

# Some mathematical applications of little string theory

Mina Aganagic  
UC Berkeley

Based on joint works with  
Edward Frenkel and Andrei Okounkov, to appear  
Andrei Okounkov, [arXiv:1604.00423](https://arxiv.org/abs/1604.00423) [math.AG],  
Nathan Haouzi, [arXiv:1506.04183](https://arxiv.org/abs/1506.04183) [hep-th]

String theory predicts existence of a remarkable quantum field theory in six dimensions, the (2,0) superconformal field theory.

The theory is labeled by a simply-laced Lie algebra,  $\mathfrak{g}$ .



$$\mathfrak{g} = A_n$$



$$\mathfrak{g} = D_n$$



$$\mathfrak{g} = E_6$$



$$\mathfrak{g} = E_7$$



$$\mathfrak{g} = E_8$$

It is remarkable, in part, because it is expected to play an important role in **pure mathematics**:

**Geometric Langlands  
Program**

**Knot Categorification  
Program**

The fact that the theory has no classical limit,  
makes it hard to extract its predictions.

AGT correspondence,  
after Alday, Gaiotto and Tachikawa,  
serves well to illustrate  
both the mathematical appeal of the theory  
and the difficulty of working with it.

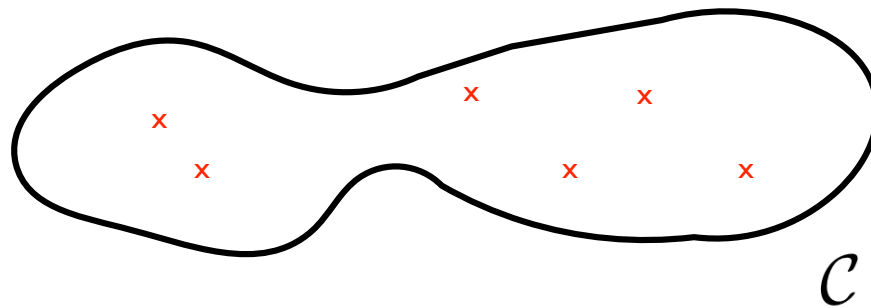
The AGT correspondence states  
that the partition function of the  $\mathfrak{g}$ -type (2,0) SCFT,  
on a six manifold of the form

$$M_6 = \mathcal{C} \times \mathbb{R}^4$$

is a conformal block on the Riemann surface  $\mathcal{C}$   
of a vertex operator algebra which is also labeled by  $\mathfrak{g}$  :

$\mathcal{W}(\mathfrak{g})$ -algebra

The correspondence further  
relates **defects** of the 6d theory to  
**vertex operators** of the  $\mathcal{W}(\mathfrak{g})$ -algebra,  
inserted at points on  $\mathcal{C}$ .



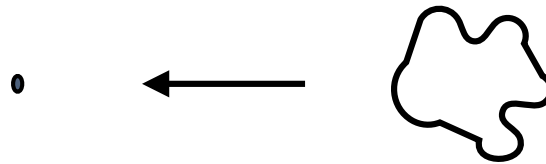


If one is to take the conjecture at its face value,  
it is hard to make progress on it.

Since we do not know how to describe the (2,0) SCFT,  
we cannot formulate or evaluate its partition function in any generality.

(Exceptions are  $\mathfrak{g} = A_1$  , or special choices of defects.)

I will argue in this talk that one can make progress  
by replacing  
the 6-dimensional conformal field theory,  
which is a point particle theory,



by the 6-dimensional string theory which contains it,  
the (2,0) little string theory.

It turns out that there is  
a little string version of the AGT correspondence  
which one can make  
precise in a very general setting.  
The correspondence can be proven explicitly.

On the  $W$ -algebra side, one replaces the ordinary  $W$ -algebra by its “ $q$ -deformation”.

The deformed  $W$ -algebra is the one defined by Frenkel and Reshetikhin in the 90's.

The correspondence is between

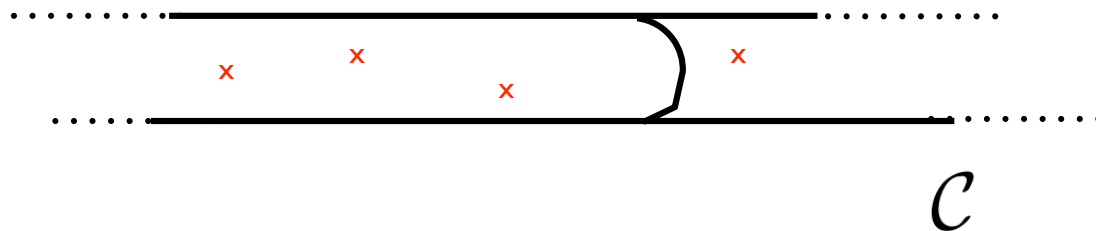
the partition function of the  
 $\mathfrak{g}$ -type 6d little string theory on

$$M_6 = \mathcal{C} \times \mathbb{R}^4$$

and

q-conformal block of the deformed  $\mathcal{W}(\mathfrak{g})$ -algebra on  $\mathcal{C}$

The Riemann surface  $\mathcal{C}$   
can be taken to be either a cylinder, or a torus.



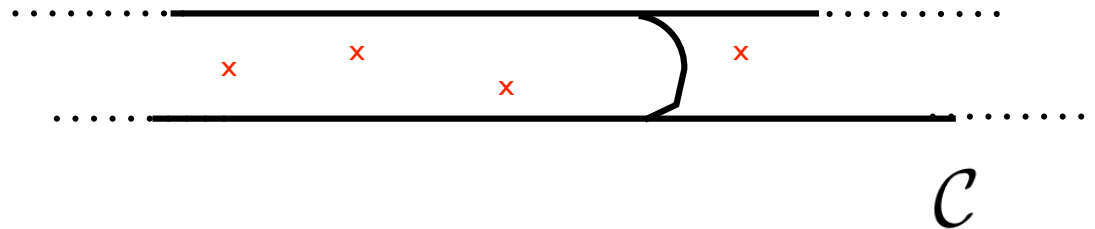
We will take  $\mathcal{C}$  to be a cylinder, since the torus case  
follows by additional identifications.

On each side of the correspondence, one replaces a theory with  
conformal symmetry,  
with its mass deformation.

The conformal symmetry is broken in either case,  
but in a canonical way.

The partition function of the

$\mathfrak{g}$ -type little string on  $\mathcal{C} \times \mathbb{R}^4$   
with arbitrary collections of defects  
at points on  $\mathcal{C}$  and filling the  $\mathbb{R}^4$



turns out to be the same as the partition function of  
 $\bar{\mathfrak{g}}$ -type quiver gauge theory with 8 supercharges,  
on  $S^1 \times \mathbb{R}^4$ .



The mechanism for this is akin to localization.

The 6d little string partition function we compute  
is trivial in the absence of defects.

The quiver gauge is the theory  
on the co-dimension two defects of  
the little string theory on  $\mathcal{C}$ .

In the point particle limit,  
the localization is not as useful:

The theory on the defects of the  $(2,0)$  CFT  
has no known direct description.  
In particular, it is not a gauge theory.

This will lead to a correspondence between:

q-deformed conformal block  
of the  $\mathcal{W}(\mathfrak{g})$  -algebra with collection of vertex operators  
at points on  $\mathcal{C}$  ,

and

partition function  
of a corresponding  $\mathfrak{g}$  -type quiver gauge theory on  $S^1 \times \mathbb{R}^4$

which we will be able to prove.

In the rest of the talk, I will describe the  
correspondence and its proof  
in more detail.

Then, I will describe another application of little string theory,  
to the geometric Langlands program.

To define the  $\mathfrak{g}$ -type little string theory on

$$\mathcal{C} \times \mathbb{R}^4$$

one starts with the 10-dimensional IIB string theory on

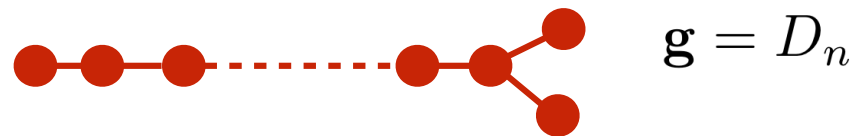
$$Y \times \mathcal{C} \times \mathbb{R}^4$$

where  $Y$  is the ADE surface singularity of type  $\mathfrak{g}$

The resolution of the singularity at the origin of

$Y$

gives a collection of vanishing 2-cycles intersecting according to the Dynkin diagram of  $\mathfrak{g}$



The 6d little string theory,  
is a six dimensional string theory  
obtained by taking the limit of IIB string theory on

$$Y \times \mathcal{C} \times \mathbb{R}^4$$

where one keeps only the degrees of freedom  
supported at the singularity.

The little string limit involves sending the string coupling constant to zero,  
but keeping the string scale  $m_s$  finite.



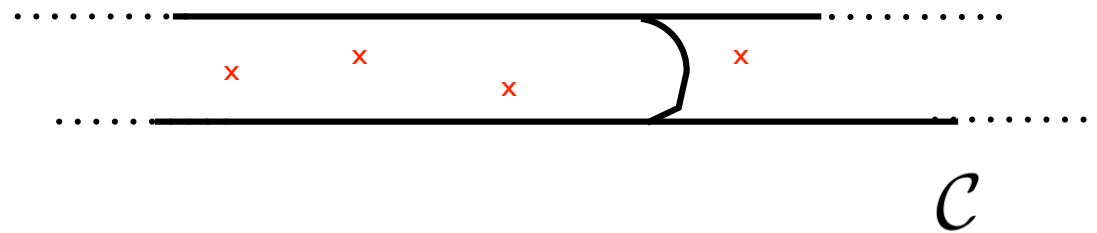
The defects of little string theory originate as  
**D-branes** of the ten dimensional IIB string,  
which survive the limit.

In string theory on

$$Y \times \mathcal{C} \times \mathbb{R}^4$$

the defects we need

are D5 branes wrapping (non-compact) 2-cycles of  $Y$ ,  
at points on  $\mathcal{C}$ ,



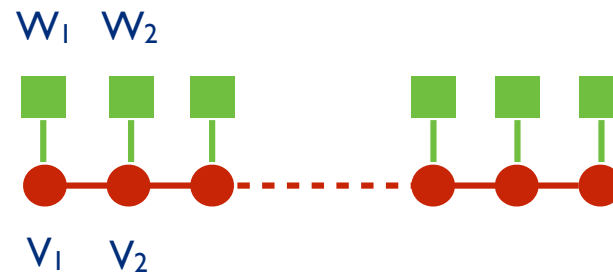
and filling the  $\mathbb{R}^4$ .

The theory on the defect D5 branes

is a

quiver gauge theory,

with quiver diagram based on the Dynkin diagram of  $\mathfrak{g}$ :

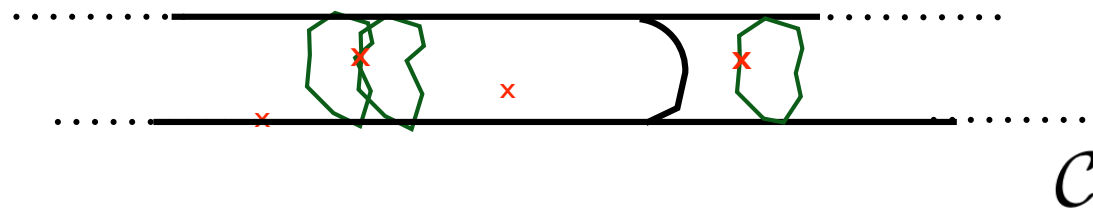


$$\mathfrak{g} = A_n$$

The fact that one gets  
a quiver gauge theory  
on  $S^1 \times \mathbb{R}^4$  ,

rather than the more obvious one,  
on  $\mathbb{R}^4$  ,  
is due to a stringy effect.

In a string theory,  
 one has to include the winding modes of strings around  $\mathcal{C}$

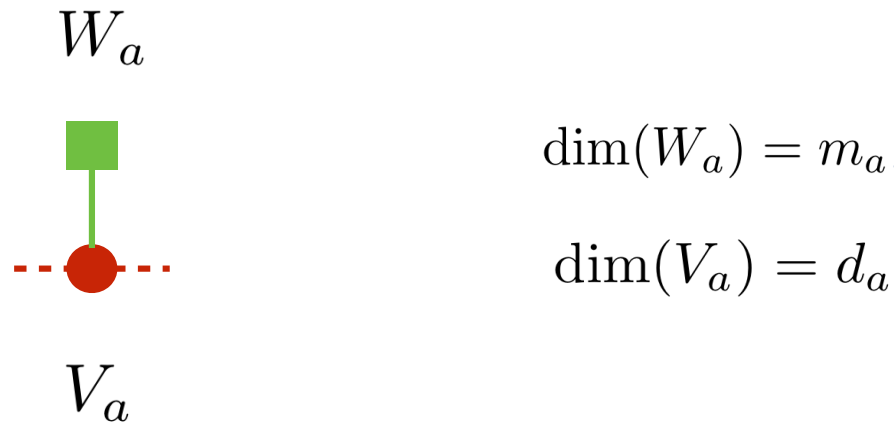


These turn the theory on the defects supported on  $\mathbb{R}^4$ ,  
 to a five dimensional quiver gauge theory on

$$S^1 \times \mathbb{R}^4$$

where the  $S^1$  is the T-dual of the circle in  $\mathcal{C}$ .

## The dimension vectors



are determined by the classes of 2-cycles in  $Y$   
which support the D5 branes,

The gauge group of the theory

$$G = \prod_a U(d_a)$$

originates from the D5 branes  
supported on compact two-cycles in  $Y$ ;

The matter fields come from strings at the intersections of the branes.

The flavor symmetry group

$$G_F = \prod_{a=1}^n U(m_a)$$

comes from the gauge symmetry group of the non-compact D5 branes.

We need not an arbitrary quiver gauge theory,  
but rather that which describes defects in the 6d little string on

$$\mathcal{C} \times \mathbb{R}^4$$

which preserve 4d conformal invariance  
in the low energy limit.



To get a **single puncture** on  $\mathcal{C}$  in the conformal limit,  
one has to start with a collection of  $n + 1$  non-compact D5 branes

$$n \leq \text{rk}(\mathfrak{g})$$

whose relative separations on  $\mathcal{C}$  vanish in the conformal limit



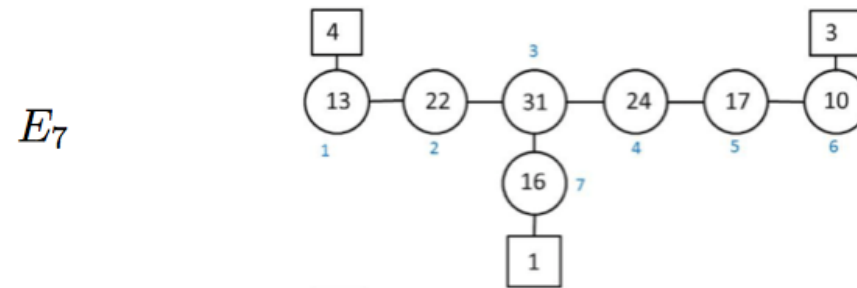
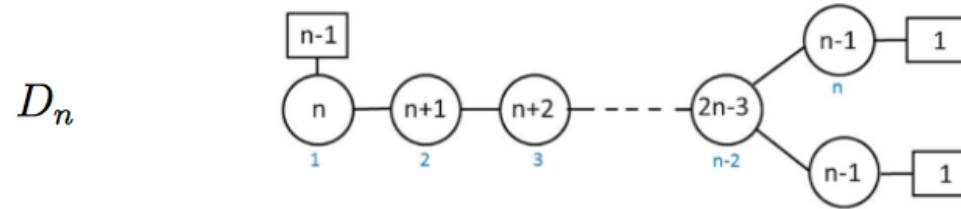
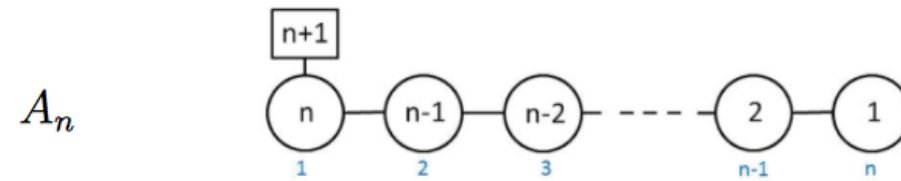
and add compact D5 branes so that the net D5 brane charge is zero.

The possible choices can be classified,  
making use of the relation of geometry of  
the surface  $Y$  and representation theory of  $\mathfrak{g}$ .

$H_2(Y, \partial Y, \mathbb{Z})$ , containing classes of non-compact D5 branes,  
is the same as weight lattice of  $\mathfrak{g}$ .

To get a single puncture defect, it turns out one should choose  
 $n + 1$  elements of the weight lattice of  $\mathfrak{g}$ ,  $n \leq \text{rk}(\mathfrak{g})$ ,  
which sum up to zero,  
and each of which lies in the Weyl orbit of a fundamental weight.

For example, quiver gauge theories on D5 branes corresponding to “full punctures” are:



If we consider several defects on  $\mathcal{C}$  instead of one, the ranks of the gauge and flavor symmetry group simply add.

The quiver gauge theory partition function on  $S^1 \times \mathbb{R}^4$   
can be computed using localization,  
as Nekrasov and also Pestun explained.

Mathematically,  
this leads to K-theoretic version of instanton counting.

## Localization

lets one express the partition function as a sum over the fixed points in instanton moduli space,

labeled by tuples of 2d Young diagrams,

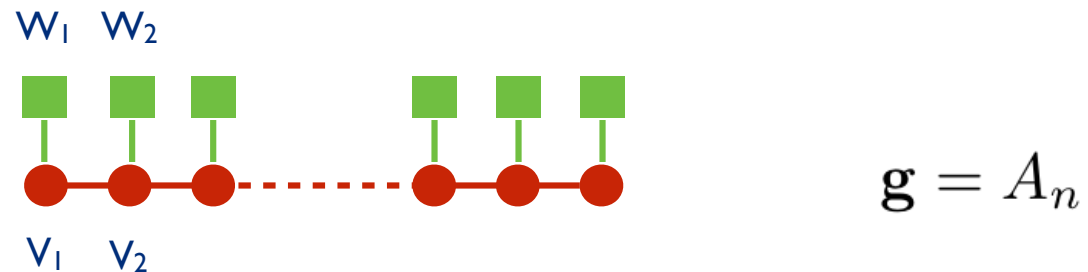
$$\{R\} = \{R_{a,i}\}$$

$$a = 1, \dots, \text{rk}(\mathfrak{g}) \quad i = 1, \dots, d_a$$

The contribution of each fixed point

$$I_{\{R\}}$$

can be read off from the quiver,



as a product of contributions of the nodes and the arrows.

In the end we sum over all the fixed points.

$$Z = \sum_{\{R\}} e^{\tau \cdot R} I_{\{R\}}$$

The parameters which enter the gauge theory partition function

$$\sum_{\{R\}} e^{\tau \cdot R} I_{\{R\}}(q, t; g, x)$$

have a geometric interpretation in string theory.



## The partition function

$$Z = \sum_{\{R\}} e^{\tau \cdot R} I_{\{R\}}(q, t; g, x)$$

depends on:

$q, t$  for rotations of two complex planes in  $M = \mathbb{C}^2$

$x$  for positions of non-compact D5 branes

$g$  for positions of compact D5 branes

The gauge coupling parameters

$\tau$

are associated to the moduli of little string theory,  
coming from sizes of vanishing 2-cycles in  $Y$ .

As soon as we resolve the singularities of  $Y$ ,  
by giving the two-cycles non-zero area,  
the bulk of the 6d theory is abelianized.

All the relevant dynamics of the little string theory  
is localized on the D5-branes.

The partition function of little string theory on  $M_6$   
with the corresponding collection of defects on  $\mathcal{C}$   
is the quiver gauge theory partition function.

Now, let me describe the deformed  $\mathcal{W}(\mathfrak{g})$ -algebra.  
corresponding to a simple Lie algebra  $\mathfrak{g}$ .

It is defined by Frenkel and Reshetikhin  
in the “free-field formalism”.

One starts with a free field algebra, with generators

$$e_a[k], \quad k \in \mathbb{Z}$$

labeled by the nodes of the Dynkin diagram,  $a = 1, \dots, \text{rk}(\mathfrak{g})$

with commutation relations in terms of

a deformed Cartan matrix  $C_{ab}(q, t)$

$$[e_a[k], e_b[m]] = \frac{1}{k} (q^{\frac{k}{2}} - q^{-\frac{k}{2}}) (t^{\frac{k}{2}} - t^{-\frac{k}{2}}) C_{ab}(q^{\frac{k}{2}}, t^{\frac{k}{2}}) \delta_{k, -m}$$

The  $\mathcal{W}_{q,t}(\mathfrak{g})$  algebra itself is defined as the set of vertex operators of the free field algebra which commute with the screening charges

$$Q_a = \int dx S_a(x)$$

where

$$S_a(x) =: \exp\left(\sum_{k \neq 0} \frac{e_a[k]}{q^{\frac{k}{2}} - q^{-\frac{k}{2}}} e^{kx}\right) :$$

are the screening vertex operators.

Taking the limit

$$t = q^\beta, \quad q \rightarrow 1$$

the deformed  $\mathcal{W}(\mathfrak{g})$  algebra becomes the ordinary one

$$\mathcal{W}_{q,t}(\mathfrak{g}) \quad \rightarrow \quad \mathcal{W}_\beta(\mathfrak{g})$$

containing the Virasoro algebra as a subalgebra,  
with central charge depending on  $\beta$

General  $q$ -conformal blocks of the  $W$ -algebra  
are correlators of vertex operators,

$$\langle \mu | V_{\alpha_1}(z_1) \cdots V_{\alpha_k}(z_k) \prod_{a=1}^n Q_a^{N_a} | \mu' \rangle$$

where  $V_{\alpha}(z)$  are built out of the free fields  
and depend on continuous momenta

$$\alpha \in \mathbb{C}^{\text{rk}(\mathfrak{g})}$$



....and the state  $|\mu\rangle$  , labeled by the weight  $\mu \in \mathbb{C}^{\text{rk}(\mathfrak{g})}$ ,

generates the Verma module representation  
of the algebra.

It is defined by

$$e_a[k]|\mu\rangle = 0 \quad \text{for } k > 0, \quad e_a[0]|\mu\rangle = \mu_a|\mu\rangle$$

There is as of now, neither a math nor physics definition of what it means to be a general  $q$ -deformed chiral vertex operator algebra.

Correspondingly, Frenkel and Reshetikhin did not define  $q$ -deformations of general vertex operators of the  $W$ -algebra.

My student Nathan Haouzi and I showed the following....

For each defect of little string,  
corresponding to the collection of  $n + 1$  non-compact D5 branes,  
there exist deformed vertex operators

$$V_\alpha(z) \equiv: \prod_{i=0}^n V_{\omega_i}(x_i) :$$

defined in terms of collections of  $n + 1$  weights  $\omega_i$  we had before,  
which become the primary vertex operators of  $\mathcal{W}_\beta(\mathfrak{g})$ ,  
in the conformal limit,

$$t = q^\beta, \quad q \rightarrow 1$$
$$x_{a+1}/x_a = q^{\alpha_a}, \quad x_0 = z$$

with  $\alpha$  and  $z$  fixed

The corresponding q-correlators

$$\langle \mu | V_{\alpha_1}(z_1) \dots V_{\alpha_k}(z_k) \prod_{a=1}^n Q_a^{N_a} | \mu' \rangle$$

are in fact contour integrals, since

$$Q_a = \int dy S_a(y)$$

To specify the q-conformal block, we need to specify the contour.

We show that there exist choices of contours  
such that the q-conformal block

$$\langle \mu | V_{\alpha_1}(z_1) \cdots V_{\alpha_k}(z_k) \prod_{a=1}^n Q_a^{N_a} | \mu' \rangle$$

equals the little string theory partition function,

$$\sum_{\{R\}} e^{\tau \cdot R} I_{\{R\}}(q, t; g, x)$$

The weight of the Verma module  $|\mu\rangle$  is  
the modulus  $\mu$  of the 6d theory,  
related to coupling constant of the D5 brane theory,  
by  $q^\mu = e^\tau$ .

The conformal limit is the strong coupling limit of the D5 brane gauge theory,  
 $q \rightarrow 1$  with  $\mu$  fixed.

The little string version of the correspondence is simple to prove:

The sum over the poles

$$\langle \mu | V_{\alpha_1}(z_1) \cdots V_{\alpha_k}(z_k) \prod_{a=1}^n Q_a^{N_a} | \mu' \rangle \equiv \int \prod_{a=1}^n dy^{N_a} y^\mu \mathcal{I}(q, t; y, x)$$

in the contour prescription to evaluate the conformal block

$$\sum_{\{R\}} e^{\tau \cdot R} I_{\{R\}}(q, t; g, x)$$

is the sum over instantons, term by term.

$$\text{res}_{\{R\}} \mathcal{I}(q, t; y, x) = I_{\{R\}}(q, t; g, x)$$

Another application of little string theory is to the  
geometric Langlands correspondence.



Geometric Langlands correspondence  
was formulated in the early '90s by  
Beilinson and Drinfeld.

In the same work, they explained that  
one can phrase the correspondence  
in the language of 2d conformal field theory.

## Geometric Langlands

can be interpreted as the correspondence  
between conformal blocks on a Riemann surface  $\mathcal{C}$ ,  
associated to a Langlands dual pair of Lie algebras

$${}^L\mathfrak{g} \quad \text{and} \quad \mathfrak{g}$$

The electric side are the conformal blocks of the affine current algebra

$$L_{\hat{\mathfrak{g}}}$$

at the critical level  $k = -h$  (infinite coupling) .

On the magnetic side, are the conformal blocks of the

$$\mathcal{W}_{\beta}(\mathfrak{g})$$

algebra in the classical,  $\beta \rightarrow \infty$  , limit.

The proof of the  
geometric Langlands correspondence  
was given in this context,  
by Belinson and Drinfeld,  
and  
by Frenkel with Gaitsgory and Vilonen.

There are two ways in which one may try to generalize this.

First, it is natural to deform away from the critical level  $k$  or equivalently, to finite  $\beta$ .

Second, it is natural to replace the conformal chiral algebras by their  $q$ -deformed counterparts.

The first deformation  
is the “quantum Langlands correspondence.”

In the abelian case, it was proven by Polishchuk and Rothstein.

For  $\mathfrak{g} = A_1$ , some partial results were obtained by Feigin, Frenkel and Stoyanovsky, and also Teschner, and others.

The rest is open.

It turns out that one can  
implement both generalizations,  
and it is easiest to do it  
at the same time.

In a joint work with Edward Frenkel and Andrei Okounkov,  
we formulate the  
quantum  $q$ -Langlands correspondence.



It relates the deformed conformal blocks of  
the quantum affine current algebra

electric  $U_{\hbar}(L\hat{\mathfrak{g}})$

corresponding to  $L\hat{\mathfrak{g}}$  at level  $k$ ,

and the  $q$ -conformal blocks of the deformed  $W$ -algebra

magnetic  $\mathcal{W}_{q,t}(\mathfrak{g})$

where:

$$t = \hbar, \quad q = \hbar^{(k+h)}$$

We prove the  
quantum  $q$ -Langlands correspondence  
for any simply laced Lie algebra,  
i.e. when

$$L\mathfrak{g} = \mathfrak{g}$$

The  $q$ -conformal blocks of

electric

$$U_{\hbar}(L\hat{\mathfrak{g}})$$

magnetic

$$\mathcal{W}_{q,t}(\mathfrak{g})$$

chiral algebras

arize as partition functions of little string theory,

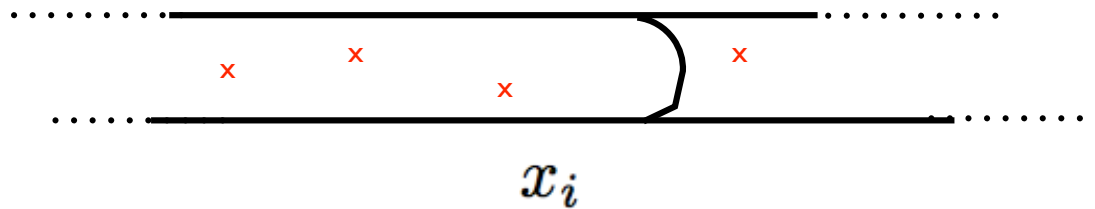
with co-dimension four defects.

Take the little string theory associated  
to the simply laced Lie algebra  $\mathfrak{g}$   
on

$$M_6 = \mathcal{C} \times \mathbb{C} \times \mathbb{C}$$

just as before.

The defects we need are **self-dual strings** supported at points on  $\mathcal{C}$



and on one of the two complex planes in

$$M_6 = \mathcal{C} \times \mathbb{C} \times \mathbb{C}$$

In the present notation, this is the plane rotated by  $q$ .

From perspective of IIB string on

$$Y \times M_6$$

the defect strings come from D3 branes,  
supported on 2-cycles in  $Y$ , and the chosen 2-plane in  $M_6$

The partition function of the 6d little string theory,  
as before, localizes to the partition function  
of the gauge theory on the defects.

The gauge theory is  
a tree dimensional  $\mathfrak{g}$ -type quiver gauge theory,  
with N=4 supersymmetry  
on  $\mathbb{C} \times S^1$ .

Depending on the type of boundary conditions at infinity,  
the partition function of the quiver gauge theory on

$$\mathbb{C} \times S^1.$$

generates the

$$L\mathbf{V}_i$$

or

$$\mathbf{V}_i$$

electric

magnetic

q-conformal blocks



More precisely, each electric  $q$ -conformal block of  $U_{\hbar}({}^L\hat{\mathfrak{g}})$  is vector valued. From

$${}^L\mathbf{V}_i$$

we can generate all the components of the vector, by differentiating it with respect to  $x_i$ 's, or placing insertions at  $0 \in \mathbb{C}$ .

The proof of the quantum q-Langlands correspondence is in terms of an explicit linear map between the electric and the magnetic conformal blocks.

$${}^L\mathbf{V}_i = \sum_j \mathfrak{P}^j_i \mathbf{V}_j$$

The linear map we need to establish the correspondence was constructed in a joint paper with Andrei Okounkov for any simply laced Lie algebra.

The matrix

$$\mathfrak{P}_i^j$$

computes the partition function  
of the quiver gauge theory on  $T^2 \times I$  ,  
with the two sets of boundary conditions at the ends of the interval.

It also has a geometric meaning, as the  
**elliptic stable envelope**  
of  $X$ , the Higgs branch of the 3d gauge theory.

It generalizes the stable envelopes in cohomology and K-theory of  $X$   
due to Maulik and Okounkov.

To study non-simply laced Lie algebras.  
one adds a twist that ends up permuting the nodes of the Dynkin diagram  
as we go once around the origin of the complex  $\mathbb{C}$  plane in

$$M_6 = \mathcal{C} \times \mathbb{C} \times \mathbb{C}$$

which supports the defects.

An important generalization  
of the geometric Langlands program  
is to include ramifications.

This simply corresponds to including  
D5 brane defects from the first half of the talk.

As Kapustin and Witten explained,  
the geometric Langlands correspondence  
is related to  
S-duality of  
N=4 super-Yang-Mills theory.

While many aspects of S-duality  
can be understood within N=4 SYM theory,  
or using the (2,0) CFT  
compactified on a two-torus.....



....to **derive** S-duality of N=4 SYM theory  
one needs  
**little string theory,**  
as was shown by Vafa showed in '97.

The role of little string theory in understanding S-duality  
explains  
why one is able to make progress  
on the geometric Langlands problem,  
in the context of the  
quantum  $q$ -Langlands correspondence.