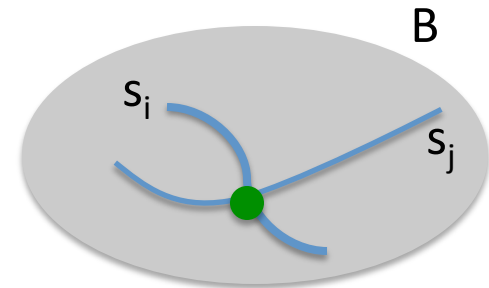
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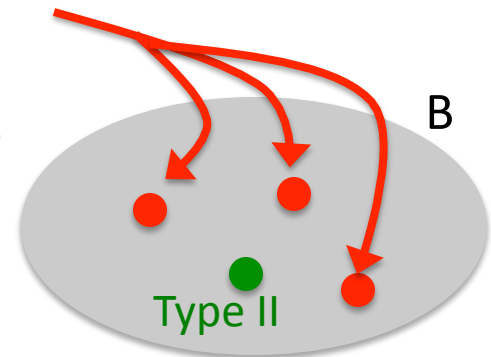
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## 2. Matter of Type I: opposite of complete intersections

Described by prime ideals (8 polynomial equations)

Counting of points via resultant of polynomial system



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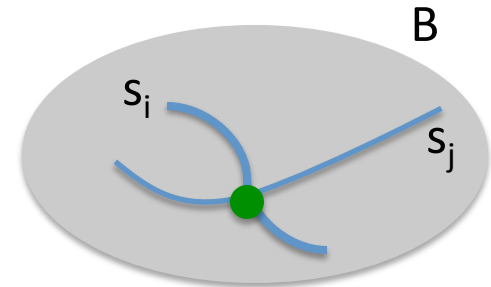
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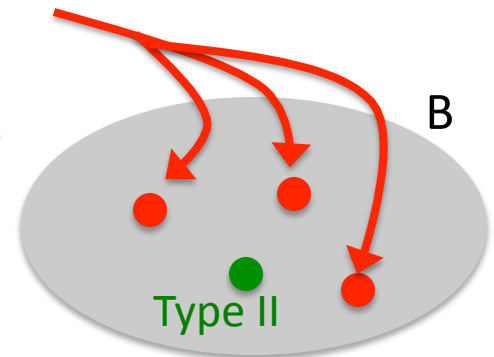
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## 2. Matter of Type I: opposite of complete intersections

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Method general! Can now apply to examples of

rk=1 MW [Morrison,Park;Mayrhofer,Palti,Weigand]

rk=2 MW [Borchman,Mayrhofer,Weigand],...

# 6D matter spectrum & multiplicities

6D matter spectrum and multiplicities can be obtained over any base B

Example:  $B = \mathbf{P}^2$   $w/U(1) \times U(1)$

	$(q_1, q_2)$	Multiplicity
Type I	(1, 0)	$54 - 15n_9 + n_9^2 + (12 + n_9)n_7 - 2n_7^2$
	(0, 1)	$54 + 2(6n_9 - n_9^2 + 6n_7 - n_7^2)$
	(1, 1)	$54 + 12n_9 - 2n_9^2 + (n_9 - 15)n_7 + n_7^2$
Type II	(-1, 1)	$n_7(3 - n_9 + n_7)$
	(0, 2)	$n_9n_7$
	(-1, -2)	$n_9(3 + n_9 - n_7)$

Integers  $n_7, n_9$  specify all  $dP_2$ -fibration over  $\mathbf{P}^2$

Full spectrum and multiplicities also with  $SU(5) \times U(1) \times (1)$  group

Consistency check: spectrum found to cancel 6D anomalies!

Matter surfaces,  $G_4$ -flux & 3D CS-terms

# MATTER SPECTRUM IN 4D

# 4D matter spectrum

[M.C.,Grassi,Klevers,Piragua]

4D-matter representations the same as in 6D (all in the fiber)

4D matter chiralities = codimension two matter loci in B +  $G_4$ -flux:

$$\chi(\mathbf{R}) = -\frac{1}{4} \int_{\mathcal{C}_{\mathbf{R}}} G_4$$

Geometry: I.Matter surfaces:

points in  $B_2 \rightarrow$  matter curves  $\Sigma_{\mathbf{R}}$  in  $B_3$

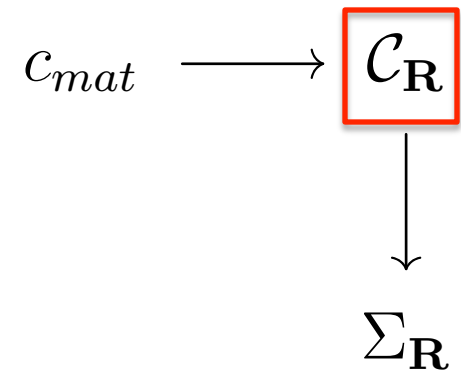
Type II matter surfaces found

Type I matter-hard

II.  $G_4$ -flux:

Construction of homology  $H_V^{(2,2)}(\hat{X})$

First construction of  $G_4$ -flux with non-holomorphic zero-section



# 4D matter spectrum

[M.C., Grassi, Klevers, Piragua]

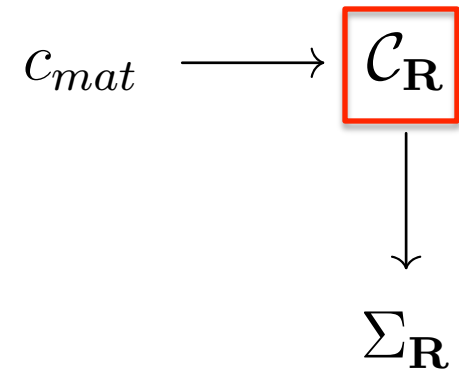
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Type I matter-hard

Evaluate integrals

Chiral index

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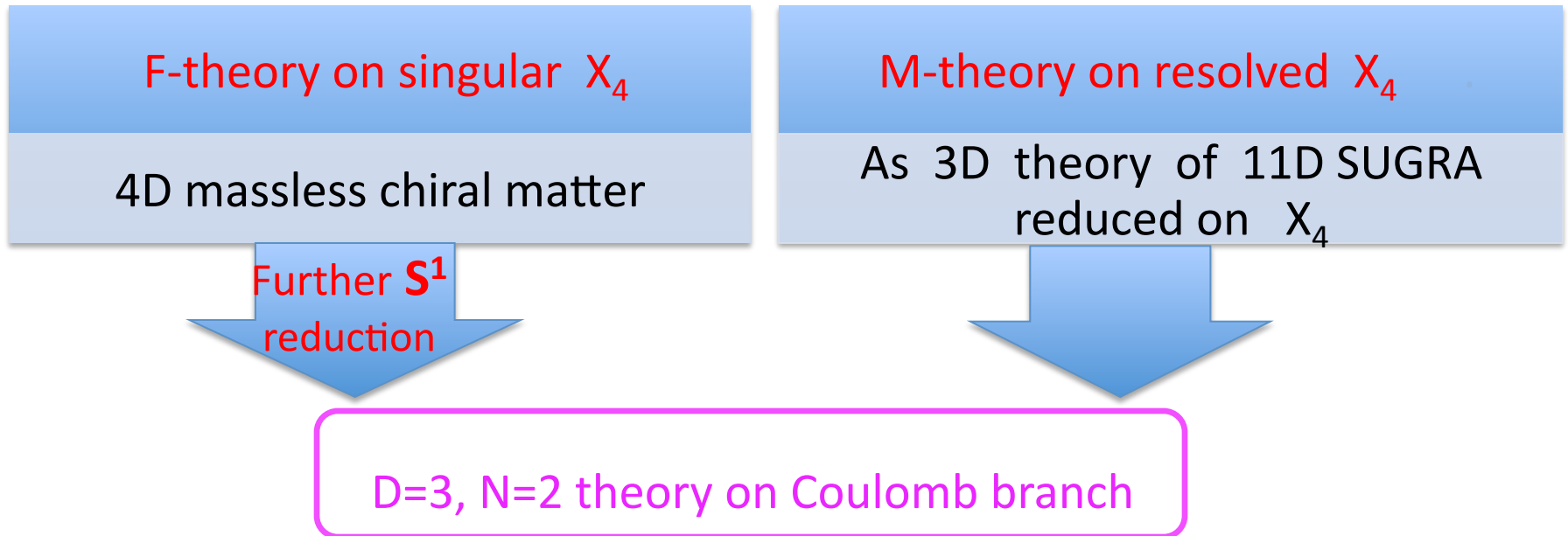
# Conditions for $G_4$ -flux in F-theory

$G_4$ -flux in F-theory =  $G_4$ -flux in M-theory + extra conditions



M/F-theory duality in D=3

c.f., John Schwarz's talk



c.f., Nati Seiberg's talk

Match as quantum effective actions in IR  
(Integrate out massive states: massive 4D matter, KK-states)

# Conditions for $G_4$ -flux in F-theory

- I.  $G_4$  in M-theory: 3D Cherns-Simons terms are classical

$$S_{CS}^{3D} = \int \frac{1}{2} \Theta_{AB} A^A \wedge F^B \quad \Theta_{AB} = \int_{\hat{X}_4} G_4 \wedge \omega_A \wedge \omega_B$$

$D_A$  = basis of divisors on  $X_4$

- II.  $G_4$  in F-theory (3D Coulomb branch):

some classical: 4D gaugings of RR-axions (GS) [Grimm, Kerstan, Palti, Weigand]

some exotic (set to zero) [Grimm, Savelli]

some loop-generated: massive fermions on 3D Coulomb branch + KK-states

$$\Theta_{AB}^{\text{loop}} = \frac{1}{2} \sum_{\mathbf{R}} \chi(\mathbf{R}) \sum_{q \in \mathbf{R}} \sum_k q_A q_B \text{sign}(m_{CB} + \frac{k}{r_{\text{KK}}})$$

[Aharony, Hanany, Intriligator, Seiberg, Strassler]

...

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$G_4$ -conditions

Constrain  $G_4$  in M-theory (I.) so that  $\Theta_{AB}=0$  for CS-terms that in F-theory (II.) are neither classically, nor one-loop generated 

Nonzero  $\Theta_{AB}$  in turn determine all chiralities and all anomaly cancellations!

# The full 4D spectrum

Example  $B=P^3$  w/  $U(1) \times U(1)$ : most general solution for  $G_4$ -flux

$$G_4 = a_5 n_9 (4 - n_7 + n_9) H_B^2 + 4a_5 H_B S_P + a_3 H_B \sigma(\hat{s}_Q) + a_4 H_B \sigma(\hat{s}_R) + a_5 S_P^2$$

$(q_1, q_2)$	4D chiralities
$(1, 0)$	$\frac{1}{4} [a_5 n_7 n_9 (4 - n_7 + n_9) + a_3 (2n_7^2 - (12 - n_9)(8 - n_9) - n_7(16 + n_9))]$
$(0, 1)$	$\frac{1}{2} [a_5 n_9 (4 - n_7 + n_9) (12 - n_9) - a_4 (n_7(8 - n_7) + (12 - n_9)(4 + n_9))]$
$(1, 1)$	$\frac{1}{4} [2a_5 n_9 (4 - n_7 + n_9) (12 - n_9) - (a_3 + a_4) (n_7^2 + n_7(n_9 - 20) + 2(12 - n_9)(4 + n_9))]$
$(-1, 1)$	$\frac{1}{4} (a_3 - a_4) n_7 (4 + n_7 - n_9)$
$(0, 2)$	$\frac{1}{4} n_7 n_9 (-2a_4 + a_5 (4 - n_7 + n_9))$
$(-1, -2)$	$-\frac{1}{4} n_9 (n_7 - n_9 - 4) (a_3 + 2a_4 + a_5 (n_7 - 2n_9))$

All 4D anomalies cancelled;

Chiralities checked against Type II matter geometric chirality calculations

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Same methods for  $SU(5) \times U(1) \times U(1)$  applied:

$G_4$ -flux has 7 parameter; all 4D chiralities determined; anomalies checked;

Chirality checked against Type II matter geometric calculations



## Summary

- Systematic construction of elliptic fibrations with  $\text{rk}=2$  MW-groups
- $D=6$ : Matter spectrum and multiplicity for general B  
 $U(1)\times U(1) \text{ SU}(5)\times U(1)\times U(1)$  - All Geometry
- $D=4$  Matter spectrum and chirality  
Geometry: Matter surfaces for Type II matter (Type I matter hard)  
 $G_4$ -flux constructed for entire class of vacua (w/fixed base)  
 $D=3$  M/F-theory duality: Thorough formulation of  $G_4$ -flux conditions  
(new CS-terms from charged KK-states)  
Determine all chiralities (checked against geom. calc.)

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## Outlook

- 4D: Generalization to other bases, SUSY, ...  Phenomenology
- More  $U(1)$ 's.. 

# Announce: Elliptic CY with $\text{rk}(MW)=3$

[M.C., Klevers, Piragua, Peng Song] to appear

Elliptic curve  $E$  with three rational points  $Q, R, S$

Line bundle  $M=O(P+Q+R+S)$  of degree 4 on  $E$  (non-generic biquadric in  $\mathbf{P}^3$ )

➔ Generic  $E$ : Calabi-Yau Complete Intersection (defined by two equations) in the blow-up of  $\mathbf{P}^3$  at three points

-The birational map to the Weierstrass model worked out

-Elliptic fibration, classification

-Matter, Multiplicities...

Work in progress