

# Bulk Causality from the Conformal Bootstrap

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talk at Strings 2017, Tel Aviv

based on 1703.00278

# What is the basic mechanism of AdS/CFT?

[cf. Takayanagi's talk]

## Conjecture:

Any CFT with:

1. Large- $N$  expansion

2. Large gap in operator dimensions

has a bulk dual that is local to lengths  $\ell_{\text{AdS}}/\Delta_{\text{gap}}$ .

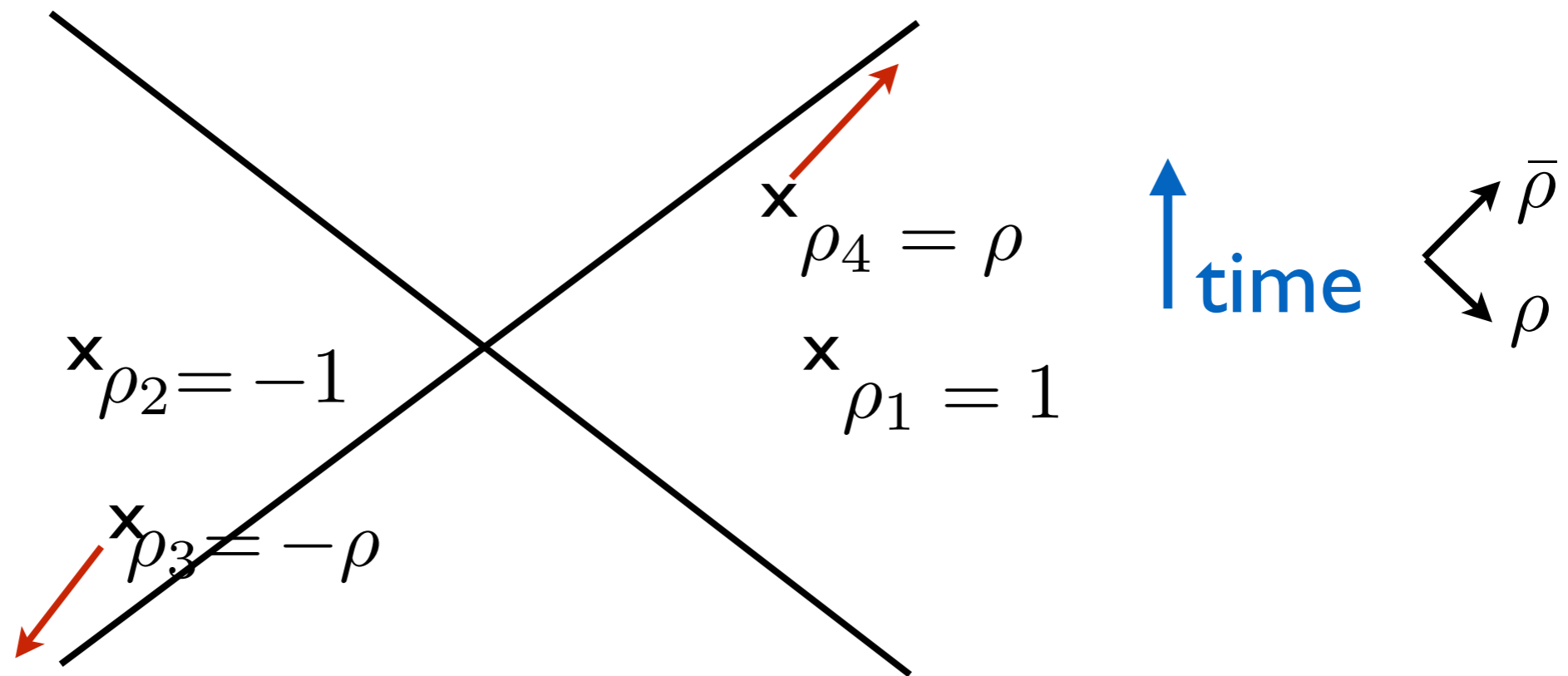
[Heemskerk, Penedones, Polchinski & Sully '09]

## CFT 'bootstrap' problem:

Find consistency conditions which ensure this!



We'll study **Lorentzian** 4-point correlator in  $\text{CFT}_d$

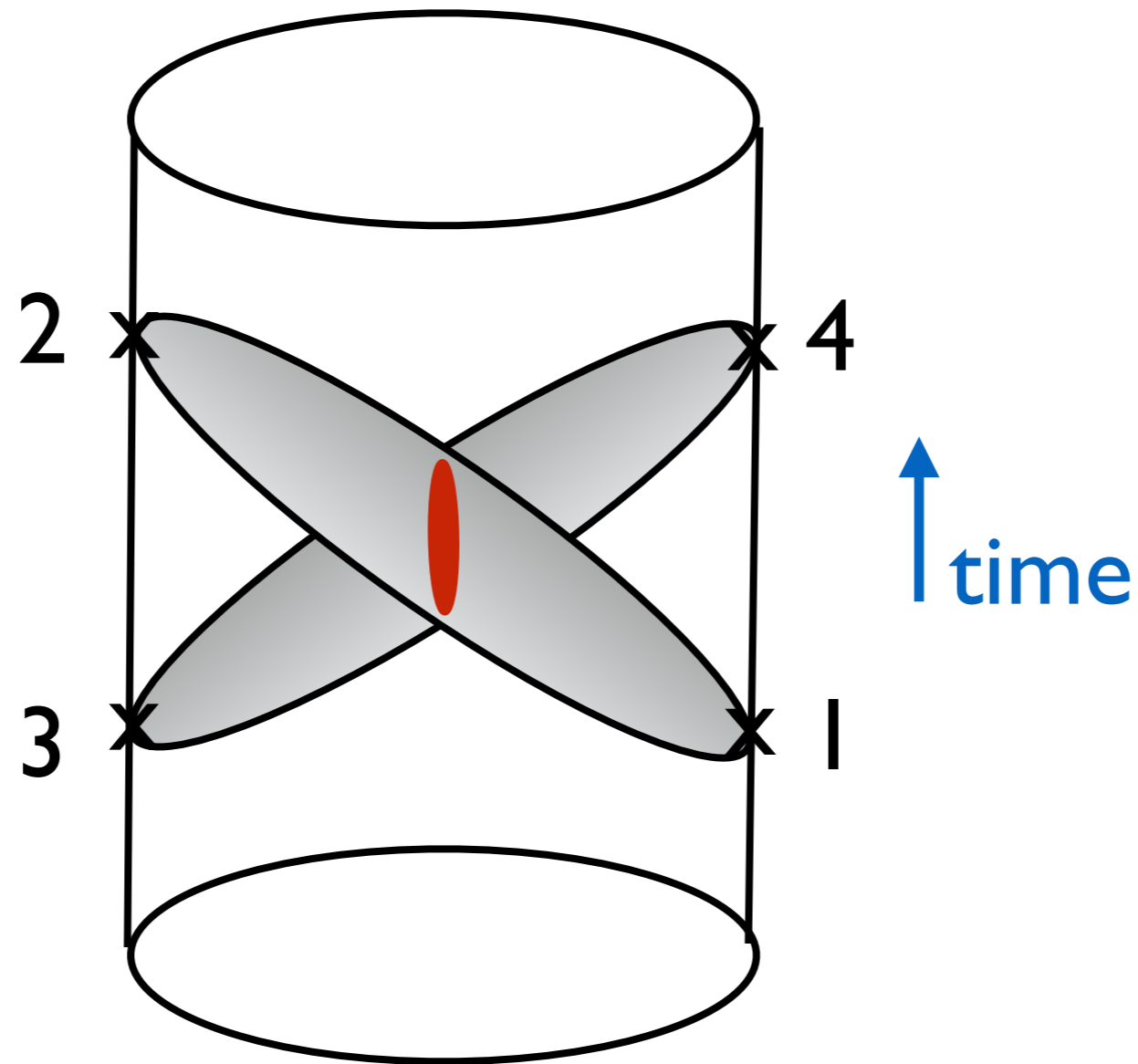


-stay within **two Rindler wedges**

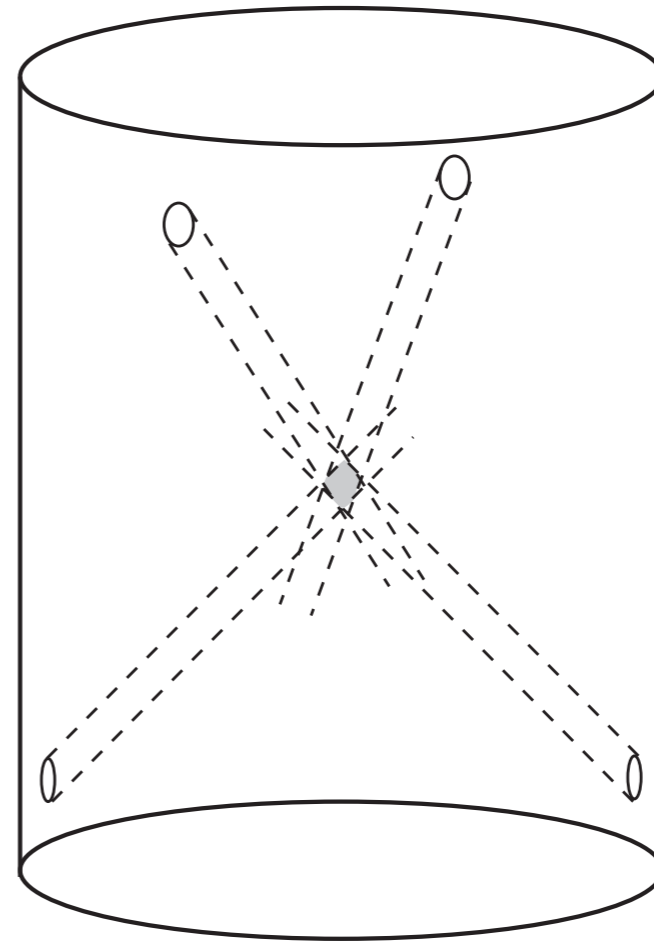
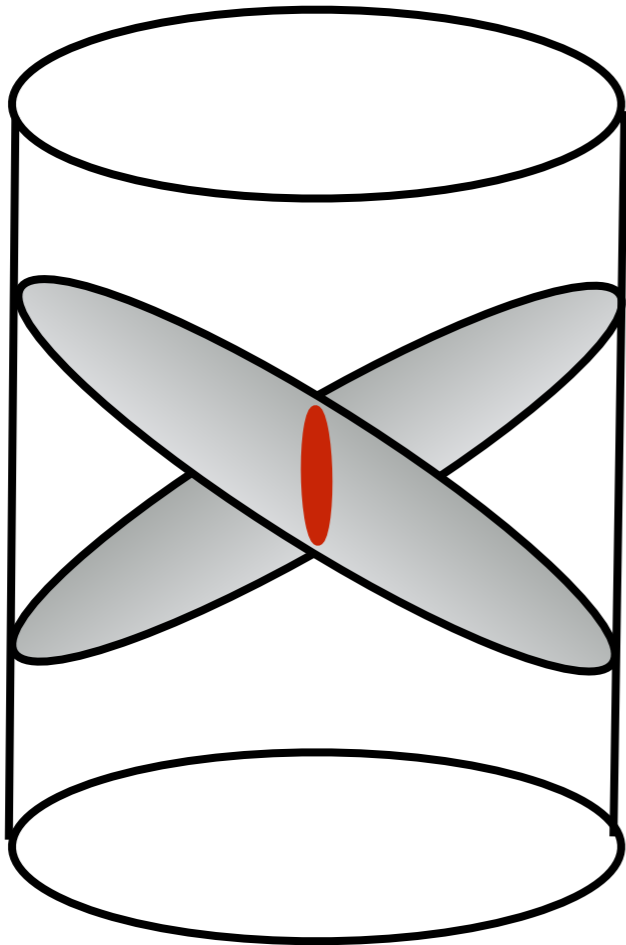
-get constraints from **Regge limit**:  $\bar{\rho} \rightarrow \infty, \rho\bar{\rho}$  fixed

## Regge limit:

- localizes in time (in two null directions)
- spreads transversely over  $AdS_{d-2}$



# Contrast with 'focusing things into the bulk'



[fig: Heemskerk, Penedones,  
Polchinski & Sully]

we won't do the latter since it can't be  
done from within Rindler wedges (unless  $\Delta \gg 1$ )

Locality in **time alone** will take us surprisingly far...

## Logic:

Locality in time  
(aka causality)  $\iff$  analyticity in  
complex energies  $\iff$  dispersion  
relations

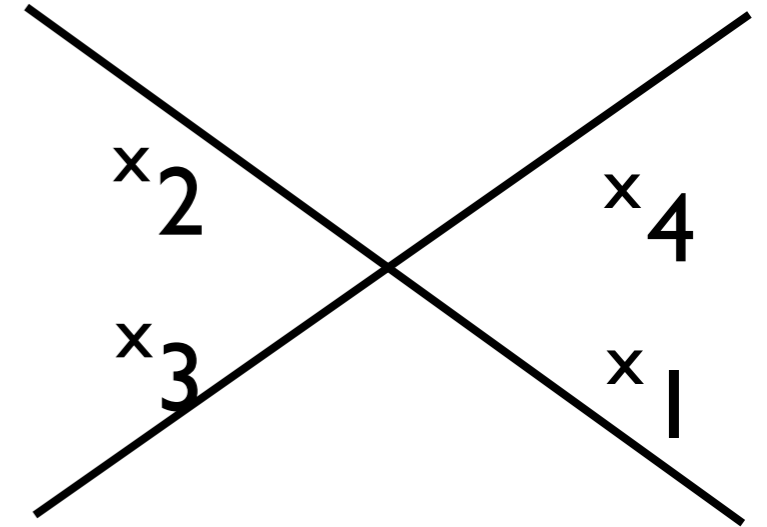
For gapped S-matrices in flat space:

$$\mathcal{M}(s, t) = \int \frac{dt'}{\pi(t - t')} \text{Im } \mathcal{M}(s, t') + (t \leftrightarrow u)$$

Claim: this generalizes to any unitary CFT:

$$G(\rho', \bar{\rho}') = \int d\rho d\bar{\rho} K(\rho', \bar{\rho}'; \rho, \bar{\rho}) \text{dDisc} [G(\rho, \bar{\rho})] + (t \leftrightarrow u)$$

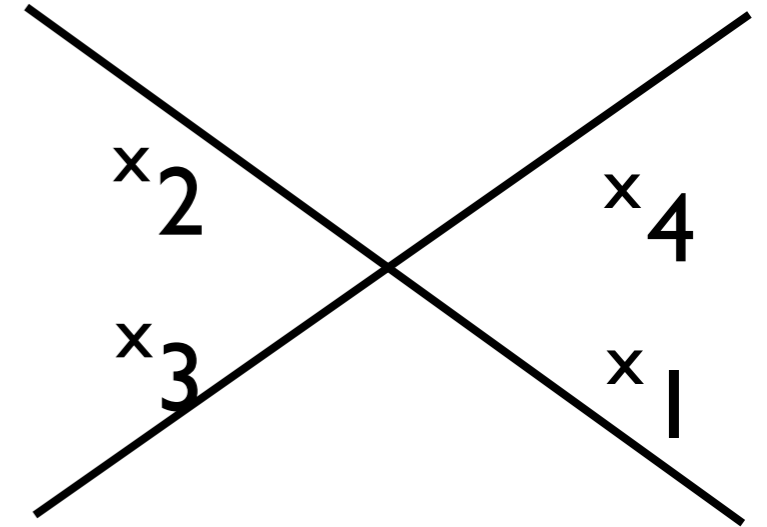
$$\text{dDisc } G \equiv \frac{1}{2} \langle 0 | [\phi_2, \phi_3] [\phi_1, \phi_4] | 0 \rangle$$



Properties:

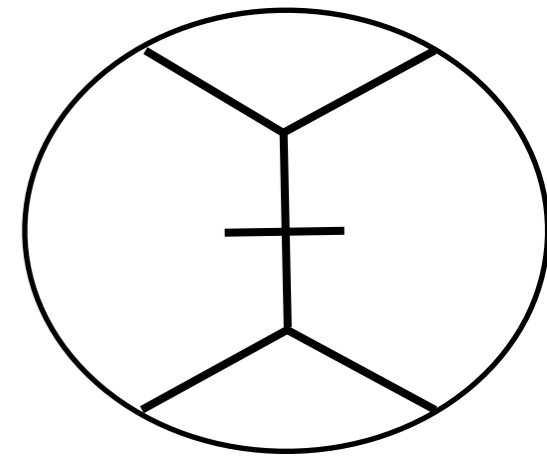
- **Positive** & bounded
- Saturated by **single-traces** at large-N

$$\text{dDisc } G \equiv \frac{1}{2} \langle 0 | [\phi_2, \phi_3] [\phi_1, \phi_4] | 0 \rangle$$



Properties:

- **Positive** & bounded
- Saturated by **single-traces** at large-N



Intuition: view correlator as scattering amplitude

$$\langle 0 | T \phi_1 \cdots \phi_4 | 0 \rangle \equiv S = G_E + i\mathcal{M}$$

$$\langle 0 | \bar{T} \phi_1 \cdots \phi_4 | 0 \rangle \equiv S^* = G_E - i\mathcal{M}^*$$

$$\langle 0 | \phi_2 \phi_3 \phi_1 \phi_4 | 0 \rangle \equiv G_E$$

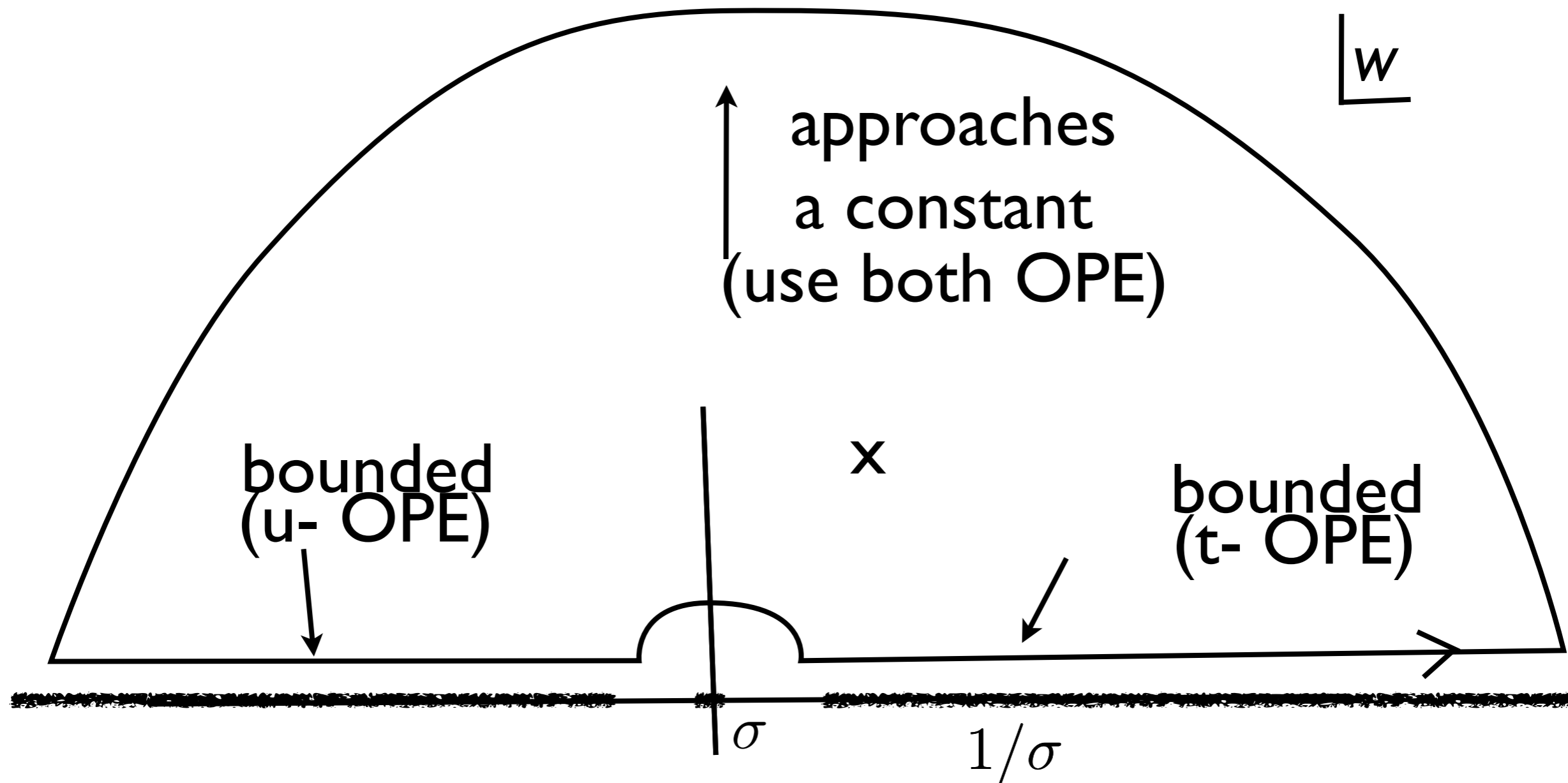
$\Rightarrow$  **dDisc G** is natural CFT version of **Im M!!**



dispersion relation for  $M$  at fixed-  $\rho\bar{\rho}$ :

$$\rho = \sigma w$$

$$\bar{\rho} = \sigma/w$$



$$\mathcal{M}(w) = C + \frac{1}{\pi} \int_{-\infty}^{\infty} \frac{dw' d\text{Disc } G(\sigma, w')}{w - w'} + (\text{small cut})$$

[Hartman, Kundu & Tajdini '16]

Implications. [Ignore the sign-indefinite small cut in Regge limit]

I. Imaginary part is positive in upper-half-plane

$$\text{Im } \mathcal{M}(x + iy) = \int \frac{y dx' \text{Im} \mathcal{M}(x')}{(x' - x)^2 + y^2} > 0$$

$$\Rightarrow \text{ANEC: } \mathcal{M}(w) \approx w \langle \int_{-\infty}^{\infty} dx^+ T_{++} \rangle_{34} \Rightarrow \langle \int dx^+ T_{++} \rangle > 0$$

[Hartman, Kundu & Tajdini '16]

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## 2. Rate of growth locally bounded in imaginary direction:

$$(y \partial_y - 1) \left[ \log \text{Im} \mathcal{M}(x + iy) \right] = -2 \frac{\int \frac{dx' y^2 \text{Im} \mathcal{M}(x')}{((x' - x)^2 + y^2)^2}}{\int \frac{dx' \text{Im} \mathcal{M}(x')}{(x' - x)^2 + y^2}} \leq 0$$

$$\Rightarrow \text{chaos bound } \lambda < 2\pi T \quad (\text{in Rindler time } w = e^{t/(2\pi T)})$$

[Maldacena, Shenker & Stanford '15]

- Near perfect analogy with ingredients in the proof of the Froissart-Martin bound\*:

$$\text{Im } \mathcal{M}(s, t) > 0 \quad \forall \quad 0 \leq t < 4m^2, \quad 4m^2 < s < \infty$$

$$\lim_{|s| \rightarrow \infty} |\mathcal{M}(s, t)| < C|s|^{1+\epsilon} \quad \epsilon < 1$$

[Martin '63; Jin&Martin '64;  
see de Rham, Melville, Tolley & Zhou '17]

- CFT has effective mass gap since 'AdS is like a box'  
(and OPE makes proofs much easier!)

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(and OPE makes proofs much easier)

# What does this imply for OPE coefficients?

partial waves:

$$a_j(s) = \int_{-\pi}^{\pi} d\theta \cos(j\theta) \mathcal{M}(s, t(\cos \theta))$$

+

disp. relation:

$$\mathcal{M}(s, t) = \int \frac{dt'}{\pi(t-t')} \text{Im } \mathcal{M}(s, t') + (t \leftrightarrow u)$$

=

analyticity in spin  
(for  $\text{Re } j > j_0$ )

$$a_j(s) = \int_{\eta_0}^{\infty} d\eta e^{-j\eta} \mathcal{M}(s, t(\cosh(\eta))) + (-1)^j (t \leftrightarrow u)$$

[Froissart-Gribov]

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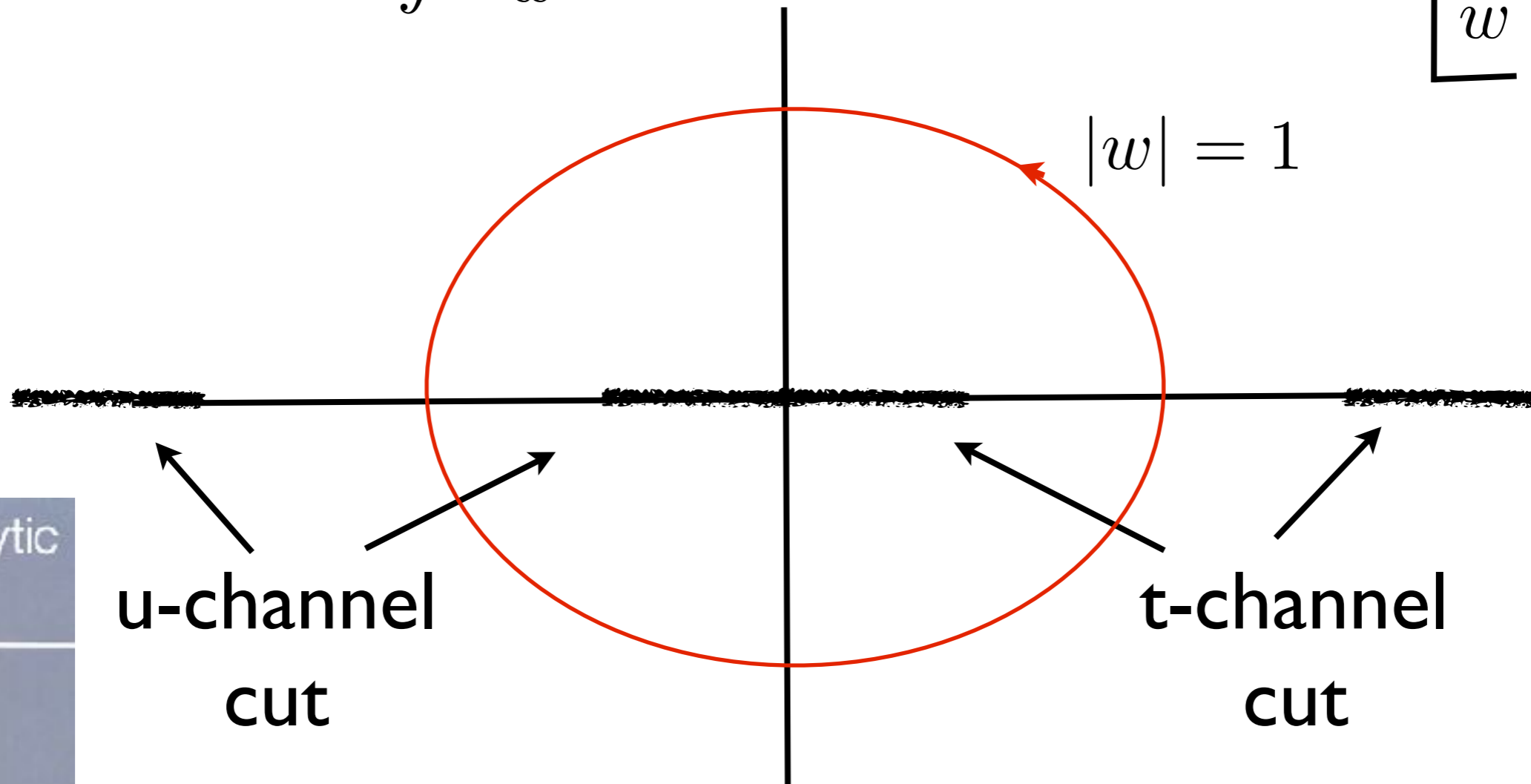
in CFT, we (currently) lack the second step, away from Regge limit!

[Froissart-Gribov]

# 'tactical retreat': alternative contour integral derivation

$$a_j(s) = \oint \frac{dw}{w} (w^j + w^{-j}) \mathcal{M}(s, t(\cos \theta))$$

$$\left[ w = e^{i\theta} \right.$$



The Analytic  
S-Matrix

R. J. EDEN  
P. V. LANDSHOFF  
D. J. OLIVE  
J. C. POLKINGHORNE

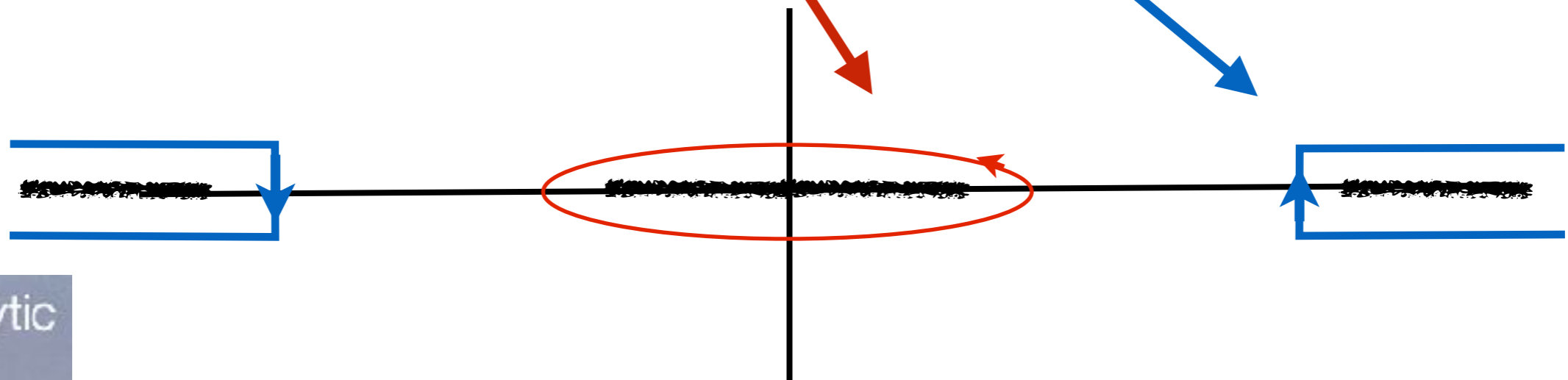
Cambridge University Press



# Alternative contour integral derivation ('tactical retreat')

$$a_j(s) = \oint \frac{dw}{w} (w^j + w^{-j}) \mathcal{M}(s, t(\cos \theta))$$

$$\left[ w = e^{i\theta} \right.$$



⇒ get integrals over  $\text{Im } M$



# CFT steps are the same: Euclidean OPE:

$$G(z, \bar{z}) = \sum_{j, \Delta} f_{j, \Delta}^2 G_{j, \Delta}(z, \bar{z})$$

- Actually, we first have to make  $\Delta$  continuous:

$$G(z, \bar{z}) = \delta_{12} \delta_{34} + \sum_{j=0}^{\infty} \int_{d/2-i\infty}^{d/2+i\infty} \frac{d\Delta}{2\pi i} c(j, \Delta) F_{j, \Delta}(z, \bar{z}). \quad \checkmark$$

$$F_{j, \Delta} = g_{j, \Delta} + g_{j, d-\Delta}$$

[Costa, Goncalves & Penedones '12]

[see also: Mazac '16;

Hogervorst & van Rees '17, Gadde '17]

= single-valued, needed for self-adjointness of Casimir

[Simmons-Duffin '12]

- Mellin-like contour, encodes OPE through poles:

$$c(j, \Delta') \approx \frac{f_{OO \rightarrow j, \Delta}^2}{\Delta - \Delta'}$$

invert OPE using orthogonality for principal series  $\Delta=d/2+iv$

$$c(j, \Delta) = N(j, \Delta) \int_0^1 d(\rho\bar{\rho}) \oint \frac{dw}{w} \mu(\rho, \bar{\rho}) G(\rho, \bar{\rho}) F_{j,\Delta}(\rho, \bar{\rho})$$


$$\rho = \sigma w, \bar{\rho} = \sigma/w$$

Tricky part is to find the analog of


$$2 \cos(j\theta) = w^j + w^{-j}$$

so we can split the ‘block+shadow’:

$$F_{j,\Delta}(z, \bar{z}) = F_{j,\Delta}^{(+)} + F_{j,\Delta}^{(-)}$$



$\sim w^j$   
( $w \rightarrow 0$ )



$\sim w^{-j}$   
( $w \rightarrow \infty$ )

- tricky because there are 8 basic solutions to conformal Casimirs diff eqs.: (quadratic and quartic)

$$g_{j,\Delta}^{\text{pure}}(z, \bar{z}) \sim z^{\frac{\Delta-j}{2}} \bar{z}^{\frac{\Delta+j}{2}} \quad (0 \ll z \ll \bar{z} \ll 1)$$

- Solutions related by symmetries:

$$j \longleftrightarrow 2 - d - j, \quad \Delta \longleftrightarrow d - \Delta, \quad \Delta \longleftrightarrow 1 - j.$$

- Only 2 are nice (convergent) in Regge limit:

$$g_{\Delta+1-d, j+d-1}^{\text{pure}}, \quad g_{1-\Delta, j+d-1}^{\text{pure}} \sim (z\bar{z})^{j/2}$$

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one solution (!!!!)

# Result: CFT Froissart-Gribov formula

$$c(J, \Delta) = \int_{\diamond} [\text{Inverse block}] \times [\text{dDisc } G]$$

s-channel  
OPE coefficients

block with  
j and  $\Delta$   
exchanged

convergent  
t-channel sum

converges for  $j > 1$  (proved using unitarity & OPE convergence in cross-channels)

# A (boring) test: 2D Ising

$$G(\rho, \bar{\rho}) = \left| \frac{1}{(1 - \rho^2)^{1/4}} \right|^2 + \left| \frac{\sqrt{\rho}}{(1 - \rho^2)^{1/4}} \right|^2$$

- Double discontinuity:

$$\frac{1 - \frac{1}{\sqrt{2}}(\sqrt{\rho} + \sqrt{\bar{\rho}}) + \sqrt{\rho\bar{\rho}}}{(1 - \rho^2)^{1/4}(1 - \bar{\rho}^2)^{1/4}} > 0$$

- Factorized integral against 2d (global) blocks

$$c_{j,\Delta} = f_0(j+\Delta)f_0(j+2-\Delta) - \frac{1}{2}f_{1/4}(j+\Delta)f_0(j+2-\Delta) + \dots$$

$$f_p(\alpha) = 2^{a-3+2p} \frac{\Gamma(\frac{7}{4})\Gamma(p + \frac{\alpha-2}{4})}{\Gamma(p + \frac{\alpha+5}{4})} {}_3F_2\left(\frac{1}{2}, \frac{\alpha}{2}, p + \frac{\alpha-2}{4}; \frac{a+1}{2}, p + \frac{\alpha+5}{4}; 1\right). \quad (\text{B.6})$$

- Residues at all poles do match global OPE!\*



$$C_{j,\Delta} = -K_{j,\Delta} \text{Res}_{\Delta'=\Delta} c(j, \Delta')$$

$$C_{0,1} = \frac{1}{4}, \quad C_{2,2} = \frac{1}{64}, \quad C_{4,4} = \frac{9}{40960}, \quad C_{0,4} = \frac{1}{4096}$$

$$C_{4,5} = \frac{1}{65536}, \quad C_{6,6} = \frac{35}{3670016}, \quad C_{2,6} = \frac{9}{2621440}, \quad C_{6,7} = \frac{1}{1310720}, \dots$$

\*never trust Mathematica's Residue on 3F2's.....



# Application: Large spin bootstrap

Usual story: double-light-cone limit  $(z, \bar{z}) \rightarrow (0, 1)$

non-analytic behaviour in  $(1 - \bar{z})$  needs large spin:

$$\sum_j \frac{1}{j^\alpha} F_j(\bar{z}) = (1 - \bar{z})^{\alpha/2} + \text{regular}$$

large spin in s-channel  $\Leftrightarrow$  low twist in t-channel

$\Rightarrow$  **Solve OPE** in asymptotic series in  $1/j$

[Komargodski&Zhiboedov,  
Fitzpatrick,Kaplan,Poland&Simmons-Duffin,  
Alday&Bissi&...,  
Kaviraj,Sen,Sinha&...,  
Alday,Bissi,Perlmutter&Aharony,...]

What about inversion formula?

$$c(j, \Delta) \sim \int_0^1 dz d\bar{z} z^{j-\Delta} \bar{z}^{j+\Delta} F_{j+\Delta}(\bar{z}) d\text{Disc}G(z, \bar{z})$$

OPE data encoded in  $\Delta$ -poles from  $G \sim z^{\tau/2}$  as  $z \rightarrow 0$ :

$$c(j, \Delta) = \frac{1}{j - \Delta - \tau} \times \int_0^1 d\bar{z} \bar{z}^{j+\Delta} F_{j+\Delta}(\bar{z}) d\text{Disc}G_\tau(\bar{z})$$

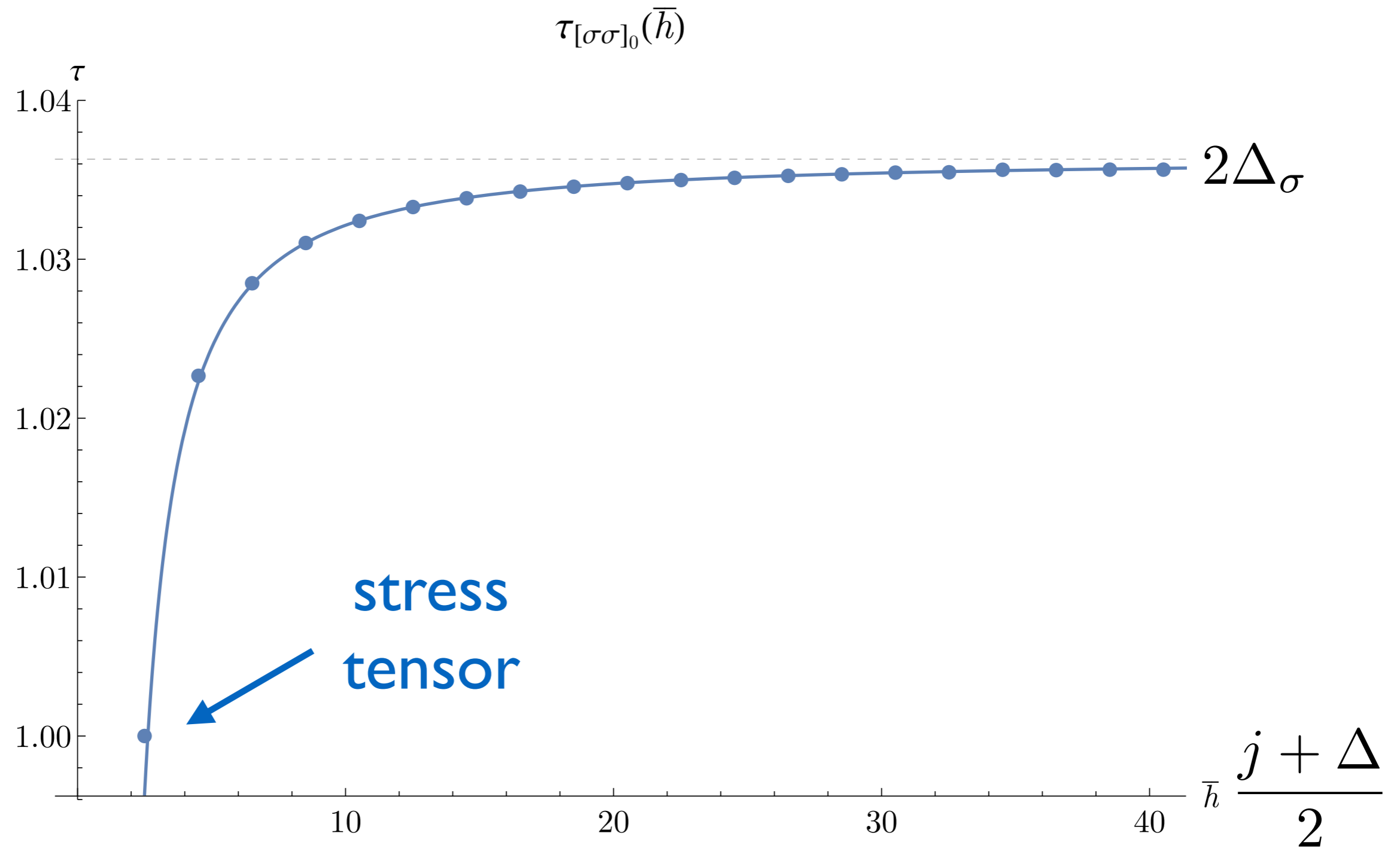
$\Rightarrow$  large  $j+\Delta$  pushes integral to  $(0, 1)$  corner 

- Analytic result for t-channel **power-law**:

$$\begin{aligned}
 I_{\tau'}^{a,b}(\bar{h}) &\equiv \int_0^1 \frac{d\bar{z}}{\bar{z}^2} (1 - \bar{z})^{a+b} \kappa_{\bar{h}} k_{\bar{h}}(\bar{z}) \text{dDisc} \left[ \left( \frac{1 - \bar{z}}{\bar{z}} \right)^{\frac{\tau'}{2} - b} (\bar{z})^{-b} \right] \quad (4.7) \\
 &= \frac{1}{\Gamma(-\frac{\tau'}{2} - a)\Gamma(-\frac{\tau'}{2} + b)} \times \frac{\Gamma(\bar{h} - a)\Gamma(\bar{h} + b)}{\Gamma(2\bar{h} - 1)} \times \frac{\Gamma(\bar{h} - \frac{\tau'}{2} - 1)}{\Gamma(\bar{h} + \frac{\tau'}{2} + 1)} \\
 &\quad \sim 1/\bar{h}^{\tau'} \quad (\bar{h} = \frac{j+\Delta}{2})
 \end{aligned}$$

- Earlier results reproduced by: ‘expand cross-channel OPE in  $\frac{1-\bar{z}}{\bar{z}}$  and integrate termwise using (4.7)’ ✓

# Asymptotic series in 3D Ising



[Plot from Simmons-Duffin '16;  
see Alday&Zhiboedov '15]

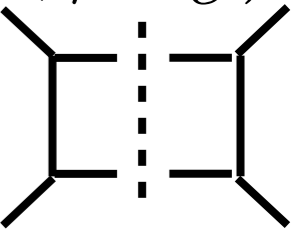
# What's **new**:

- Replaced I/J expansion by **convergent** sum  
(no need to expand in  $(1 - \bar{z})/\bar{z}$  )
- Control over **individual** spins, not only averages over many spins ('no stick-out')
- Can try to **bound errors**?

# Bulk Locality

$$\text{dDisc } G = \sum_{J', \Delta'} \sin^2\left(\frac{\pi}{2}(\Delta' - 2\Delta)\right) \left(\frac{1 - \sqrt{\rho}}{1 + \sqrt{\rho}}\right)^{\Delta' + J'} \left(\frac{1 - \sqrt{\bar{\rho}}}{1 + \sqrt{\bar{\rho}}}\right)^{\Delta' - J'}$$

- Double-traces **killed** at large  $N_c$  ( $\Delta' = 2\Delta + 2n + \gamma/N_c^2$ )
- Heavy operators **killed** unless  $\rho, \bar{\rho} < \Delta_{\text{gap}}^2$

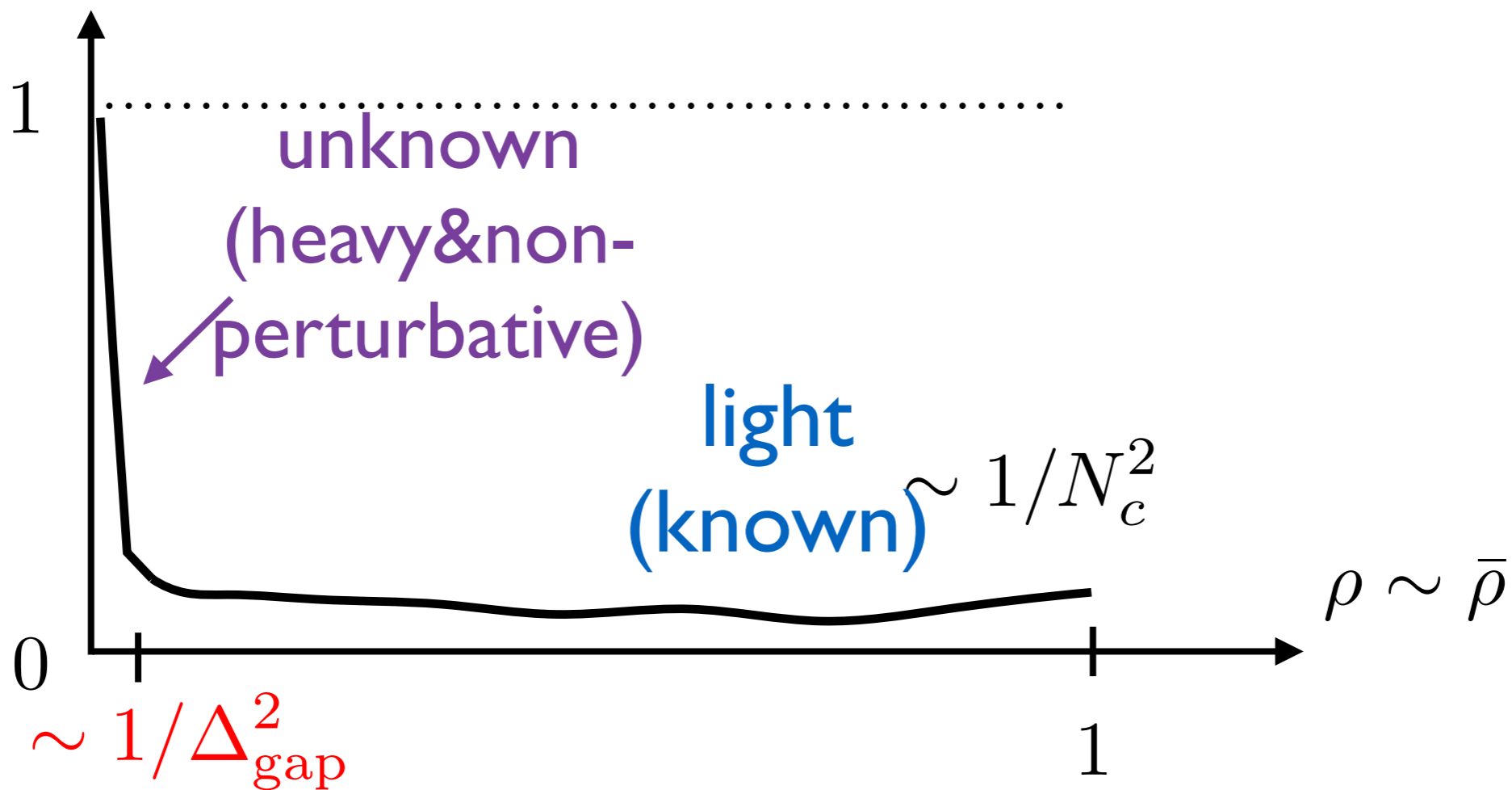


Theories with  
classical AdS dual

=

CFTs where dDisc G  
is saturated by a handful  
of light primaries

dDisc  $G$



$$c_{j,\Delta} = \int F_{j,\Delta} \text{dDisc } G = c_{j,\Delta} \Big|_{\text{light}} + c_{j,\Delta} \Big|_{\text{heavy}}$$

‘minimal solution’

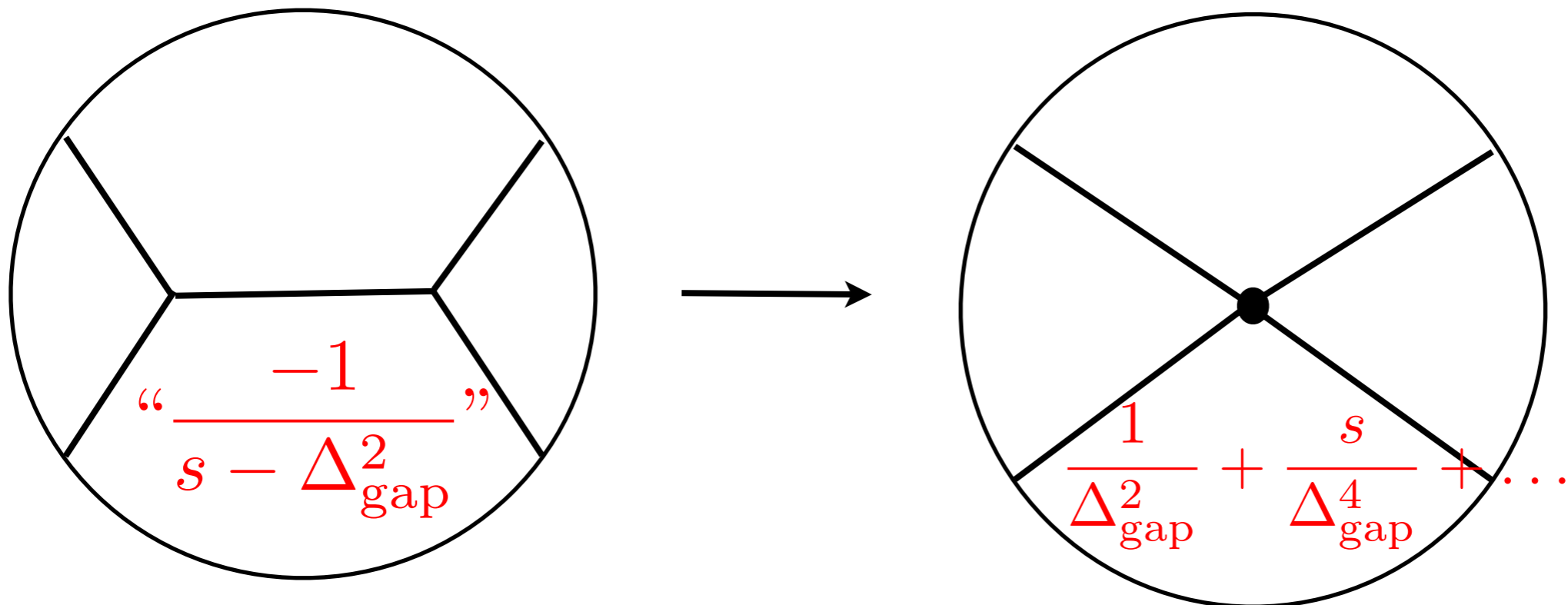
correction small for  $j > 2$

[see also: Alday, Bissi & Perlmutter;  
Li, Meltzer & Poland]

Since  $F \sim (\rho\bar{\rho})^{J/2}$ , heavy contribution decays with spin

Area set by stress-tensor two-point function

$$|c(j, \frac{d}{2} + i\nu)_{\text{heavy}}| \leq \frac{1}{c_T} \frac{\#}{(\Delta_{\text{gap}}^2)^{j-2}}$$





# (Spin versus dimension)

- Consider an AdS interaction with flat-space limit:

*stu*

- This has spin two in the Regge limit in all channels:

$$stu = st(s + t) \sim s^2 \equiv s^j \quad (s \rightarrow \infty, t \text{ fixed})$$

- Not constrained (Regge limit only localizes in time!), but due to crossing symmetry, **any** interaction with more derivatives will have a **bounded coefficient**
- For  $TT\phi\phi$ , only one unconstrained spin-2 contact interaction. For  $TTTT$ , none!

# Summary

- Dispersion relation for OPE coefficients:

$$c(j, \Delta) \equiv \int_0^1 d\rho d\bar{\rho} g_{\Delta,j} d\text{Disc } G$$

s-channel cross-channels

- Input: CFT versions of analyticity&positivity as used in Froissart-Martin's theorem, consequence here of the OPE in any unitary  $\text{CFT}_D$ .
- Output:
  - large-spin expansions with controllable errors
  - AdS/CFT correlators using only ~few light fields
  - bounds on higher-derivative terms  $\rightarrow$  bulk locality