

AdS black holes and Cardy limits

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Statistical approach to black hole thermodynamics

- 5d BPS BHs from D1-D5-P. Cardy formula of 2d CFT [Strominger, Vafa] (1996)

$$Z(\tau) \sim \text{Tr} [e^{2\pi i \tau L_0}] \sim \exp \left[\frac{\pi i c}{12\tau} \right] \quad \text{at } \tau \rightarrow i0^+$$
$$e^{S(P,c)} = \oint d\tau Z(\tau) e^{-2\pi i \tau P} \sim \exp \left[2\pi \sqrt{\frac{cP}{6}} \right] \quad \text{at } P \gg c \quad c \sim Q_1 Q_5$$

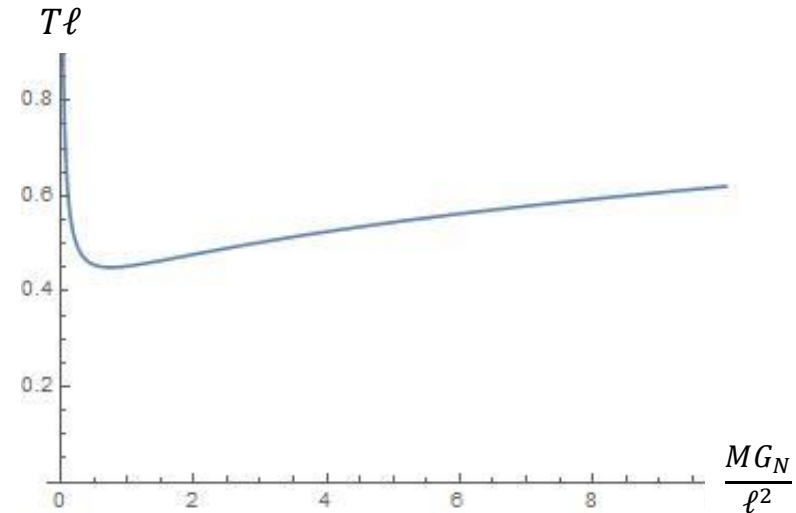
- Derives Bekenstein-Hawking entropy of these BH's in the Cardy regime.
- BH's with AdS_3 near-horizon factors studied this way, using QFTs for particular BH's.
- Some black holes are simple, but some are complicated:
 - single-center, multi-center, black rings, ... : some BH's are dominant in non-Cardy regime.
- Today's talk:
 - Systematic description of BH's in a given system: AdS_{D+1} black holes from $\text{CFT}_{D>2}$.
 - With QFT for the whole quantum gravity, rather than particular black holes.
 - Establish a version of "Cardy formula" for the indices of SCFT_D in $D > 2$.
 - Count known BH's. (Also predict new BH's: mostly skipped today, with limited time)

Black holes in AdS/CFT

- Schwarzschild black holes in global AdS_5 :
- small BH & large BH branches (ℓ : AdS radius)

$$T = \frac{r_+}{\pi\ell^2} + \frac{1}{2\pi r_+} \quad r_+^2 = -\frac{\ell^2}{2} + \ell\sqrt{\frac{\ell^2}{4} + \omega M}$$

$$\omega \equiv \frac{16\pi G_N}{3\text{vol}(S^3)}$$



- Hawking-Page transition (1983): at $T = \frac{3}{2\pi\ell}$
- Low T : gas of gravitons in AdS. Doesn't see $1/G_N \sim N^2$ so that $F \sim O(N^0)$
- High T : large AdS black holes ($F_{BH} = -T \log Z_{BH} < 0$). Sees N^2 .

- CFT dual (on $S^3 \times R$): confinement-deconfinement transition [Witten] (1998)

- Confined phase: $F \sim O(N^0)$, glue-balls (& mesons, etc.)
- Deconfined phase: $F \sim O(N^2)$ of gluons (& quarks)

- Weak coupling study [Aharony, Marsano, Minwalla, Papadodimas, Raamsdonk] (2003)

- Today's goal: quantitative strong coupling study of BPS BH's in $AdS_5 \times S^5$.

Supersymmetric black holes & QFT

[Gutowski,Reall] [Chong,Cvetic,Lu,Pope] [Kunduri,Lucietti,Reall] (2004-2006)

- Preserves 2 real SUSY (1/16-BPS): $SO(6)$ charges Q_I on S^5 & $SO(4)$ spins J_i on AdS_5 .
- Mass (= energy) determined by these 5 charges: $M\ell = Q_1 + Q_2 + Q_3 + J_1 + J_2$
- Known solutions have a charge relation: Solutions come w/ 4 independent parameters.
- Hairy BH's. No charge relations [Markeviciute,Santos] [Bhattacharyya,Minwalla,Papadodimas]
- The general set of BPS black holes in $AdS_5 \times S^5$ is (probably) unknown.

- BPS states at strong coupling: “index” on $S^3 \times R$. Coupling independent.

[Romelsberger] [Kinney,Maldacena,Minwalla,Raju] \equiv [KMMR] (2005)

$$Z(\Delta_I, \omega_i) = \text{Tr} \left[(-1)^F e^{-\sum_{I=1}^3 \Delta_I Q_I - \sum_{i=1}^2 \omega_i J_i} \right] \longleftrightarrow \text{Tr} \left[e^{-\sum_{I=1}^3 \Delta_I Q_I - \sum_{i=1}^2 \omega_i J_i} \right]$$

$$\Delta_1 + \Delta_2 + \Delta_3 - \omega_1 - \omega_2 = 0 \pmod{4\pi i} \qquad \Delta_1 + \Delta_2 + \Delta_3 - \omega_1 - \omega_2 = 2\pi i \pmod{4\pi i}$$

Use $(-1)^F = e^{-2\pi i Q_1}$ and shift $\Delta_1 \rightarrow \Delta_1 - 2\pi i$

- $U(N)$ group:

$$Z = \frac{1}{N!} \int \prod_{a=1}^N \frac{d\alpha_a}{2\pi} \prod_{a<b} \left(2 \sin \frac{\alpha_{ab}}{2} \right)^2 \exp \left[\sum_{n=1}^{\infty} \frac{1}{n} \left(1 - \frac{\prod_{I=1}^3 2 \sinh \frac{n\Delta_I}{2}}{2 \sinh \frac{n\omega_1}{2} \cdot 2 \sinh \frac{n\omega_2}{2}} \right) \sum_{a,b=1}^N e^{in\alpha_{ab}} \right]$$

Or the version with $\Delta_1 \rightarrow \Delta_1 - 2\pi i$ shift

Large N index

- Questions: Does the low “temperature” index agree w/ that of gravitons in $AdS_5 \times S^5$?
Does the index undergo deconfinement transition at higher “temperature”?
(Low / high “temperature” ~ large/small Δ_I, ω_i (all positive).)

- Large N matrix integral \rightarrow eigenvalue distribution:

$$\rho(\theta) = \frac{1}{2\pi} + \frac{1}{2\pi} \sum_{n=1}^{\infty} [\rho_n e^{in\theta} + \rho_{-n} e^{-in\theta}] \quad , \quad \rho_{-n} = \rho_n^* \quad \int_0^{2\pi} d\theta \rho(\theta) = 1$$

$$\rho(\theta) \geq 0$$

[Sundborg] [Aharony, Marsano, Minwalla, Papadodimas, Raamsdonk] [KMMR]

$$Z = \int \prod_{n=1}^{\infty} [d\rho_n d\rho_{-n}] \exp \left[-N^2 \sum_{n=1}^{\infty} \frac{1}{n} \rho_n \rho_{-n} \frac{\prod_I (1 - e^{-n\Delta_I})}{\prod_i (1 - e^{-n\omega_i})} \right]$$

- “Low T”: Uniform distribution $\rho(\theta) = 1/2\pi$. “Confining phase.” $f(\Delta_I, \omega_i) \equiv \frac{\prod_{I=1}^3 (1 - e^{-\Delta_I})}{\prod_{i=1}^2 (1 - e^{-\omega_i})} > 0$.

- Agrees w/ BPS gravitons on [KMMR] (2005).

$$Z_{N \rightarrow \infty} = \prod_{n=1}^{\infty} f(n\Delta_I, n\omega_i)^{-1} = Z_{\text{gravitons}}$$

- Does the index deconfine at high enough T?

- Apparently, no, as $f > 0$ always (at real fugacities).

- So the index doesn’t seem to deconfine, not seeing a free energy at $\log Z \sim N^2$.

Deconfinement & BH's from index?

- It has been speculated that $(-1)^F$ plays certain bad roles in the index.

- To see why, consider unrefined index $Z(x) = \sum_j \Omega_j x^j$ (where $j \equiv 6(Q + J)$). $e^{-\omega} = x^3, e^{-\Delta} = x^2$
- E.g. U(5) index ($N^2 = 25 \gg 1 \dots ?$): $\Delta_1 = \Delta_2 = \Delta_3 \equiv \Delta, \omega_1 = \omega_2 \equiv \omega$

$$\begin{aligned}
 Z = & 1 + 3x^2 - 2x^3 + 9x^4 - 6x^5 + 21x^6 - 18x^7 + 48x^8 - 42x^9 + 99x^{10} - 96x^{11} + 172x^{12} - 156x^{13} + 252x^{14} - 160x^{15} \\
 & + 195x^{16} + 48x^{17} - 127x^{18} + 612x^{19} - 783x^{20} + 1258x^{21} - 948x^{22} + 450x^{23} + 1921x^{24} - 5430x^{25} + 11793x^{26} \\
 & - 18812x^{27} + 26379x^{28} - 27750x^{29} + 17809x^{30} + 15648x^{31} - 78324x^{32} + 175030x^{33} - 285576x^{34} + 366024x^{35} \\
 & - 323807x^{36} + 38856x^{37} + 624894x^{38} - 1718016x^{39} + 3094992x^{40} - 4226862x^{41} + 4098270x^{42} - 1210728x^{43} \\
 & - 5968935x^{44} + 18061488x^{45} - 33152565x^{46} + 44941584x^{47} - 41448422x^{48} + 6241896x^{49} + 75761478x^{50} \\
 & - 205993284x^{51} + 354209109x^{52} - 440168670x^{53} + 328572109x^{54} + 142704804x^{55} - 1079522706x^{56} \\
 & + 2385844062x^{57} - 3584202447x^{58} + 3694263972x^{59} - 1331772481x^{60} - 4771857420x^{61} + 14697077445x^{62} \\
 & - 25833114276x^{63} + 31549909440x^{64} - 21264664440x^{65} - 16439430686x^{66} + 86286819246x^{67} - 174750537792x^{68} \\
 & + 238416590234x^{69} - 201108631665x^{70} + \mathcal{O}(x^{71})
 \end{aligned}$$

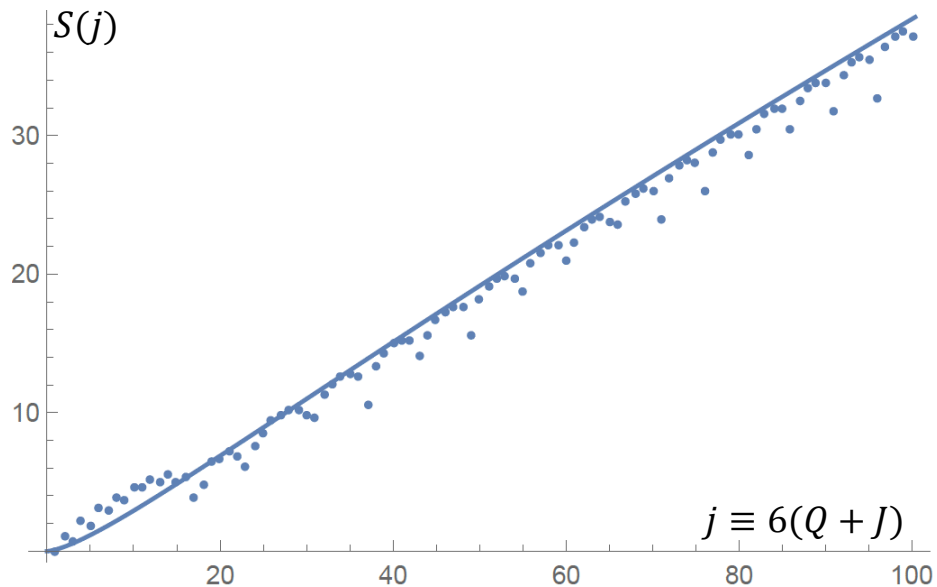
- Ω_j grows at large j , but signs alternate.

- $S_j \equiv \log |\Omega_j| \sim N^2$ at $j \sim N^2$.

Line: $S_{BH}(j)$ of known black holes, inserting $N^2 \rightarrow 25$
 dots: $S_j = \log |\Omega_j|$ from the index

- Higher ranks, orders in x under investigation

[Agarwal, Joonho Kim, SK, Nahmgoong] (in progress)



Idea for analytic approaches

- Large charge $j \sim O(N^2)$ approximation of $\Omega_j = \frac{1}{2\pi i} \oint \frac{dx}{x^{j+1}} Z(x)$
 - Saddle pt. calculus: Legendre transform is insensitive to changing j by a quantum
- Can we get macroscopic entropies with wild ± 1 oscillation from phase factor?
Namely, something like $\Omega_j \sim e^{S(j, x_*)} = e^{i \text{Im}[S(j, x_*)]} e^{\text{Re}[S(j, x_*)]} \dots ?$
- To seek for this possibility, one should turn on complex fugacities.
- In a different perspective, the fugacity phase is to be tuned,
 - attempting to tame rapid oscillations between +/- signs at nearby charge,
 - or to maximally obstruct cancelations (smearing) of nearby B/F.
- This is a discussion for microcanonical ensemble, but it should also have impacts on the grand canonical ensemble in the “thermodynamic limit”

Evidence: instability of confining saddle pt.

- Reconsider the large N index: Again, unrefined as $\Delta_1 = \Delta_2 = \Delta_3 \equiv \Delta, \omega_1 = \omega_2 \equiv \omega$
 $e^{-\omega} = x^3, e^{-\Delta} = x^2$
- The index w/ $x \rightarrow x e^{i\phi}$

$$Z = \int \prod_{n=1}^{\infty} [d\rho_n d\rho_{-n}] \exp \left[-N^2 \sum_{n=1}^{\infty} \frac{f(x^n)}{n} \rho_n \rho_{-n} \right] \quad f(x) = \frac{(1-x^2)^3}{(1-x^3)^2}$$

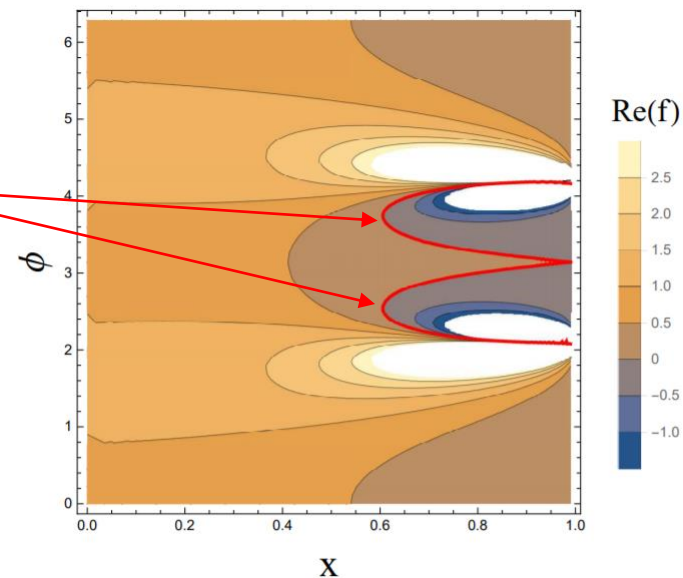
- Dial ϕ : $\rho_1 = 0$ is locally unstable if $Re[f(xe^{i\phi})] < 0$.

- $Re[f(xe^{i\phi})]$:
$$\frac{(1-x^2)(1+x^2-2x\cos\phi)^2(2x(2+5x^2+2x^4)\cos\phi+(1+x^2)(1+4x^2+x^4+3x^2\cos(2\phi)))}{(1+x^6-2x^3\cos(3\phi))^2}$$

- red curve: $Re[f(xe^{i\phi})] = 0$. Lowest fugacity for instability

$$x_H = \sqrt{\frac{\sqrt{3}-1}{2}} \approx 0.605 \quad \cos\phi = -\frac{1}{2x_H}$$

- It sounds unnatural if the large N saddle point calculus doesn't take advantage of this window of instability.
- So we interpret it as an upper bound for deconfinement.



Cardy limit

- “high T limit” : $J_i \gg N^2 (\gg 1)$, $|\omega_i| \ll 1$. Similar to 2d, $P \gg c (\gg 1)$, $\tau \rightarrow i0^+$.
- Studied in [Di Pietro, Komargodski] [Ardehali], but only at real fugacities.
- Should also take $Re(\Delta_I) \rightarrow 0^+$, but generically keep finite $Im(\Delta_I) \sim O(1)$:

$$\text{Tr} \left[e^{-\sum_{I=1}^3 \Delta_I Q_I - \sum_{i=1}^2 \omega_i J_i} \right] \quad \Delta_1 + \Delta_2 + \Delta_3 - \omega_1 - \omega_2 = 2\pi i \pmod{4\pi i}$$

- The matrix integral becomes:

$$Z \sim \frac{1}{N!} \oint \prod_{a=1}^N \frac{d\alpha_a}{2\pi} \exp \left[-\frac{1}{\omega_1 \omega_2} \sum_{a \neq b} \sum_{s_1, s_2, s_3 = \pm 1} s_1 s_2 s_3 \text{Li}_3 \left(-e^{\frac{s_I \Delta_I}{2}} e^{i\alpha_{ab}} \right) \right] \quad \text{Li}_3(x) \equiv \sum_{n=1}^{\infty} \frac{x^n}{n^3}$$

- “Maximally deconfining” saddle point $\alpha_1 = \alpha_2 = \dots = \alpha_N$ is most dominant.
[CKKN-1] (2018) [Honda] [Ardehali] [J. Kim, SK, Song] [Cabo Bizet, Cassani, Martelli, Murthy] (2019)
- This is natural, since quarks/gluons are effectively massless at high T limit.
- True for “all” SCFTs w/ $N = 1$ SUSY (i.e., checked for numerous examples) [J. Kim, SK, Song]

- Final result: [CKKN-1]

$$\log Z \sim -\frac{N^2}{\omega_1 \omega_2} \sum_{s_1 s_2 s_3 = +1} \left[\text{Li}_3 \left(-e^{\frac{s_I \Delta_I}{2}} \right) - \text{Li}_3 \left(-e^{-\frac{s_I \Delta_I}{2}} \right) \right] \xrightarrow[-\pi < \text{Im}(x) < \pi]{\text{Use: } \text{Li}_3(-e^x) - \text{Li}_3(-e^{-x}) = -\frac{x^3}{6} - \frac{\pi^2 x}{6}} \log Z \sim \frac{N^2 \Delta_1 \Delta_2 \Delta_3}{2\omega_1 \omega_2}$$

Counting (large) black holes

- Further take large N & compute entropy: Legendre transform at macroscopic charge

$$S(\Delta_I, \omega_i; Q_I, J_i) = \frac{N^2}{2} \frac{\Delta_1 \Delta_2 \Delta_3}{\omega_1 \omega_2} + \sum_{I=1}^3 Q_I \Delta_I + \sum_{i=1}^2 J_i \omega_i \quad \Delta_1 + \Delta_2 + \Delta_3 - \omega_1 - \omega_2 = 2\pi i$$

- Discussed in the context of BH solutions [Hosseini, Hristov, Zaffaroni] (2017)
- Multiple solutions: $S_*(Q_I, J_i)$ is in general complex. Take the most “dominant” one.
 - $e^{i \text{Im}(S_*)}$: Imitates \pm sign alternations, as macroscopic charges change by basic quanta.
 - More precisely, \exists c.c. saddle point: $\sim e^{\text{Re}(S)} \cos[\text{Im}(s) + \dots]$
 - $\text{Re}(S_*)$: Lower bound of entropy. We’ll count known BH’s by finding $\text{Re}(S) = S_{BH}$.
 - Values of Δ_I ’s: E.g. at $Q_1 = Q_2 = Q_3$, one finds $\Delta_1 = \Delta_2 = \Delta_3 = 2\pi i/3$ in the Cardy limit.
 - $-1 = e^{\Delta_1 + \Delta_2 + \Delta_3}$ from $(-1)^F$ is distributed equally to Δ_I ’s at the BH saddle point.

- Known BPS BH’s satisfy a charge relation: Impose this relation by hand. [CKKN-1]

$$\begin{aligned}
 S(Q_I, J_i) &= 2\pi \sqrt{Q_1 Q_2 + Q_2 Q_3 + Q_3 Q_1 - \frac{N^2}{2}(J_1 + J_2)} \\
 &= 2\pi \sqrt{\frac{Q_1 Q_2 Q_3 + \frac{N^2}{2} J_1 J_2}{\frac{N^2}{2} + Q_1 + Q_2 + Q_3}}
 \end{aligned}$$

known expression for S_{BH} [SK, K.Lee] (2006)
 Compatibility of two expressions:
 charge relation of known BH’s

Cardy limits & BH's for M2 / M5 CFTs

- 3d SCFT on N M2s: [Choi, Hwang, SK] (to appear)

- Holonomy integral & monopole sum
$$Z = \sum_{m_1, \dots, m_N = -\infty}^{\infty} \oint \prod_{a=1}^N \frac{d\alpha_a}{2\pi} Z_{1\text{-loop}}(\alpha_a, m_a, \Delta_I, \omega)$$

- Cardy & large N: monopole condensation breaks U(N), spreading over a range $\sim N^{1/2}/\omega$

- mechanism of d.o.f. reduction: $N^2 \rightarrow N^{3/2}$

- Counts entropy of BPS BH's in $AdS_4 \times S^7$:
$$\log Z \sim -i \frac{4\sqrt{2}N^{\frac{3}{2}}\sqrt{\Delta_1\Delta_2\Delta_3\Delta_4}}{3\omega} \quad \sum_{I=1}^4 \Delta_I - \omega = 2\pi i$$

- Also explored a finite N version of $N^{3/2}$.

- 6d SCFTs on N M5's: from 't Hooft anomalies

- Cardy limit of $\log Z[S^{2n-1} \times S^1]$: effective action of background fields on S^{2n-1}

- Leading terms of indices come from Chern-Simons terms on S^{2n-1} [CKKN-1]

- CS coefficients from anomalies [Jain et.al.] (2013) [Jensen, Loganayagam, Yarom] (2013)

- N M5's index:

[CKKN-1] [Nahmgoong] (to appear)

$$\log Z \sim \frac{N^3 \Delta_1^2 \Delta_2^2}{24 \omega_1 \omega_2 \omega_3} - \frac{N((\Delta_1 + \Delta_2)^2 + 4\pi^2)((\Delta_1 - \Delta_2)^2 + 4\pi^2)}{192 \omega_1 \omega_2 \omega_3} + \frac{N(\Delta_1^2 + \Delta_2^2 - 4\pi^2)(\omega_1^2 + \omega_2^2 + \omega_3^2)}{96 \omega_1 \omega_2 \omega_3} + \mathcal{O}(\log \omega)$$

- Large N: counts BPS BH's in $AdS_7 \times S^4$ [CKKN-1] [Hosseini, Hristov, Zaffaroni]

Conclusion & comments

- Index of SCFT_D sees BPS AdS_{D+1} black holes.
 - Known large BH's are statistically counted in the Cardy limit.
 - Non-Cardy regime studied assuming “Bethe root \leftrightarrow large N saddle pt.” relation [Benini,Milan]
- Cardy limit of 5d SCFT: $\log Z \sim N^{5/2}$. Counts BPS BHs in $AdS_6 \times S^4/Z_2$ [Choi,SK] [CHKN]
- In certain regimes, dominant saddle points can be yet unknown **new BH's**.
 - Hawking-Page transition & further conjectures on new black holes [CKKN-2]
 - 1/8-BPS sector of $N = 4$ SYM (“Macdonald index” [Gadde,Rastelli,Razamat,Yan]): Known BH's don't exist in this sector, while we find new BH-like saddle points from QFT. [CKKN-1]
- More to be done (only a tiny & partial list)
 - Large N saddle point analysis in non-Cardy regime. Hawking-Page transition.
 - Construction of new 1/16-BPS operators [Berkooz,Reichmann,Simon] [Chang, Yin]...
 - New BPS black holes: either more dominant or subdominant than known ones
 - Better intuitions on hairy black holes? Apply more numerical GR techniques...?