

Moduli spaces in heterotic string theory

(moduli space of certain instanton connections on manifolds with \mathcal{G} -structures)

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Collaborations with

[E Svanes](#), JHEP **1410** (2014) 123; JHEP **1412** (2014) 008

[E Svanes and E Hardy](#), JHEP **1601** (2016) 049

[M Larfors and E Svanes](#),

Adv. Theor. Math. Phys. **19** 837-903 (2015);

in Proc N Hitchin's 70th bday conf (2018) (ArXiv 1709.06974); JHEP **1611** (2016)

016; Commun. Math. Phys. (2017);

and in progress

[P Candelas and J McOrist](#),

Commun. Math. Phys. **356** (2017) 567-612; ArXiv 1810.00879;

[MA Fiset](#) ArXiv 1809.01138, and in progress

[A Ashmore, R Minasian, C Strickland-Constable and E Svanes](#), JHEP 1810 (20)

[M Larfors, M Magill E Svanes](#), hep-th 1904.01027

Introduction

General context: interested in effective field theory derived from compactifications of heterotic string theories and the CFTs related to these, when we preserve the **minimum** possible amount of supersymmetry.

What are the mathematical structures encountered?

Introduction

Let Y be a d -dimensional Riemannian manifold and V be a vector bundle on Y .

We have the following mathematical objects on these theories:

- ▶ Riemannian metric g_{mn} on Y
- ▶ a "scalar" ϕ (the dilaton)
- ▶ Gauge fields A for the gauge group $G \subseteq E_8 \times E_8$.

So, V is a vector bundle on Y with connection A with structure group G contained in $E_8 \times E_8$.

- ▶ 3-form H , "the flux", defined by

$$H = dB + \frac{\alpha'}{4}(\mathcal{CS}[A] - \mathcal{CS}[\Theta]), \quad \mathcal{CS}[A] = \text{tr}(A \wedge dA + \frac{2}{3} A \wedge A \wedge A),$$

where Θ is a connection on TY , and $\alpha' \neq 0$ is a constant.

Note that the B -field is not gauge invariant!

Introduction

We have: $[Y, (V, A), (TY, \Theta), H]$

Mathematically: **supersymmetry** constrains the geometry

heterotic \mathcal{G} structure on $[Y, (V, A), (TY, \Theta), H]$

and we want to study the geometry and **quantum** moduli of these compactifications (including α' and non-perturbative corrections).

Goal in physics: construct the **quantum** effective field theory and this is largely determined by the geometry and moduli of these compactifications. For example: we want to find the massless spectrum, the (effective) 4 or 3 dimensional Lagrangian, **correlation functions**, and to study **dualities**....

Introduction

I will focus today in the case $d = 7$ and mainly on the supergravity side (the $d = 6$ can be deduced from this!):

A reason:

Much less is known about 3-dim $N = 1$ supergravity+YM.

The three dimensional space time is AdS_3 or 3-dim Minkowski.

Recall: Compactifications to **four** dimensions with $N = 1$ supersymmetry give strong constraints on the geometry and on the moduli space. In particular, the moduli space must be complex and Kähler.

Compactifications to **three** dimensions with $N = 1$ supersymmetry, are not as constrained and we know much less about the geometry of the moduli space.

Outline

- ▶ **Heterotic G_2 systems $[(Y, \varphi), (V, A), (TY, \Theta), H]$**

T Friederich & S Ivanov 2001& 2003; J Gauntlett, N Kim, D Martelli, & D Waldram 2001; J Gauntlett, D Martelli, & D Waldram 2004; P Ivanov & S Ivanov 2005; A Lukas & C Matti 2010; J Gray, M Larfors, D Lüst, 2012; XD, M Larfors & E Svanes 2014

- ▶ **The tangent space of the moduli space of heterotic G_2 systems**

- ▶ **Moduli space of (Y, φ)**

Gibbons, Page and Pope, D Joyce: S Karigiannis, S Grigorian, C Leung....; XD, E Svanes and M Larfors

- ▶ **Moduli space of vector bundles (V, A) over (Y, φ)**

Donaldson & Thomas; C Leung & Karigiannis; XD, E Svanes and M Larfors; ...

- ▶ **Moduli space of heterotic G_2 systems**

A Clarke, M García Fernández & C Tipler 1607.01219; XD, M Larfors & E Svanes 1607.03473 & 1704.08177 & in progress
MA Fiset, C Quigley, E Svanes 1710.06865; XD & MA Fiset, in progress

- ▶ **Outlook and open problems**

Key ideas

- ▶ The conditions for the quadruple $[(Y, \varphi), (V, A), (TY, \Theta), H]$ to admit a heterotic structure are **equivalent** to a differential \mathcal{D} which satisfies $\check{D}^2 = 0$ acting on forms with values on a bundle \mathcal{Q} on Y which is topologically

$$\mathcal{Q} = TY \oplus \text{End}(TY) \oplus \text{End}(V)$$

- ▶ The infinitesimal moduli of the heterotic structure correspond to classes in

$$H_{\check{D}}^1(Y, \mathcal{Q}) .$$

- ▶ Global moduli space: Maurer Cartan equations? what is the geometric structure? what are the singularities and what happens there?
quantum corrections? duality symmetries?

The geometry of Y

Supersymmetry requires that Y has an **integrable G_2 structure**.

A manifold with a **G_2 structure** is a seven dimensional manifold Y which admits a smooth positive three form φ .

In fact, any 7-dimensional manifold which is spin and orientable (that is its first and second Stiefel-Whitney classes are trivial) admits a G_2 structure.

The three form φ determines a Riemannian metric g_φ and a four form $\psi = *\varphi$.

The geometry of Y

Consider now the exterior derivative of φ and ψ can be decomposed into irreducible G_2 representations

$$d\varphi = \tau_0 \psi + 3 \tau_1 \wedge \varphi + * \tau_3$$

$$35 = 1 + 7 + 27$$

$$d\psi = 4 \tau_1 \wedge \psi + * \tau_2$$

$$21 = 7 + 14$$

where $\tau_i \in \Omega^i(Y)$ (**the torsion classes**) are determined by the G_2 structure φ on Y .

An **integrable** G_2 structure satisfies $\tau_2 = 0$.

The geometry of Y

We can write the structure equations **when $\tau_2 = 0$** as

$$d\varphi = i_{T(\varphi)}(\varphi) \quad d\psi = i_{T(\varphi)}(\psi)$$

where

$$T(\varphi) = \frac{1}{6} \tau_0 \varphi - \tau_1 \lrcorner \psi - \tau_3 .$$

Remarks:

- ▶ $T(\varphi) = 0$ means $\tau_i = 0, \forall i$.
In this case Y has G_2 holonomy ($d\varphi = 0$ and $d\psi = 0$)
- ▶ $T(\varphi)$ is the torsion of the unique connection ∇ compatible with the integrable G_2 structure ($\nabla\varphi = 0, \nabla\psi = 0$) which is totally antisymmetric.

The geometry of Y

Question: is there a differential \check{d} with $\check{d}^2 = 0$ which encodes this geometry?

That is, is there an analogue for (Y, φ) of the Dolbeault differential $\bar{\partial}$ with $\bar{\partial}^2 = 0$ for a complex manifold (X, J) ?

The geometry of Y

Canonical G_2 cohomology

Reyes-Carrion, 93; Fernandez-Ugarte, 98

Consider the differential operator \check{d} defined by

$$\check{d}_0 = d, \quad \check{d}_1 = \pi_7 \circ d, \quad \check{d}_2 = \pi_1 \circ d$$

We have

$$\check{d}^2 = 0 \quad \iff \quad \tau_2 = 0$$

and a canonical cohomology $H_{\check{d}}^*(Y)$ on a manifold Y with an integrable G_2 structure.

Constraints on V

Supersymmetry imposes conditions on the curvature F of the Yang-Mills connection:

$$F \wedge \psi = 0 .$$

That is, the connection A on the bundle V is an **instanton**.

One can construct an operator \check{d}_A which acts on forms with values on $\text{End}(V)$ where

$$d_A \alpha = d\alpha + A \wedge \alpha + (-1)^k \alpha \wedge A , \quad \alpha \in \Omega^k(Y, \text{End}(V))$$

We have

$$\check{d}_A^2 = 0 \quad \iff \quad \tau_2 = 0 \quad \text{and} \quad F \wedge \psi = 0$$

This leads to cohomology groups $H_{d_A}^*(Y, \text{End}(V))$.

A Further Constraint on $[(Y, \varphi), (V, A)]$

The anomaly cancelation condition

The **anomaly** cancelation condition: $H = T(\varphi)$

$$dB + \frac{\alpha'}{4}(\mathcal{CS}[A] - \mathcal{CS}[\Theta]) = \frac{1}{6} \tau_0 \varphi - \tau_1 \lrcorner \psi - \tau_3$$

Moreover: a solution of the supersymmetry conditions, which also satisfies the anomaly cancelation automatically satisfies the equations of motion iff the connection Θ on TY satisfies

$$R(\Theta) \wedge \psi = 0 .$$

That is, Θ must be an **instanton**. (Hull, Ivanov, Martelli and Sparks)

Summary

A heterotic G_2 system is a quadruple

$$[(Y, \varphi), (V, A), (TY, \Theta), H]$$

where:

- ▶ (Y, φ) is a manifold with an **integrable** G_2 structure
- ▶ (V, A) and (TY, Θ) have **instanton** connections.
- ▶ The **anomaly** cancellation condition is satisfied: $H = T(\varphi)$

$$dB + \frac{\alpha'}{4}(\mathcal{CS}[A] - \mathcal{CS}[\Theta]) = \frac{1}{6} \tau_0 \varphi - \tau_1 \lrcorner \psi - \tau_3$$

Key ideas

- ▶ The heterotic G_2 system $[(Y, \varphi), (V, A), (TY, \Theta), H]$ is equivalent to the existence of a differential \mathcal{D} such that $\check{\mathcal{D}}^2 = 0$ on forms with values in a bundle \mathcal{Q} on Y which is topologically

$$\mathcal{Q} = TY \oplus \text{End}(TY) \oplus \text{End}(V).$$

- ▶ The infinitesimal moduli of the heterotic structure are given by

$$H_{\check{\mathcal{D}}}^1(Y, \mathcal{Q}).$$

The heterotic G_2 system as a differential

Consider the linear operator

$$\mathcal{D} = \begin{pmatrix} d_\zeta & \mathcal{R} & -\mathcal{F} \\ \mathcal{R} & d_\Theta & 0 \\ \mathcal{F} & 0 & d_A \end{pmatrix}$$

acting on forms with values in $\mathcal{Q} = TY \oplus \text{End}(TY) \oplus \text{End}(V)$ where

$d_\zeta M = dM + \zeta \wedge M$, $M \in \Omega^k(Y, TY)$, ζ a connection on TY with torsion $-T(\varphi)$

$d_A \alpha = d\alpha + A \wedge \alpha + (-1)^k \alpha \wedge A$, $\alpha \in \Omega^k(Y, \text{End}(V))$, and similar for d_Θ

The heterotic G_2 system as a differential

\mathcal{F} (and similarly for \mathcal{R}) is a linear map defined by

$$\mathcal{F} : \Omega^k(Y, TY) \oplus \Omega^k(Y, \text{End}(V)) \longrightarrow \Omega^{k+1}(Y, \text{End}(V)) \oplus \Omega^{k+1}(Y, TY)$$

$$\begin{pmatrix} M \\ \alpha \end{pmatrix} \mapsto \begin{pmatrix} \mathcal{F}(M) \\ \mathcal{F}(\alpha)^a \end{pmatrix} = \begin{pmatrix} (-1)^k M^a \wedge F_{ab} dx^b \\ (-1)^k \frac{\alpha'}{4} g^{ab} \text{tr}(\alpha \wedge F_{bc} dx^c) \end{pmatrix}$$

The heterotic G_2 system as a differential

Theorem Let Y be a manifold with a G_2 structure φ , V a bundle on Y with connection A , and TY the tangent bundle of Y with connection Θ . Let ζ be the connection one-form on TY defined earlier. Consider the exterior covariant derivative \mathcal{D} defined above. Then

$$\check{\mathcal{D}}^2 = 0 \iff ([Y, \varphi], [V, A], [TY, \Theta], H) \text{ is a heterotic system}$$

where

$$\check{\mathcal{D}}_0 = \mathcal{D}, \quad \check{\mathcal{D}}_1 = \pi_7 \circ \mathcal{D}, \quad \check{\mathcal{D}}_2 = \pi_1 \circ \mathcal{D}.$$

The heterotic G_2 system as a differential

Comments on the proof: Consider

$$\mathcal{D}^2 = \begin{pmatrix} d_\zeta^2 + \mathcal{R}^2 - \mathcal{F}^2 & d_\zeta \mathcal{R} + \mathcal{R} d_\Theta & -(d_\zeta \mathcal{F} + \mathcal{F} d_A) \\ \mathcal{R} d_\zeta + d_\Theta \mathcal{R} & \mathcal{R}^2 + d_\Theta^2 & -\mathcal{R} \mathcal{F} \\ \mathcal{F} d_\zeta + d_A \mathcal{F} & \mathcal{F} \mathcal{R} & -\mathcal{F}^2 + d_A^2 \end{pmatrix}$$

It is not too hard to see that $\check{\mathcal{D}}^2 = 0$ is satisfied for the heterotic G_2 system **as long as the Bianchi identity of the anomaly is satisfied** and

$$\check{d}_A(\check{\mathcal{F}}(M)) + \check{\mathcal{F}}(\check{d}_\zeta(M)) = 0 .$$

This is true due to the BI for F : $d_A F = 0$.

The converse however is more involved: in particular the vanishing of the (1,1) entry **implies** the Bianchi identity of the anomaly.

Infinitesimal deformations of (Y, φ)

Consider a family $(Y, \varphi(t))$ with an integrable G_2 structure with $(Y, \varphi(0)) = (Y, \varphi)$.

Idea: study integrable G_2 structures in terms of $M_t \in \Omega^1(Y, TY)$,

$$\partial_t \varphi = \frac{1}{2} M_t^a \wedge \varphi_{abc} dx^{bc} = i_{M_t}(\varphi)$$

$$\partial_t \psi = \frac{1}{3!} M_t^a \wedge \psi_{abcd} dx^{bcd} = i_{M_t}(\psi)$$

Deformations preserving the integrability of the G_2 structure are given by

$$i_{\check{d}_\zeta M_t}(\psi) = 0,$$

Diffeomorphisms: $\mathcal{L}_V \psi = i_{\check{d}_\zeta V}(\psi)$

Infinitesimal deformations of (Y, φ)

The dimension of the space of infinitesimal deformations of integrable G_2 structures, $\mathcal{T}\mathcal{M}_0$, is in general is not finite.

An exception (of course!): Y has G_2 holonomy

In this case

$$\mathcal{T}\mathcal{M}_0 = H_{d_c}^1(Y, TY)$$

precisely matches the deRham cohomology $H^3(Y)$.

Deformations of $[(Y, \varphi), (V, A)]$

Consider now deformations of $[(Y, \varphi), (V, A)]$

Want:

- ▶ deformations M of the integrable G_2 structure φ on Y which preserve the integrability of the G_2 structure together with
- ▶ deformations $\alpha \in \Omega^1(Y, \text{End}(V))$ of the instanton connection A such that **simultaneous** deformations of φ and A preserve the instanton condition $F \wedge \psi = 0$ on V .

Deformations of $[(Y, \varphi), (V, A)]$

Varying the instanton equation $F \wedge \psi = 0$ we find

$$\check{d}_A(\alpha_t) = -\check{\mathcal{F}}(M_t) = -\pi_7(\mathcal{F}(M_t)) .$$

where

$$\begin{aligned} \mathcal{F} : \quad \Omega^k(Y, TY) &\longrightarrow \Omega^{k+1}(Y, \text{End}(V)) \\ M &\mapsto \mathcal{F}(M) = (-1)^k i_M(F) . \end{aligned}$$

$\check{\mathcal{F}}$ maps M_t into a two form with values in $\text{End}(V)$ which is exact in \check{d}_A -cohomology.

Deformations of $[(Y, \varphi), (V, A)]$

Then we have so far

$$\check{d}_A(\alpha_t) = -\check{F}(M_t) \quad \text{and} \quad i_{\check{d}_\zeta M_t}(\psi) = 0$$

which gives

$$\mathcal{T}\mathcal{M}_1 = H_{\check{d}_A}^1(Y, \text{End}(V)) \oplus \ker \check{F}, \quad \ker \check{F} \subseteq \mathcal{T}\mathcal{M}_0$$

Again: there is no reason why the dimension should be finite (except in the case where Y has G_2 holonomy)

Deformations of the heterotic G_2 system

Moduli

Consider the action of \mathcal{D} on **one** forms \mathcal{Z} with values in

$$\mathcal{Q} = TY \oplus \text{End}(TY) \oplus \text{End}(V)$$

$$\mathcal{D}\mathcal{Z} = \begin{pmatrix} d_\zeta M + \mathcal{R}(\kappa) - \mathcal{F}(\alpha) \\ d_\Theta \kappa + \mathcal{R}(M) \\ d_A \alpha + \mathcal{F}(M) \end{pmatrix}, \quad \mathcal{Z} = \begin{pmatrix} M \\ \kappa \\ \alpha \end{pmatrix}$$

Equations for moduli: $\check{\mathcal{D}}\mathcal{Z} = 0$

Deformations of the heterotic G_2 system

In particular,

$$\check{d}_\zeta M_t + \check{\mathcal{R}}(\kappa_t) - \check{\mathcal{F}}(\alpha_t) = 0$$

turns out to be the same equation as

$$i_{\check{d}_\zeta M_t}(\psi) = 0 .$$

by the anomaly cancelation condition, if we **identify** the degrees of freedom corresponding to the antisymmetric part of M_t , with the (covariant) variations \mathcal{B}_t of the B field

$$\partial_t H = d\mathcal{B}_t + \frac{\alpha'}{2} (\text{tr}(\alpha_t \wedge F) - \text{tr}(\kappa_t \wedge R(\Theta))) .$$

Deformations of the heterotic G_2 system

Then

$$\mathcal{T}\mathcal{M} = H_{\check{D}}^1(Y, \mathcal{Q}) .$$

The \check{D} -exact forms correspond to diffeomorphisms of Y and gauge transformations.

$\mathcal{T}\mathcal{M}$ is **finite dimensional**.

Main result

heterotic structure on $(Y, [V, A], [TY, \Theta], H)$ \longleftrightarrow special structure \check{D} on \mathcal{Q}

infinitesimal moduli of
the heterotic structure
(massless spectrum)

infinitesimal deformations of
the special structure on \mathcal{Q}
 $(H_{\check{D}}^1(Y, \mathcal{Q}))$

Outlook and open problems

- ▶ We have derived the same results from a superpotential

$$W = \frac{1}{2} \int_Y e^{-2\phi} \left((H + h\varphi) \wedge \psi - \frac{1}{2} d\varphi \wedge \varphi \right)$$

XD, M Larfors, E E Svanes, M Magill (1904.01027)

- ▶ Examples???

G2 holonomy: Joyce; Joyce & Karigiannis; Corti, Haskins, Nordström & Pacini; Braun; etc

Instantons on G2 holonomy manifolds: Wapulski; Sa Earp; Menet, Nordström & Sa Earp; ...

Fernández, Ivanov, Ugarte & Villacampa 2011; Fernández, Ivanov, Ugarte & Vassilev 2015

- ▶ And who can compute the cohomologies?
- ▶ Better understanding of the structure of moduli space of heterotic G_2 systems (Y, V, TY, H) : What is the mathematical structure?

Outlook and open problems

- ▶ Global questions: metric? geometrical structure? singularities of the moduli space? higher order deformations and obstructions?
- ▶ $SU(3)$ case:
 - ▶ Analogue of the Maurer-Cartan equation and Kodaira-Spencer theory leads to L_∞ -algebras and an analogue of the holomorphic Chern-Simons theory
A. Ashmore, XD, R. Minasian, C. Strickland-Constable, E. Svanes (ArXiv 1806.08367)
See also M. García Fernández, C. Rubio, C. Shahbazi, C. Tipler, 1803.01873, 1807.10329.
 - ▶ **Universal Bundle** P. Candelas, XD, J McOrist, R. Sisca (ArXiv 1810.00879)

Outlook and open problems

- ▶ CFT and σ -model perspective

MA Fiset, C Quigley, E Svanes 1710.06865; Melnikov, Minasian & Sethi; XD, MA Fiset 1809.01138& in progress

- ▶ Quantum corrections? We have world sheet instanton corrections and NS5branes. For example

$$dH = \frac{\alpha'}{4} (\text{tr} F^2 - \text{tr} R^2) + \Sigma$$

What are the non perturbative corrections the moduli space? What are the generalisations of the Donaldson-Thomas invariants?

Outlook and open problems

- ▶ Concept of mirror symmetry? Dualities?

$$\Gamma[(Y, \varphi), (V, A), (TY, \Theta), H] = \Gamma[(Y', \varphi'), (V', A'), (TY', \Theta'), H']$$

- ▶ Relation with Type-II, *M*-theory and *F*-theory?